

Solutions for HW4

Exercise 13.

(a) Prove

$$(1 + x_1 + x_1^2 + \cdots)(1 + x_2 + x_2^2 + \cdots) \cdots (1 + x_n + x_n^2 + \cdots) = \sum_{M=(S,\nu)} \prod_{x_i \in S} x_i^{\nu(x_i)},$$

by induction on n .

Solution: First note that since the multisets on $\{x_1\}$ are determined by $\nu(x_1)$, the set of multisets on $\{x_1\}$ is in bijection with \mathbb{N} . So

$$1 + x_1 + x_1^2 + \cdots = \sum_{k \in \mathbb{N}} x_1^k = \sum_{M=(\{x_1\},\nu)} x_1^{\nu(x_1)} = \sum_{M=(\{x_1\},\nu)} \prod_{x_i \in \{x_1\}} x_i^{\nu(x_i)},$$

so our identity holds for $n = 1$.

Now fix n and assume, for $S = \{x_1, \dots, x_n\}$, we have

$$(1 + x_1 + x_1^2 + \cdots)(1 + x_2 + x_2^2 + \cdots) \cdots (1 + x_n + x_n^2 + \cdots) = \sum_{M=(S,\nu)} \prod_{x_i \in S} x_i^{\nu(x_i)}.$$

Then with $S' = S \sqcup \{x_{n+1}\}$,

$$\begin{aligned} & (1 + x_1 + x_1^2 + \cdots)(1 + x_2 + x_2^2 + \cdots) \cdots (1 + x_{n+1} + x_{n+1}^2 + \cdots) \\ & \stackrel{\text{IHOP}}{=} (1 + x_{n+1} + x_{n+1}^2 + \cdots) \sum_{M=(S,\nu)} \prod_{x_i \in S} x_i^{\nu(x_i)} \\ & = \sum_{k \in \mathbb{N}} \sum_{M=(S,\nu)} \left(\prod_{x_i \in S} x_i^{\nu(x_i)} \right) x_{n+1}^k \\ & = \sum_{M=(S',\nu)} \prod_{x_i \in S'} x_i^{\nu(x_i)}. \end{aligned}$$

So our identity holds for all n by induction.

(b) Show algebraically that $\binom{-n}{k}(-1)^k = \binom{n+k-1}{k}$.

Solution:

$$\binom{-n}{k}(-1)^k = \frac{1}{k!}(-1)^n(-n)(-n-1)\cdots(-n-k+1) = \frac{1}{k!}n(n+1)\cdots(n+k-1) = \binom{n+k-1}{k}.$$

(c) (a) Write the generating function (both series and closed form) for the number of weak compositions of n with k parts.

[Hint: This should look something like the generating function for multisets.]

Solution: Since the number of weak compositions of n with k parts is

$$\binom{n+k-1}{k-1} = \left(\binom{k-1}{n} \right),$$

the generating function for weak compositions of n with k parts is

$$\sum_{n \in \mathbb{N}} \binom{n+k-1}{k-1} x^n = (1-x)^{-k}.$$

- (b) Write the generating function (both series and closed form) for the number of (not weak) compositions of n with k parts.

Solution: Since the number of compositions of n with k parts is $\binom{n-1}{k-1}$, the generating function for compositions of n with k parts is

$$\sum_{k=1}^n \binom{n-1}{k-1} x^k = \sum_{\ell=0}^{n-1} \binom{n-1}{\ell} x^{\ell+1} = x(1+x)^{n-1}.$$

- (c) Write the generating function for the number of weak compositions of n with k parts, all less than j .

Solution: Let $\kappa(n, j, k)$ be the number of weak compositions of n with k parts, all less than j . Start with

$$\begin{aligned} & (1 + x_1 + x_1^2 + \cdots + x_1^{j-1})(1 + x_2 + x_2^2 + \cdots + x_2^{j-1}) \cdots (1 + x_k + x_k^2 + \cdots + x_k^{j-1}) \\ &= \sum_{n \in \mathbb{N}} \sum_{\substack{\alpha_1 + \cdots + \alpha_k = n \\ 1 \leq \alpha_i \leq j-1}} x_1^{\alpha_1} \cdots x_k^{\alpha_k}. \end{aligned}$$

Then evaluating at $x_1 = \cdots = x_k = x$, we get

$$\begin{aligned} \sum_{n \in \mathbb{N}} \kappa(n, j, k) x^n &= \sum_{n \in \mathbb{N}} \sum_{\substack{\alpha_1 + \cdots + \alpha_k = n \\ 1 \leq \alpha_i \leq j-1}} x^n \\ &= (1 + x + x^2 + \cdots + x^{j-1})^k \\ &= (1 - x^j)^k (1 - x)^{-k}. \end{aligned}$$

- (d) Item give a generating function proof that the number of weak compositions of n into k parts, with each part less than j , is

$$\sum_{\substack{r, s \in \mathbb{N} \\ r + sj = n}} (-1)^s \binom{k+r-1}{r} \binom{k}{s}.$$

Solution: Continuing from the previous part, use the generalized binomial theorem to expand

$$\begin{aligned} \sum_{n \in \mathbb{N}} \kappa(n, j, k) x^n &= (1 - x^j)^k (1 - x)^{-k} \\ &= \left(\sum_{s \in \mathbb{N}} \binom{k}{s} (-x^j)^s \right) \left(\sum_{r \in \mathbb{N}} \binom{-k}{r} (-x)^r \right) \\ &= \left(\sum_{s \in \mathbb{N}} \binom{k}{s} (-1)^s x^{sj} \right) \left(\sum_{r \in \mathbb{N}} \binom{-k}{r} (-1)^r x^r \right) \\ &= \left(\sum_{s \in \mathbb{N}} \binom{k}{s} (-1)^s x^{sj} \right) \left(\sum_{r \in \mathbb{N}} \binom{k+r-1}{r} x^r \right), \end{aligned}$$

since $\binom{-k}{r}(-1)^r = \binom{k+r-1}{r}$. Now using the multiplication rule for series, we have that the coefficient of x^n on the last line is

$$\sum_{\substack{r,s \in \mathbb{N} \\ r+s=j=n}} \binom{k}{s} (-1)^s \binom{k+r-1}{r},$$

thus proving the desired result.

Exercise 14.

- (a) **Deriving multinomial coefficients algebraically.** Let $\alpha = (\alpha_1, \dots, \alpha_\ell)$ be a composition of n . Use the formula $\binom{n}{k} = \frac{n!}{k!(n-k)!}$ to compute $\binom{n}{\alpha_1, \dots, \alpha_\ell}$, noting that you can first choose the α_1 items from n , then α_2 from $n - \alpha_1$, then α_3 from $n - (\alpha_1 + \alpha_2)$, and so on.

Solution: By first choosing the α_1 items from n , then α_2 from $n - \alpha_1$, then α_3 from $n - (\alpha_1 + \alpha_2)$, and so on, product rule says that

$$\begin{aligned} & \binom{n}{\alpha_1, \dots, \alpha_\ell} \\ &= \binom{n}{\alpha_1} \binom{n - \alpha_1}{\alpha_2} \binom{n - (\alpha_1 + \alpha_2)}{\alpha_3} \dots \binom{n - (\alpha_1 + \dots + \alpha_{\ell-1})}{\alpha_\ell} \\ &= \frac{n!}{\alpha_1!(n - \alpha_1)!} \frac{(n - \alpha_1)!}{\alpha_2!(n - (\alpha_1 + \alpha_2))!} \frac{(n - (\alpha_1 + \alpha_2))!}{\alpha_3!(n - (\alpha_1 + \alpha_2 + \alpha_3))!} \dots \frac{(n - (\alpha_1 + \dots + \alpha_{\ell-1}))!}{\alpha_\ell!(n - (\alpha_1 + \dots + \alpha_\ell))!} \\ &= \frac{n!}{\alpha_1! \dots \alpha_\ell! 0!} = \boxed{\frac{n!}{\alpha_1! \dots \alpha_\ell!}}, \end{aligned}$$

since $n - (\alpha_1 + \dots + \alpha_\ell) = n - n = 0$.

- (b) **Multinomial theorem.** Following our proof of the binomial theorem, show that

$$(x_1 + x_2 + \dots + x_\ell)^n = \sum_{\substack{(\alpha_1, \dots, \alpha_\ell) \in \mathbb{N}^\ell \\ \alpha_1 + \dots + \alpha_\ell = n}} \binom{n}{\alpha_1, \dots, \alpha_\ell} x_1^{\alpha_1} x_2^{\alpha_2} \dots x_\ell^{\alpha_\ell}.$$

[Hint: Recall that the key computation for the binomial theorem was that, for $S = \{x^{(1)}, \dots, x^{(n)}\}$,

$$\prod_{x^{(i)} \in S} (1 + x^{(i)}) = \sum_{T \subseteq S} \prod_{x^{(i)} \in T} x^{(i)}, \quad \text{so that} \quad (1 + x)^n = \sum_{T \subseteq S} \prod_{x^{(i)} \in T} x = \sum_{T \subseteq S} x^{|T|}.$$

The former we had to prove by induction on n . Now fix ℓ , and let $S = \{x_i^{(j)} \mid 1 \leq i \leq \ell, 1 \leq j \leq n\}$ (so that there are n distinct variables associated to each x_i), and walk through a similar proof.]

Solution: First consider the case where $n = 1$, so that

$$\sum_{\substack{(\alpha_1, \dots, \alpha_\ell) \in \mathbb{N}^\ell \\ \alpha_1 + \dots + \alpha_\ell = 1}} \binom{1}{\alpha_1, \dots, \alpha_\ell} x_1^{\alpha_1} x_2^{\alpha_2} \dots x_\ell^{\alpha_\ell} = \sum_{i=1}^{\ell} x_1^0 \dots x_{i-1}^0 x_i^1 x_{i+1}^0 \dots x_\ell^0 = \sum_{i=1}^{\ell} x_i. \checkmark$$

Now assume

$$(x_1 + x_2 + \dots + x_\ell)^{n-1} = \sum_{\substack{(\alpha_1, \dots, \alpha_\ell) \in \mathbb{N}^\ell \\ \alpha_1 + \dots + \alpha_\ell = n-1}} \binom{n-1}{\alpha_1, \dots, \alpha_\ell} x_1^{\alpha_1} x_2^{\alpha_2} \dots x_\ell^{\alpha_\ell}$$

for a fixed n . Note that since $\binom{n}{\alpha_1, \dots, \alpha_\ell}$ gives the number of ways of partitioning $[n]$ into labeled ℓ sets of size $\alpha_1, \dots, \alpha_\ell$, respectively, by tracking which set n goes into, we have that

$$\binom{n}{\alpha_1, \dots, \alpha_\ell} = \sum_{i=1}^{\ell} \binom{n-1}{\alpha_1, \dots, \alpha_{i-1}, \alpha_i - 1, \alpha_{i+1}, \dots, \alpha_\ell} \quad (*)$$

(this is the multinomial coefficient generalization of the identity $\binom{n}{k} = \binom{n-1}{k-1} + \binom{n}{k}$, since $\binom{n}{k} = \binom{n}{k, n-k}$). So

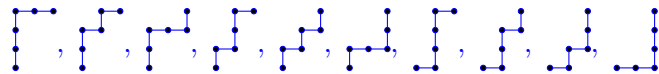
$$\begin{aligned} (x_1 + x_2 + \dots + x_\ell)^n &\stackrel{\text{IHOP}}{=} \left(\sum_{i=1}^{\ell} x^i \right) \sum_{\substack{(\alpha_1, \dots, \alpha_\ell) \in \mathbb{N}^\ell \\ \alpha_1 + \dots + \alpha_\ell = n-1}} \binom{n-1}{\alpha_1, \dots, \alpha_\ell} x_1^{\alpha_1} x_2^{\alpha_2} \dots x_\ell^{\alpha_\ell} \\ &= \sum_{i=1}^{\ell} \sum_{\substack{(\alpha_1, \dots, \alpha_\ell) \in \mathbb{N}^\ell \\ \alpha_1 + \dots + \alpha_\ell = n-1}} \binom{n-1}{\alpha_1, \dots, \alpha_\ell} x_i (x_1^{\alpha_1} x_2^{\alpha_2} \dots x_\ell^{\alpha_\ell}) \\ &= \sum_{\substack{(\alpha_1, \dots, \alpha_\ell) \in \mathbb{N}^\ell \\ \alpha_1 + \dots + \alpha_\ell = n-1}} \sum_{i=1}^{\ell} \binom{n-1}{\alpha_1, \dots, \alpha_\ell} x_1^{\alpha_1} \dots x_{i-1}^{\alpha_{i-1}} x_i^{\alpha_i+1} x_{i+1}^{\alpha_{i+1}} \dots x_\ell^{\alpha_\ell} \\ &= \sum_{\substack{(\alpha'_1, \dots, \alpha'_\ell) \in \mathbb{N}^\ell \\ \alpha'_1 + \dots + \alpha'_\ell = n}} \sum_{i=1}^{\ell} \binom{n-1}{\alpha_1, \dots, \alpha_{i-1}, \alpha_i - 1, \alpha_{i+1}, \dots, \alpha_\ell} x_1^{\alpha'_1} x_2^{\alpha'_2} \dots x_\ell^{\alpha'_\ell} \\ &= \sum_{\substack{(\alpha'_1, \dots, \alpha'_\ell) \in \mathbb{N}^\ell \\ \alpha'_1 + \dots + \alpha'_\ell = n}} \binom{n+1}{\alpha'_1, \dots, \alpha'_\ell} x_1^{\alpha'_1} x_2^{\alpha'_2} \dots x_\ell^{\alpha'_\ell}, \end{aligned}$$

by (*).

- (c) **Lattice paths.** Proposition 1.2.1 in EC1 says the following. Let $v = (a_1, \dots, a_d) \in \mathbb{N}^d$, and let e_i denote the i th unit coordinate vector in \mathbb{Z}^d . The number of lattice paths in \mathbb{Z}^d from the origin $(0, 0, \dots, 0)$ to v with steps in $\{e_1, \dots, e_d\}$ is given by the multinomial coefficient $\binom{a_1 + \dots + a_d}{a_1, \dots, a_d}$.

- (i) Check this proposition for $d = 2$ with the point $v = (2, 3)$.

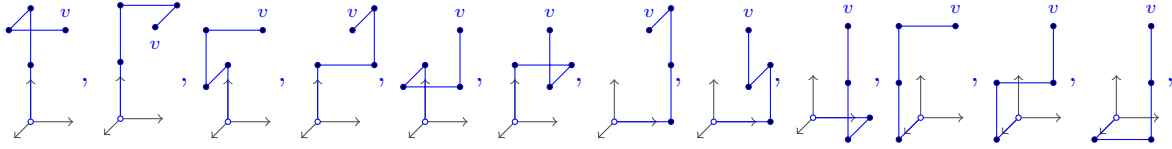
Solution: The lattice paths from $(0, 0)$ to $(2, 3)$ with steps in $S = \{(1, 0), (0, 1)\}$ are



of which there are $10 = \frac{(2+3)!}{2!3!} = \binom{2+3}{2,3}$. ✓

- (ii) Check this proposition for $d = 3$ with the point $v = (1, 1, 2)$.

Solution: The lattice paths from $(0, 0, 0)$ to $(1, 1, 2)$ with steps in $S = \{(1, 0, 0), (0, 1, 0), (0, 0, 1)\}$ are



of which there are $12 = \frac{(1+1+2)!}{1!1!2!} = \binom{1+1+2}{1,1,2}$. ✓

(iii) Prove this theorem (spell out the book's proof with more details).

Solution: Let v_0, \dots, v_k be a lattice path from 0 to $v_k = (a_1, \dots, a_d)$ with elementary steps, where $k = a_1 + \dots + a_d$. Then consider the sequence determined by the path

$$(v_1 - v_0, v_2 - v_1, \dots, v_k - v_{k-1}) = (e_{i_1}, e_{i_2}, \dots, e_{i_k}) \in \mathcal{S}_M,$$

where M is the multiset on $S = \{e_1, \dots, e_d\}$ with weight $\nu(e_i) = a_i$. Similarly, each sequence $(e_{i_1}, e_{i_2}, \dots, e_{i_k}) \in \mathcal{S}_M$ determines the path

$$0, e_{i_1}, e_{i_1} + e_{i_2}, \dots, e_{i_1} + \dots + e_{i_k},$$

from 0 to $e_{i_1} + \dots + e_{i_k} = (a_1, \dots, a_d)$. So the lattice paths from 0 to $v_k = (a_1, \dots, a_d)$ with elementary steps are in bijection with permutations in \mathcal{S}_M , of which there are $\binom{a_1 + \dots + a_d}{a_1, \dots, a_d}$.

(d) **Integer partitions.** An (integer) partition $\lambda = (\lambda_1, \dots, \lambda_\ell)$ of n is a composition of n satisfying $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_\ell > 0$. We draw partitions as n boxes piled up and to the left into a corner, with λ_1 boxes in the first row, λ_2 boxes in the second row, and so on. For example,

$$\lambda = (3, 3, 2, 1, 1, 1) = \begin{array}{|c|c|c|} \hline \square & \square & \square \\ \hline \square & \square & \square \\ \hline \square & \square & \\ \hline \square & & \\ \hline \square & & \\ \hline \square & & \\ \hline \end{array} \text{ is a partition of 11,}$$

$$\lambda = (5, 4, 3) = \begin{array}{|c|c|c|c|c|} \hline \square & \square & \square & \square & \square \\ \hline \square & \square & \square & \square & \\ \hline \square & \square & \square & & \\ \hline \square & \square & & & \\ \hline \square & & & & \\ \hline \end{array} \text{ is a partition of 12,}$$

$$\lambda = (5) = \square \square \square \square \square \text{ is a partition of 5, and}$$

$$\lambda = \emptyset \text{ is a partition of 0.}$$

The six partitions to fit in a 2×2 square are

$$\emptyset = \begin{array}{|c|} \hline \square \\ \hline \end{array}, \quad (1) = \begin{array}{|c|} \hline \square \\ \hline \end{array}, \quad (2) = \begin{array}{|c|c|} \hline \square & \square \\ \hline \end{array}, \quad (1, 1) = \begin{array}{|c|c|} \hline \square & \square \\ \hline \square & \square \\ \hline \end{array}, \quad (2, 1) = \begin{array}{|c|c|} \hline \square & \square \\ \hline \square & \\ \hline \end{array}, \quad \text{and} \quad (2, 2) = \begin{array}{|c|c|} \hline \square & \square \\ \hline \square & \square \\ \hline \end{array}.$$

Use lattice paths to count the number of integer partitions fitting into a $m \times n$ rectangle.

Solution: Note that by taking a partition inside an $m \times n$ rectangle, and overlaying an $m \times n$ grid with the origin $(0, 0)$ at the south-west corner, we have that tracing the south-east wall of the partition, tracing the wall of the grid when appropriate, determines a lattice path from $(0, 0)$ to (n, m) with elementary steps. Vice versa, any lattice path from $(0, 0)$ to (n, m) with elementary steps determines the partition whose i th part ends at the $m - i + 1$ st up-step. For example,



Thus, partitions inside an $m \times n$ rectangle are in bijection with lattice paths from $(0, 0)$ to (n, m) with elementary steps, of which there are $\binom{n+m}{n, m}$.

Exercise 15.

(a) For each of the following, give examples for small values of n . Then express the following numbers in terms of the Fibonacci numbers.

- (i) **Example:** The number of subsets S of the set $[n] = \{1, 2, \dots, n\}$ such that S contains no two consecutive integers.

Answer: Let a_n be the number of good subsets of $[n]$. Note that $a_1 = |\{\emptyset, \{1\}\}| = 2$ and $a_2 = \{\emptyset, \{1\}, \{2\}\} = 3$.

Now divide S into 2 cases: either it contains n or it doesn't. Since every good subset without n is also a good subset of $[n-1]$, and vice versa, the number of good subsets without n is a_{n-1} . Similarly $S \mapsto S - \{n\}$ is a bijection between good subsets of $[n]$ containing n and good subsets of $[n-2]$, the number of good subsets of $[n]$ containing n is a_{n-2} . So $a_n = a_{n-1} + a_{n-2}$, with $a_1 = 2 = f_3$, $a_2 = 3 = f_4$. This is the same recurrence that determines f_n , but shifted so that $a_n = f_{n+2}$.

So there are $\boxed{f_{n+2}}$ good subsets of $[n]$. \square

NOTE: For many of these, this is the strategy you want. Make a recurrence relation that looks like the Fibonacci recurrence, and shift appropriately. For at least one, you'll want to use a previous part.

- (ii) The number of compositions of n into parts greater than 1.

Solution: Let

$S_n = \{ \text{compositions of } n \text{ into parts greater than } 1 \},$

$A_n = \{ \text{compositions of } n \text{ into parts greater than } 1, \text{ whose last part is } 2 \},$ and

$B_n = \{ \text{compositions of } n \text{ into parts greater than } 1, \text{ whose last part is not } 2 \},$

so that $a_n = |S_n|$ is the value we wish to enumerate, and

$$S_n = A_n \sqcup B_n, \quad \text{so that } a_n = |A_n| + |B_n|.$$

Note that $a_0 = a_1 = 0$, and $a_2 = |\{(2)\}| = 1$.

The function from A_n which takes $(\alpha_1, \dots, \alpha_\ell, 2)$ to $(\alpha_1, \dots, \alpha_\ell)$ is a function from A_n to S_{n-2} since $\alpha_1 + \dots + \alpha_\ell + 2 = n$ and $\alpha_i > 1$. Its inverse, appending a good composition of $n-2$ by 2, is well-defined, so A_n is in bijection with S_{n-2} .

Similarly, the function from B_n which takes $(\alpha_1, \dots, \alpha_\ell)$ to $(\alpha_1, \dots, \alpha_\ell - 1)$ is a function from B_n to S_{n-1} , since $(\alpha_1 + \dots + \alpha_\ell) - 1 = n - 1$ and $\alpha_i > 1$ for $i = 1, \dots, \ell = 1$, and $\alpha_\ell > 2$ so $\alpha_\ell - 1 > 1$. Its inverse, adding 1 to the last part, is well-defined, so B_n is in bijection with S_{n-1} .

Thus

$$a_n = |S_n| = |A_n| + |B_n| = |S_{n-2}| + |S_{n-1}| = a_{n-2} + a_{n-1}.$$

Since $a_1 = 0 = f_0$ and $a_2 = 1 = f_1$, we have $\boxed{a_n = f_{n+1}}$.

- (iii) The number of compositions of n into parts equal to 1 or 2.

Solution: Let

$S_n = \{ \text{compositions of } n \text{ into parts equal to } 1 \text{ or } 2 \},$

$A_n = \{ \text{compositions in } S_n, \text{ whose last part is } 1 \},$ and

$B_n = \{ \text{compositions in } S_n, \text{ whose last part is } 2 \},$

so that $a_n = |S_n|$ is the value we wish to enumerate, and

$$S_n = A_n \sqcup B_n, \quad \text{so that } a_n = |A_n| + |B_n|.$$

Note that $a_0 = 0$, and $a_1 = |\{(1)\}| = 1$.

The function from A_n which takes $(\alpha_1, \dots, \alpha_\ell, 1)$ to $(\alpha_1, \dots, \alpha_\ell)$ is a function from A_n to S_{n-1} since $(\alpha_1 + \dots + \alpha_\ell) + 1 = n$ and $\alpha_i = 1$ or 2 . Its inverse, appending a good composition of $n - 1$ by 1 , is well-defined, so A_n is in bijection with S_{n-1} .

Similarly, the function from B_n which takes $(\alpha_1, \dots, \alpha_\ell, 2)$ to $(\alpha_1, \dots, \alpha_\ell)$ is a function from B_n to S_{n-2} , since $(\alpha_1 + \dots + \alpha_\ell) + 2 = n$ and $\alpha_i = 1$ or 2 . Its inverse, appending a good composition of $n - 2$ by 2 , is well-defined, so B_n is in bijection with S_{n-2} .

Thus

$$a_n = |S_n| = |A_n| + |B_n| = |S_{n-1}| + |S_{n-2}| = a_{n-1} + a_{n-2}.$$

Since $a_0 = 0 = f_0$ and $a_1 = 1 = f_1$, we have $\boxed{a_n = f_n}$.

- (iv) The number of compositions of n into odd parts.

Solution: Let

$$S_n = \{ \text{compositions of } n \text{ into odd parts} \},$$

$$A_n = \{ \text{compositions in } S_n, \text{ whose last part is } 1 \}, \text{ and}$$

$$B_n = \{ \text{compositions in } S_n, \text{ whose last part is not } 1 \},$$

so that $a_n = |S_n|$ is the value we wish to enumerate, and

$$S_n = A_n \sqcup B_n, \quad \text{so that } a_n = |A_n| + |B_n|.$$

Note that $a_0 = 0$, and $a_1 = |\{(1)\}| = 1$.

The function from A_n which takes $(\alpha_1, \dots, \alpha_\ell, 1)$ to $(\alpha_1, \dots, \alpha_\ell)$ is a function from A_n to S_{n-1} since $(\alpha_1 + \dots + \alpha_\ell) + 1 = n$ and α_i is odd for all i . Its inverse, appending a good composition of $n - 1$ by 1 , is well-defined, so A_n is in bijection with S_{n-1} .

Similarly, the function from B_n which takes $(\alpha_1, \dots, \alpha_\ell)$ to $(\alpha_1, \dots, \alpha_\ell - 2)$ is a function from B_n to S_{n-2} , since $(\alpha_1 + \dots + \alpha_\ell) + 2 = n$ and α_i is odd, and $\alpha_\ell \geq 3$ and odd so that $\alpha_\ell - 2 \geq 1$ and odd. Its inverse, adding 2 to the last part, is well-defined, so B_n is in bijection with S_{n-2} .

Thus

$$a_n = |S_n| = |A_n| + |B_n| = |S_{n-1}| + |S_{n-2}| = a_{n-1} + a_{n-2}.$$

Since $a_0 = 0 = f_0$ and $a_1 = 1 = f_1$, we have $\boxed{a_n = f_n}$.

- (v) The number of sequences $(\varepsilon_1, \varepsilon_2, \dots, \varepsilon_n)$ of 0s and 1s such that $\varepsilon_1 \leq \varepsilon_2 \geq \varepsilon_3 \leq \varepsilon_4 \geq \dots$.

Solution: Let

$$S_n = \{ \text{good sequences} \},$$

$$A_n = \{ \text{sequences in } S_n, \text{ whose value is } 0 \}, \text{ and}$$

$$B_n = \{ \text{sequences in } S_n, \text{ whose value is } 1 \},$$

so that $a_n = |S_n|$ is the value we wish to enumerate, and

$$S_n = A_n \sqcup B_n, \quad \text{so that } a_n = |A_n| + |B_n|.$$

Note that $a_1 = |\{(0), (1)\}| = 2$, and $a_2 = |\{(0, 0), (0, 1), (1, 1)\}| = 3$.

We consider two cases:

(i) n odd, so that the last inequality is ' \geq '.

If $\varepsilon \in A_n$, then $\varepsilon_{n-1} \geq \varepsilon_n = 0$, which puts no restriction on ε_{n-1} , nor any previous ε_i . So good sequences

$$\varepsilon_1 \leq \varepsilon_2 \geq \varepsilon_3 \leq \cdots \leq \varepsilon_{n-1} \geq 0$$

are in bijection with good sequences

$$\varepsilon_1 \leq \varepsilon_2 \geq \varepsilon_3 \leq \cdots \leq \varepsilon_{n-1},$$

i.e. A_n is in bijection with S_{n-1} .

If $\varepsilon \in B_n$, then $\varepsilon_{n-1} \geq \varepsilon_n = 1$, so $\varepsilon_{n-1} = 1$. However, $\varepsilon_{n-2} \leq \varepsilon_{n-1} = 1$ puts no restriction on ε_{n-2} , nor any previous ε_i . So good sequences

$$\varepsilon_1 \leq \varepsilon_2 \geq \varepsilon_3 \leq \cdots \geq \varepsilon_{n-2} \leq 1 \geq 1$$

are in bijection with good sequences

$$\varepsilon_1 \leq \varepsilon_2 \geq \varepsilon_3 \leq \cdots \leq \varepsilon_{n-2},$$

i.e. B_n is in bijection with S_{n-2} .

(ii) n even, so that the last inequality is ' \leq '.

This follows exactly as in the previous case, except now A_n is in bijection with S_{n-2} and B_n is in bijection with S_{n-1} .

Either way,

$$a_n = |S_n| = |A_n| + |B_n| = |S_{n-1}| + |S_{n-2}| = a_{n-1} + a_{n-2}.$$

Since $a_1 = 2 = f_3$ and $a_2 = 3 = f_4$, we have $a_n = f_{n+2}$.

(vi) The number of sequences (T_1, T_2, \dots, T_k) of subsets T_i of $[n]$ such that $T_1 \subseteq T_2 \supseteq T_3 \subseteq T_4 \supseteq \cdots$.

Solution: For each $i \in [n]$, assign a sequence $\varepsilon^{(i)} = (\varepsilon_1^{(i)}, \dots, \varepsilon_k^{(i)})$ by

$$\varepsilon_j^{(i)} = \begin{cases} 0 & \text{if } i \notin T_j, \\ 1 & \text{if } i \in T_j. \end{cases}$$

Note that

$$T_j \subseteq T_{j+1} \text{ if and only if } \varepsilon_j^{(i)} \leq \varepsilon_{j+1}^{(i)} \text{ for all } i \in [n],$$

and

$$T_j \supseteq T_{j+1} \text{ if and only if } \varepsilon_j^{(i)} \geq \varepsilon_{j+1}^{(i)} \text{ for all } i \in [n].$$

So by spanning over $i \in [n]$, we get a sequence $\varepsilon = (\varepsilon^{(1)}, \dots, \varepsilon^{(k)})$ of sequences $\varepsilon^{(i)} = (\varepsilon_1^{(i)}, \dots, \varepsilon_k^{(i)})$ of 1's and 0's satisfying

$$\varepsilon_1^{(i)} \leq \varepsilon_2^{(i)} \geq \varepsilon_3^{(i)} \leq \varepsilon_4^{(i)} \geq \cdots.$$

Similarly, any such a sequence of good sequences gives a sequence of subset T_i of $[n]$ such that $T_1 \subseteq T_2 \supseteq T_3 \subseteq T_4 \supseteq \cdots$. Since the sequences corresponding to each i are independent of each other, and the number of good sequences $\varepsilon^{(i)}$ is f_{k+2} by the previous part, we have the number of good sequences of subsets is given by

$$|\{\varepsilon^{(1)}\}| \cdots |\{\varepsilon^{(n)}\}| = f_{k+2} \cdots f_{k+2} = f_{k+2}^n.$$

- (vii) The sum $\sum \alpha_1 \alpha_2 \cdots \alpha_\ell$ over all 2^{n-1} compositions $\alpha = (\alpha_1, \alpha_2, \dots, \alpha_\ell)$ of n .
 [Hint: this sum counts the number of ways of inserting at most one vertical bar in each of the $n - 1$ spaces between stars in a line of n stars, and then circling one star in each compartment. Now try replacing bars, un-circled stars, and circled stars by 1's, 2's, and 1's, respectively. Use a previous part.]

Solution: Let S_n be the set of strong stars and bars arrangements with n stars and $\ell - 1$ bars, together with a choice of distinguished star for each of the ℓ regions. For example, if $n = 10$ and $\ell = 3$, elements of S_n include

$$\circledast|\circledast*|*****, \quad \circledast|\circledast|*****, \quad \text{and} \quad *\circledast**|**\circledast|\circledast**.$$

Since strong star and bar arrangements with n stars and $\ell - 1$ bars are in bijection with compositions of n with ℓ parts, and for each such arrangement corresponding to the composition (a_1, \dots, a_ℓ) , there are $a_1 \cdots a_\ell$ ways to choose the distinguished stars (product rule), we have

$$|S_n| = \sum_{\text{comps } \alpha \text{ of } n} \alpha_1 \cdots \alpha_\ell.$$

Now for each arrangement in S_n assign the sequence of 1's and 2's by replacing bars, un-circled stars, and circled stars by 1's, 2's, and 1's, respectively. For example, the sequences corresponding to the arrangements above are

$$111212222222, \quad 112112222222, \quad \text{and} \quad 212212211122,$$

respectively. For a fixed ℓ , there are $\ell - 1$ bars, ℓ circled stars, and $n - \ell$ uncircled stars, so the values sum to $(\ell - 1) * 1 + \ell * 1 + (n - \ell) * 2 = \ell - 1 + \ell + 2n - 2\ell = 2n - 1$, which is independent of ℓ . So we have mapped S_n to compositions of $2n - 1$ whose parts are all 1's and 2's.

Now, let's consider the inverse operation. Since $2n - 1$ is odd, any composition of $2n - 1$ whose parts are all 1's and 2's will have an odd number of 1's. So for any such composition, replace all the 2's by stars, and then replace each of the 1's from left to right, alternating, with circled stars and bars (the first 1 becomes a circled star, the second 1 becomes a bar, and so on). If there are r 2's, then there are $s = 2n - 1 - 2r$ 1's; and $(s + 1)/2$ of those 1's will become circled stars. So the subsequent arrangement will consist of

$$r + (s + 1)/2 = r + (2n - 1 - 2r + 1)/2 = r + n - r = n \quad \text{stars (circled and uncircled),}$$

and

$$(s - 1)/2 = (2n - 1 - 2r - 1)/2 = n - r - 1 \quad \text{bars.}$$

[Note that since, over all such sequences, r can range from 0 to $n - 1$, the number of bars can range from 0 to $n - 1$, as desired] So this inverse from all compositions of $2n - 1$ whose parts are 1's and 2's is a well-defined map to S_n . Therefore, we have a bijection.

Thus, by part (a)(iii),

$$\sum_{\text{comps } \alpha \text{ of } n} \alpha_1 \cdots \alpha_\ell = |S_n| = f_{2n-1}.$$

- (b) Consider the identity

$$f_{n+1} = \sum_{k=0}^n \binom{n-k}{k}.$$

- (i) Check this identity for $n = 2$ and 3 .

Solution:

$$f_2 = \sum_{k=0}^1 \binom{1-k}{k} = \binom{1}{0} + \binom{0}{1} = 1 + 0 = 1\checkmark$$

$$f_3 = \sum_{k=0}^2 \binom{1-k}{k} = \binom{2}{0} + \binom{1}{1} + \binom{0}{2} = 1 + 1 + 0 = 2\checkmark$$

- (ii) Prove this identity recursively by showing that it satisfies the Fibonacci recurrence, and that it holds for the first 2 values.

Solution: Recall the identity that

$$\binom{a}{b} = \binom{a-1}{b} + \binom{a-1}{b-1},$$

and let $F(n+1) = \sum_{k=0}^n \binom{n-k}{k}$. Then

$$\begin{aligned} F(n) + F(n-1) &= \sum_{k=0}^{n-1} \binom{n-k-1}{k} + \sum_{k=0}^{n-2} \binom{n-k-2}{k} \\ &= \sum_{k=0}^{n-1} \binom{n-k-1}{k} + \sum_{\ell=1}^{n-1} \binom{n-\ell-1}{\ell-1} \quad (\text{setting } \ell = k+1) \\ &= \binom{n-1}{0} + \sum_{k=1}^{n-1} \left(\binom{n-k-1}{k} + \binom{n-k-1}{k-1} \right) \\ &= \binom{n}{0} + \sum_{k=1}^{n-1} \binom{n-k}{k} \\ &= \sum_{k=0}^n \binom{n-k}{k}, \end{aligned}$$

since $\binom{n-n}{n} = 0$. But the last line is just $F(n+1)$. So $F(n)$ satisfies the Fibonacci recurrence and $F(2) = f_2$ and $F(3) = f_3$. Thus $F(n) = f_n$.

- (iii) Prove this identity combinatorially. Namely, first show combinatorially that the number of k -subsets of $[n-1]$ containing no two consecutive integers is $\binom{n-k}{k}$, and then use (a)(i).

Solution: Let $S_{n,k}$ be k -subsets of $[n-1]$ containing no two consecutive integers. For $A = \{a_1 < a_2 < \dots < a_k\} \in S_{n,k}$, consider the map

$$\varphi : \{a_1, a_2, \dots, a_k\} \mapsto \{a_1, a_2 - 1, a_3 - 2, \dots, a_k - k + 1\} = \{b_1, b_2, b_3, \dots, b_k\},$$

i.e. $b_i = a_i - i + 1$. Since A contains no two consecutive integers,

$$b_{i+1} - b_i = a_{i+1} - (i+1) + 1 - (a_i - i + 1) = a_{i+1} - a_i - 1 > 0,$$

so this map is a well-defined map of sets. Moreover, $b_1 = a_1 \geq 1$ and $b_k = a_k - k + 1 \leq n - 1 - k + 1 = n - k$, and $b_1 < b_i < b_k$, so $\varphi(A) \in \binom{[n-k]}{k}$.

The inverse, sending a set $B = \{b_1 < b_2 < \dots < b_k\} \in \binom{[n-k]}{k}$ to

$$\varphi^{-1} : \{b_1, b_2, \dots, b_k\} \mapsto \{b_1, b_2 + 1, b_3 + 2, \dots, b_k + k - 1\}$$

is well-defined with image in $S_{n,k}$, since we have dilated the entries of B (giving no two consecutive elements) and $b_k + k - 1 \leq n - k + k - 1 = n - 1$. So $S_{n,k}$ is in bijection with $\binom{[n-k]}{k}$.

Thus by (a)(i),

$$\sum_{k=0}^n \binom{n-k}{k} = \sum_{k=0}^{n-1} \binom{n-k}{k} = \sum_{k=0}^{n-1} |S_{n,k}| = f_{n-1+2} = f_{n+1}.$$

- (c) Note that EC1, Example 1.1.12 computes the generating function for the sequence $(a_i)_{i \in \mathbb{N}}$, where $a_i = f_{i+1}$ (so $a_0 = 1, a_1 = 1, a_2 = 2, a_3 = 3$, and so on). Repeat this computation for $(f_i)_{i \in \mathbb{Z}_{>0}}$, making the appropriate changes to accommodate the shift.

Solution: Just do it.