

Chapter 35: Number Theory and Imaginary Numbers

Let $i = \sqrt{-1}$. Then

$$\mathbb{C} = \{a + bi \mid a, b \in \mathbb{R}\}.$$

addition:

$$(a + bi) + (c + di) = (a + c) + (b + d)i$$

multiplication:

$$(a + bi) * (c + di) = ac + adi + cbi + bd(i)^2 = (ac - bd) + (ad + bc)i$$

division:

$$\begin{aligned} \frac{a + bi}{c + di} &= \frac{(a + bi)(c - di)}{(c + di)(c - di)} \\ &= \frac{(ac + bd) + (bc - ad)i}{c^2 + d^2} \\ &= \left(\frac{ac + bd}{c^2 + d^2} \right) + \left(\frac{bc - ad}{c^2 + d^2} \right) i \end{aligned}$$

Try: Compute $(2 + 3i)^3$, $(2 + 3i)(-1 + 4i)$, $\frac{2 + 3i}{-1 + 4i}$, and $\frac{5 - i}{1 + 2i}$.

Galois integers:

$$\mathbb{Z}[i] = \{a + bi \mid a, b \in \mathbb{Z}\} \subseteq \mathbb{C}.$$

For $a + bi, c + di \in \mathbb{Z}[i]$...

addition:

$$(a + bi) + (c + di) = (a + c) + (b + d)i \in \mathbb{Z}[i] \checkmark$$

multiplication:

$$(a + bi) * (c + di) = (ac - bd) + (ad + bc)i \in \mathbb{Z}[i] \checkmark$$

division:

$$\frac{a + bi}{c + di} = \left(\frac{ac + bd}{c^2 + d^2} \right) + \left(\frac{bc - ad}{c^2 + d^2} \right) i$$

not always in $\mathbb{Z}[i]$!

For $m, n \in \mathbb{Z}[i]$, we say m **divides** n if there is some $k \in \mathbb{Z}[i]$ such that $mk = n$.

Factorization.

Every integer $n \geq 2$ has a unique factorization into primes.
(Up to rearrangement of prime factors!)

Every integer $n \neq 0$ has a unique factorization into primes, up to multiplication by units:

$$n = (-1)^k p_1^{r_1} \cdots p_\ell^{r_\ell}$$

with primes $p_1 < p_2 < \cdots < p_\ell$, and k unique up to parity.
(Recall: a **unit** is a divisor of 1; i.e. a number that has a multiplicative inverse.)

Ex. $10 = 2 \cdot 5 = (-1)^2 \cdot 2 \cdot 5 = (-1)^4 \cdot 2 \cdot 5 = \cdots$

Units in $\mathbb{Z}[i]$: $1, -1, i, -i, \dots$ More? Solve

$$(a + bi) * (c + di) = 1 + 0i,$$

namely

$$ac - bd = 1 \quad \text{and} \quad ad + bc = 0.$$

We'll show there are no more solutions momentarily.

Units in $\mathbb{Z}[i]$: $\pm 1, \pm i$.

Primes in $\mathbb{Z}[i]$? For any $\alpha \in \mathbb{Z}[i]$, we have

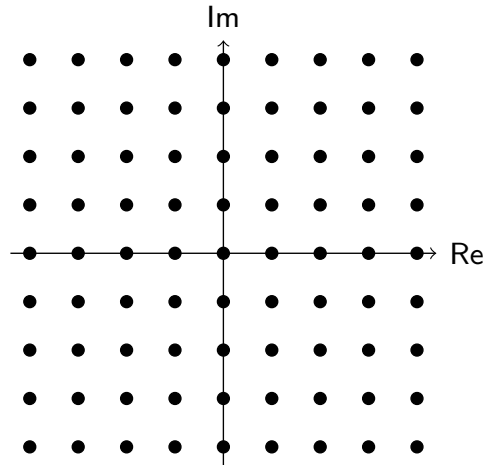
$$\alpha = 1 \cdot \alpha = (-1)(-\alpha) = i(-i\alpha) = (-i)(i\alpha)$$

so $\pm 1, \pm i, \pm \alpha$, and $\pm i\alpha$ all "divide" α .

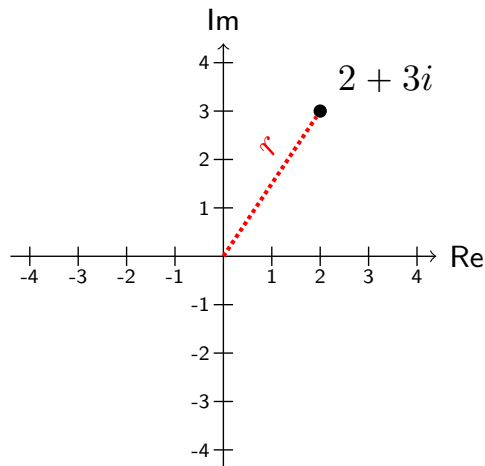
We say $\beta \in \mathbb{Z}[i]$ is **prime** if the only divisors of β are of the form u or $u\beta$, where u is a unit.

How do we compute primes?

Draw $\mathbb{Z}[i]$ in the complex plane as a lattice of points:



Draw $\mathbb{Z}[i]$ in the complex plane as a lattice of points:



Define

$$N : \mathbb{Z}[i] \rightarrow \mathbb{Z}_{\geq 0} \quad \text{by} \quad a + bi \mapsto a^2 + b^2,$$

so that $r = \sqrt{N(a + bi)}$. Also

$$\frac{a + bi}{c + di} = \left(\frac{ac + bd}{N(c + di)} \right) + \left(\frac{bc - ad}{N(c + di)} \right) i.$$

We call N a **norm** of $\mathbb{Z}[i]$.

Norm

Define

$$N : \mathbb{Z}[i] \rightarrow \mathbb{Z}_{\geq 0} \quad \text{by} \quad a + bi \mapsto a^2 + b^2.$$

Claim: For $\alpha, \beta \in \mathbb{Z}[i]$, we have

$$N(\alpha\beta) = N(\alpha)N(\beta).$$

Back to units: If α is a unit, then there is some β for which $\alpha\beta = 1$. So

$$1 = N(1) = N(\alpha\beta) = N(\alpha)N(\beta).$$

So $N(\alpha) = N(\beta) = 1$. What are integer solutions to $a^2 + b^2 = 1$?

Theorem

The units in $\mathbb{Z}[i]$ are $\{\pm 1, \pm i\}$.

Define

$$N : \mathbb{Z}[i] \rightarrow \mathbb{Z}_{\geq 0} \quad \text{by} \quad a + bi \mapsto a^2 + b^2.$$

For $\alpha, \beta \in \mathbb{Z}[i]$, we have $N(\alpha\beta) = N(\alpha)N(\beta)$.

Back to primes: Is 2 prime in $\mathbb{Z}[i]$?

Suppose we have

$$(a + bi)(c + di) = 2.$$

Taking N of both sides, we get

$$(a^2 + b^2)(c^2 + d^2) = 4.$$

Possibilities:

$a^2 + b^2 = 1$: In this case, $a + bi$ is a unit.

$a^2 + b^2 = 2$: Potentially nontrivial factors?

$a^2 + b^2 = 4$: In this case, $c + di$ is a unit.

Are there non-trivial solutions to $a^2 + b^2 = 2$? Yes! For example, $1 + i$. Does $1 + i$ divide 2? Compute:

$$\frac{2}{1+i} = \frac{2(1-i)}{2} = 1-i.$$

So since $1 + i$ isn't a unit, nor is it a unit multiple of 2, we have 2 is **not prime** in $\mathbb{Z}[i]$!!

Theorem

Let p be an odd prime. Then there are integers a, b satisfying

$$a^2 + b^2 = p$$

if and only if $p \equiv_4 1$.

Proof. Show $a^2 + b^2 = p$ implies $p \equiv_4 1$ by direct computation.

For the reverse, see Ch. 24. \square

Ex. There are no integer solutions to $a^2 + b^2 = 3$. So, using the same idea as last time, suppose we have

$$(a + bi)(c + di) = 3.$$

Taking N of both sides, we get

$$(a^2 + b^2)(c^2 + d^2) = 9.$$

Possibilities:

$a^2 + b^2 = 1$: In this case, $a + bi$ is a unit.

$a^2 + b^2 = 3$: No solutions.

$a^2 + b^2 = 9$: In this case, $c + di$ is a unit.

So 3 is a **prime** in $\mathbb{Z}[i]$.

We say $\beta \in \mathbb{Z}[i]$ is **prime** if the only divisors of β are of the form u or $u\beta$, where u is a unit (one of $\{\pm 1, \pm i\}$).

Looking for primes so far:

1. If $n \in \mathbb{Z}$ is composite in \mathbb{Z} , then it is composite in $\mathbb{Z}[i]$.
2. If $p \in \mathbb{Z}$ is prime in \mathbb{Z} , then either
 - (a) $p = 2$, which is not prime in $\mathbb{Z}[i]$; (we checked)
 - (b) $p \equiv_4 -1$, in which case p is prime in $\mathbb{Z}[i]$; (prove using norms)
 - (c) $p \equiv_4 1$, in which case there are $a, b \in \mathbb{Z}$ with $a^2 + b^2 = p$, so that $a + ib \neq u, pu$ for any unit u and

$$(a + ib)(a - ib) = a^2 + b^2 = p,$$

i.e. p is not prime in $\mathbb{Z}[i]$.

Proposition

An integer n is prime in $\mathbb{Z}[i]$ if and only if n is a prime in \mathbb{Z} satisfying $n \equiv_4 1$.

Are there any more?

Theorem (Gaussian Prime Theorem)

The Gaussian primes can be described as follows:

- (i) (*ramified*) $1 + i$ is a Gaussian prime.
- (ii) (*inert*) Let p be a prime in \mathbb{Z} with $p \equiv -1 \pmod{4}$. Then p is a Gaussian prime.
- (iii) (*split*) Let p be a prime in \mathbb{Z} with $p \equiv 1 \pmod{4}$. Then $p = a^2 + b^2$ for $a, b \in \mathbb{Z}_{>0}$, and $a + bi$ is a Gaussian prime.

Moreover, every Gaussian prime is equal to a unit times a Gaussian prime of the form (i), (ii), or (iii).