

Taylor series to know and love:

$$(1+x)^n = \sum_{k=0}^n \binom{n}{k} x^k = 1 + \binom{n}{1}x + \binom{n}{2}x^2 + \cdots + x^n \quad (\text{finite})$$

$$\frac{1-x^n}{1-x} = \sum_{k=0}^{n-1} x^k = 1 + x + x^2 + \cdots + x^{n-1} \quad (\text{finite})$$

$$\frac{1}{1-x} = \sum_{k=0}^{\infty} x^k = 1 + x + x^2 + \cdots \quad (\text{infinite})$$

$$e^x = \sum_{k=0}^{\infty} x^k/k! = 1 + x + \frac{x^2}{2} + \frac{x^3}{3!} + \cdots \quad (\text{infinite})$$

The lefthand side of each is called the **closed form** for the series.

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New Series from old: Let $f(x) = \sum_{k=0}^{\infty} a_k x^k$ and $g(x) = \sum_{k=0}^{\infty} b_k x^k$.

Then

$$f(x) + g(x) = \sum_{k=0}^{\infty} (a_k + b_k) x^k \quad \text{and} \quad f(x)g(x) = \sum_{k=0}^{\infty} \left(\sum_{i=0}^k a_i b_{k-i} \right) x^k.$$

You can also differentiate and integrate series to get new series.

Section 8.4: Generating functions.

A **generating function** for a sequence $\{a_k\}_{k=0,1,\dots}$ is the series

$$\sum_{k=0}^{\infty} a_k x^k. \quad (\text{"Formal": forget about convergence!})$$

When possible, we rewrite the generating function in terms of a simple expression of elementary functions, which we call **closed solutions**.

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And the generating function for the sequence $0, 0, 2^2, 3^2, 0, 5^2, 0, 7^2, \dots$, i.e. $a_n = n^2$ if n is prime and $a_n = 0$ otherwise

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$$2^2 x^2 + 3^2 x^3 + 5^2 x^5 + 7^2 x^7 + \dots = \sum_{p \text{ prime}} p^2 x^p.$$

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$$\sum_{k=0}^n \binom{n}{k} x^k = (x + 1)^n. \quad \text{You try Exercise 37}$$

First application: solving recurrence relations

Take a generating function for some sequence $\{a_n\}$:

$$G(x) = \sum_{n=0}^{\infty} a_n x^n = a_0 + a_1 x + a_2 x^2 + \cdots .$$

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$$G(x) = a_0 + a_1 x + a_2 x^2 + a_3 x^3 + \dots$$

$$= a_0 + x(a_1 + a_2 x + \dots)$$

Set aside d terms,

(where $d = \text{degree of recurrence}$)

and factor out x^d from the rest.

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Simplify.

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Simplify.

$$= a_0 + 3xG(x).$$

Return to closed form.

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$$G(x) = \frac{a_0}{1 - 3x} = a_0 \left(\frac{1}{1 - y} \right) \Big|_{y=3x}$$

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$$\begin{aligned} G(x) &= \frac{a_0}{1 - 3x} = a_0 \left(\frac{1}{1 - y} \right) \Big|_{y=3x} \\ &= a_0 \sum_{n=0}^{\infty} (3x)^n \end{aligned}$$

First application: solving recurrence relations

So say I have a sequence $\{a_n\}$ that satisfies the recurrence relation $a_n = 3a_{n-1}$. (Sanity check: we already know the general solution should look like $a_n = a_0 3^n$.) Let $G(x) = \sum_{n=0}^{\infty} a_n x^n$. Then

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Now compare to the original formula for $G(x)$! This shows that $a_n = a_0 3^n$ (as expected).

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$$= a_0 + a_1 x + x^2 \sum_{n=0}^{\infty} (9a_n + 10^n) x^n$$

Plug in the recurrence relation.

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$$= a_0 + a_1 x + 9x^2 \sum_{n=0}^{\infty} a_n x^n + x^2 \sum_{n=0}^{\infty} (10x)^n$$

Expand and simplify.

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$$= a_0 + a_1 x + 9x^2 G(x) + x^2 \left(\frac{1}{1-10x} \right).$$

Return to closed forms.

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Now solve for $G(x)$:

$$a_0 + a_1 x + x^2 \left(\frac{1}{1-10x} \right) = G(x) - 9x^2 G(x)$$

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$$a_0 + a_1 x + x^2 \left(\frac{1}{1-10x} \right) = G(x) - 9x^2 G(x) = (1-9x^2)G(x);$$

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Review partial fractions decomposition!

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Try Ex 38

Counting problems and Generating functions

Example: What is the coefficient on x^{12} in

$$(x^2 + x^3 + x^4 + x^5)(x^4 + x^5)(x^1 + x^2 + x^3)?$$

Counting problems and Generating functions

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$$\underbrace{(x^2 + x^3 + x^4 + x^5)}_{e_1} \underbrace{(x^4 + x^5)}_{e_2} \underbrace{(x^1 + x^2 + x^3)}_{e_3}?$$

This is equivalent to the question “How many integer solutions are there to the equation

$$e_1 + e_2 + e_3 = 12$$

with

$$2 \leq e_1 \leq 5, \quad 4 \leq e_2 \leq 5, \quad 1 \leq e_3 \leq 3?”$$

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Which is the same as “How many ways can you pick 12 doughnuts to bring to the office if you’ve had requests for at least 2 glazed, 4 chocolate, and one jelly-filled, but when you get to the store, they only have 5 glazed, 5 chocolate, and 3 jelly-filled left?”

Example: Use a generating function to answer the question “How many non-negative integer solutions are there to

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$$\underbrace{(1 + x + x^2 + \cdots)}_{e_1} \underbrace{(1 + x^2 + x^4 + x^6 + \cdots)}_{e_2} \underbrace{(1 + x^3 + x^6 + x^9 + \cdots)}_{e_3}$$

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since we would never use any terms that came from x^a for $a > 10$.

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$(1 + x + x^2 + x^3 + x^4 + x^5 + x^6 + x^7 + x^8 + x^9 + x^{10}) * (1 + x^2 + x^4 + x^6 + x^8 +$



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Expanded form:

[Step-by-step solution](#)

$$x^{29} + x^{28} + 2x^{27} + 3x^{26} + 4x^{25} + 5x^{24} + 7x^{23} + 8x^{22} + 10x^{21} + 12x^{20} + 14x^{19} + \\ 15x^{18} + 16x^{17} + 17x^{16} + 17x^{15} + 17x^{14} + 17x^{13} + 16x^{12} + 15x^{11} + \\ 14x^{10} + 12x^9 + 10x^8 + 8x^7 + 7x^6 + 5x^5 + 4x^4 + 3x^3 + 2x^2 + x + 1$$

Integer partitions

How many integer partitions are there of 5?

Integer partitions

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This is the same as the coefficient of x^5 in

$$\begin{aligned} & (1 + x + x^2 + x^3 + x^4 + x^5)(1 + x^2 + x^4)(1 + x^3)(1 + x^4)(1 + x^5) \\ &= ((x^1)^0 + (x^1)^1 + (x^1)^2 + (x^1)^3 + (x^1)^4 + (x^1)^5) \\ & \quad ((x^2)^0 + (x^2)^1 + (x^2)^2) \\ & \quad ((x^3)^0 + (x^3)^1) \\ & \quad ((x^4)^0 + (x^4)^1) \\ & \quad ((x^5)^0 + (x^5)^1) \end{aligned}$$

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Why?

Integer partitions

How many integer partitions are there of 5?

This is the same as the coefficient of x^5 in

$$\begin{aligned} & (1 + x + x^2 + x^3 + x^4 + x^5)(1 + x^2 + x^4)(1 + x^3)(1 + x^4)(1 + x^5) \\ &= ((x^1)^0 + (x^1)^1 + (x^1)^2 + (x^1)^3 + (x^1)^4 + (x^1)^5) \quad (\text{pts of length 1}) \\ & \quad ((x^2)^0 + (x^2)^1 + (x^2)^2) \quad (\text{pts of length 2}) \\ & \quad ((x^3)^0 + (x^3)^1) \quad (\text{pts of length 3}) \\ & \quad ((x^4)^0 + (x^4)^1) \quad (\text{pts of length 4}) \\ & \quad ((x^5)^0 + (x^5)^1) \quad (\text{pts of length 5}) \end{aligned}$$

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Why? For example, consider the partition .

Integer partitions

Counting integer partitions of 5 by looking at the coeff. of x^5 in

$$(1 + x + x^2 + x^3 + x^4 + x^5)(1 + x^2 + x^4)(1 + x^3)(1 + x^4)(1 + x^5) \dots$$

 corresponds to

$(x^1)^2$ from first factor, since there are 2 parts of length 1,

$1 = (x^2)^0$ from second factor, since there are 0 parts of length 2,

$(x^3)^1$ from third factor, since there is 1 part of length 3,


$1 = (x^4)^0$ from fourth factor, since there are 0 parts of length 4, and

$1 = (x^5)^0$ from fourth factor, since there are 1 parts of length 5.

Integer partitions

Counting integer partitions of 5 by looking at the coeff. of x^5 in


$$(1 + x + x^2 + x^3 + x^4 + x^5)(1 + x^2 + x^4)(1 + x^3)(1 + x^4)(1 + x^5) \dots$$

 corresponds to $(x^1)^2 * 1 * (x^3)^1 * 1 * 1$.




Integer partitions




Counting integer partitions of 5 by looking at the coeff. of x^5 in

$$(1 + x + x^2 + x^3 + x^4 + x^5)(1 + x^2 + x^4)(1 + x^3)(1 + x^4)(1 + x^5) \dots$$

 corresponds to $(x^1)^2 * 1 * (x^3)^1 * 1 * 1$.

Similarly, the correspondence between the other partitions of 5 and the monomials goes like

 $1 * 1 * 1 * 1 * (x^5)^1$	 $x * 1 * 1 * (x^4)^1 * 1$	 $1 * (x^2)^1 * (x^3)^1 * 1 * 1$
--	--	--

 $(x^1)^1 * (x^2)^2 * 1 * 1 * 1$	 $(x^1)^3 * (x^2)^1 * 1 * 1 * 1$	 $(x^1)^5 * 1 * 1 * 1 * 1$
--	--	--

Notice that the coefficient of x^5 in the polynomial from the previous slide is the same as the coefficient of x^5 in

$$\left(\sum_{i=0}^{\infty} x^i \right) \left(\sum_{i=0}^{\infty} x^{2i} \right) \left(\sum_{i=0}^{\infty} x^{3i} \right) \left(\sum_{i=0}^{\infty} x^{4i} \right) \left(\sum_{i=0}^{\infty} x^{5i} \right)$$

Notice that the coefficient of x^5 in the polynomial from the previous slide is the same as the coefficient of x^5 in

$$\begin{aligned} & \left(\sum_{i=0}^{\infty} x^i \right) \left(\sum_{i=0}^{\infty} x^{2i} \right) \left(\sum_{i=0}^{\infty} x^{3i} \right) \left(\sum_{i=0}^{\infty} x^{4i} \right) \left(\sum_{i=0}^{\infty} x^{5i} \right) \\ &= \prod_{k=1}^5 \left(\sum_{i=0}^{\infty} x^{ki} \right) \end{aligned}$$

Notice that the coefficient of x^5 in the polynomial from the previous slide is the same as the coefficient of x^5 in

$$\begin{aligned} & \left(\sum_{i=0}^{\infty} x^i \right) \left(\sum_{i=0}^{\infty} x^{2i} \right) \left(\sum_{i=0}^{\infty} x^{3i} \right) \left(\sum_{i=0}^{\infty} x^{4i} \right) \left(\sum_{i=0}^{\infty} x^{5i} \right) \\ &= \prod_{k=1}^5 \left(\sum_{i=0}^{\infty} x^{ki} \right) = \prod_{k=1}^5 \left(\frac{1}{1-x^k} \right). \end{aligned}$$

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Which is the same as the coefficient of x^5 in

$$\begin{aligned} & \left(\sum_{i=0}^{\infty} x^i \right) * \left(\sum_{i=0}^{\infty} x^{2i} \right) * \left(\sum_{i=0}^{\infty} x^{3i} \right) * \left(\sum_{i=0}^{\infty} x^{4i} \right) \\ & \quad * \left(\sum_{i=0}^{\infty} x^{5i} \right) * \underbrace{\left(\sum_{i=0}^{\infty} x^{6i} \right)}_{\text{must use the 1 term}} * \underbrace{\left(\sum_{i=0}^{\infty} x^{7i} \right)}_{\text{must use the 1 term}} \dots \end{aligned}$$

Notice that the coefficient of x^5 in the polynomial from the previous slide is the same as the coefficient of x^5 in

$$\begin{aligned} & \left(\sum_{i=0}^{\infty} x^i \right) \left(\sum_{i=0}^{\infty} x^{2i} \right) \left(\sum_{i=0}^{\infty} x^{3i} \right) \left(\sum_{i=0}^{\infty} x^{4i} \right) \left(\sum_{i=0}^{\infty} x^{5i} \right) \\ &= \prod_{k=1}^5 \left(\sum_{i=0}^{\infty} x^{ki} \right) = \prod_{k=1}^5 \left(\frac{1}{1-x^k} \right). \end{aligned}$$

Which is the same as the coefficient of x^5 in

$$\left(\sum_{i=0}^{\infty} x^i \right) * \left(\sum_{i=0}^{\infty} x^{2i} \right) * \left(\sum_{i=0}^{\infty} x^{3i} \right) * \cdots = \prod_{k=1}^{\infty} \left(\sum_{i=0}^{\infty} x^{ki} \right)$$

So in general, the number of integer partitions of n , denoted $p(n)$, is the coefficient of x^n in

$$\sum_{n=0}^{\infty} p(n)x^n = \prod_{k=1}^{\infty} \left(\frac{1}{1-x^k} \right).$$