

Quasisymmetric power sums


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Joint work with
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Sarah Mason, and Elizabeth Niese




Some combinatorics

Partitions:



$$= (5, 4, 4, 2) = \lambda$$

Compositions:



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For a composition α ,

let $|\alpha|$ be the size ($\#$ boxes) of α ;


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let $\tilde{\alpha}$ be the rearrangement of the parts of α into decreasing order.


For example, $|\alpha| = 15$, $\ell(\alpha) = 4$, and $\tilde{\alpha} = \lambda$.

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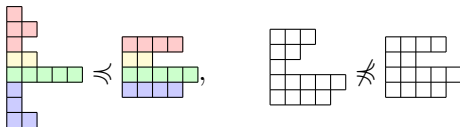
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For compositions α and β , we say α **refines** β , written $\alpha \preceq \beta$, if β can be built by combining adjacent parts of α . For example,



Symmetric functions

Consider the complex polynomial ring in variables x_1, x_2, \dots, x_n , and let S_n act by permutation of the variables. Then define

$$\text{Sym}_n = \mathbb{C}[x_1, \dots, x_n]^{S_n}.$$

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Think: symmetric functions in $\mathbb{C}[[x_1, x_2, \dots]]$.

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Think: symmetric functions in $\mathbb{C}[[x_1, x_2, \dots]]$.

Lots of favorite bases: Any basis of Sym can be indexed by integer partitions $\lambda \vdash n$.

Favorite bases of Sym

Monomial symmetric functions:

$$m_\lambda = \sum_{\substack{\tilde{\alpha}=\lambda \\ i_1 < i_2 < \dots < i_\ell}} x_{i_1}^{\alpha_1} x_{i_2}^{\alpha_2} \cdots x_{i_\ell}^{\alpha_\ell}$$

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Scalar product: $\langle \cdot, \cdot \rangle : \text{Sym} \otimes \text{Sym} \rightarrow \mathbb{C}$ defined by

$$\langle h_\lambda, m_\mu \rangle = \delta_{\lambda, \mu},$$

so that the homogeneous and monomial functions are dual.

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Schur functions:

$$s_\lambda = \sum_{\substack{\text{ss tabl. } T \\ \text{of shape } \lambda}} x^{\text{wt}(T)} = \sum_{\mu} K_{\lambda\mu} m_\mu,$$

where the coefficients $K_{\lambda\mu}$ are the Kostka numbers.

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Note

$$\langle s_\lambda, s_\mu \rangle = \delta_{\lambda,\mu} \quad \text{and} \quad \langle e_\lambda, \omega(m_\mu) \rangle = \delta_{\lambda,\mu}$$

where ω is the involution on Sym sending $e_\lambda \rightarrow h_\lambda$.

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where z_λ is the size of the stabilizer of a permutation of cycle type λ :

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Generating functions

$$H(t) = \sum_{k \geq 0} h_k t^k = \prod_{i \geq 1} (1 - x_i t)^{-1}$$

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$$P(t) = \sum_{k \geq 0} p_k t^k = \frac{d}{dt} \ln(H(t)) = \frac{d}{dt} \ln(1/E(-t))$$

Variations on Sym

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Think: The elementary symmetric functions e_1, e_2, \dots generate Sym , and, aside from commuting, are algebraically independent. Now, we're lifting to an algebra where the elementary functions no longer commute. So the abelianization

$$\mathcal{A}b : \text{NSym} \rightarrow \text{Sym}$$

is surjective (with kernel generated by commutators).

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- Noncom. homog.: $\mathbf{h}_\alpha = \mathbf{h}_{\alpha_1} \cdots \mathbf{h}_{\alpha_\ell}$, where \mathbf{h}_i is defined by...

$$\text{if } \mathbf{E}(t) = \sum_{k \geq 0} \mathbf{e}_k t^k \quad \text{and} \quad \mathbf{H}(t) = \sum_{k \geq 0} \mathbf{h}_k t^k,$$

then $\mathbf{H}(t) = 1/\mathbf{E}(-t)$. (Recall: $H(t) = 1/E(-t)$ in Sym).

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Not the same! (No unique notion of log derivative for power series with noncommutative coefficients.) But

$$\mathcal{A}b(\psi_\alpha) = p_{\tilde{\alpha}} = \mathcal{A}b(\phi_\alpha)$$

Variations on Sym

The ring of **quasisymmetric functions** QSym is a subring of $\mathbb{C}[[x_1, x_2, \dots]]$ consisting of series where the coefficients on the monomials

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$$\sum_{i < j} x_i x_j^2 = x_1 x_2^2 + x_1 x_3^2 + x_2 x_3^2 + \cdots$$

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Extending linearly gives a natural surjective map $\text{QSym} \rightarrow \text{Sym}$.

Dual Hopf algebras

Both NSym and QSym have Hopf algebra structures. In particular, they are *dual* as Hopf algebras, meaning there is a natural pairing

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Question: What is dual to ψ ? to ϕ ?

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This is equivalent to

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Define

$$\mathbf{\Psi}_\alpha = z_{\bar{\alpha}} \psi_\alpha^*, \quad \text{so that} \quad \langle \psi_\alpha, \mathbf{\Psi}_\beta \rangle = z_\alpha \delta_{\alpha\beta}.$$

Computing coefficients

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So

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Theorem (BDHMN)

Type 1 QSym powers sum to Sym powers:

$$p_{\lambda} = \sum_{\tilde{\alpha}=\lambda} \Psi_{\alpha}.$$

Theorem: $p_\lambda = \sum_{\tilde{\alpha}=\lambda} \Psi_\alpha$, where $\Psi_\alpha = z_{\tilde{\alpha}} \sum_{\alpha \preceq \beta} \frac{1}{\pi(\alpha, \beta)} M_\beta$.

Proof outline: For compositions α and β , define $\mathcal{O}_{\alpha, \beta}$ be the set of ordered set partitions $(B_1, \dots, B_{\ell(\beta)})$ of $\{1, \dots, \ell(\alpha)\}$ satisfying

$$\beta_j = \sum_{i \in B_j} \alpha_i \text{ for } 1 \leq j \leq \ell(\beta).$$

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$$\alpha = \begin{array}{|c|c|} \hline \square & \square \\ \hline \square & \square \\ \hline \end{array} \quad \text{and} \quad \beta = \begin{array}{|c|c|} \hline \square & \square \\ \hline \square & \square \\ \hline \end{array},$$

then $\mathcal{O}_{\alpha, \beta}$ contains $(\{1, 3\}, \{2\})$ and $(\{2\}, \{1, 3\})$.

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It has been shown that

$$p_\lambda = \sum_{\text{part}'n \mu} |\mathcal{O}_{\lambda, \mu}| m_\mu, \quad \text{so that} \quad p_\lambda = \sum_{\text{comp } \beta} |\mathcal{O}_{\lambda, \beta}| M_\beta.$$

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where $S_n^\lambda = \{\sigma \in S_n \text{ of cycle type } \lambda\}$.

Two ways of thinking about permutations:

- ▶ In **one-line notation**:

$$\sigma = 571423689$$

is the permutation sending

$$1 \mapsto 5, 2 \mapsto 7, 3 \mapsto 1, \text{ and so on. . .}$$

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Split according to β :

$$57 \parallel 14 \parallel 23689$$

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Non-example: $571428369 \rightarrow (5)(7) \parallel (14) \parallel (2)(836)(9)$

$$\text{Cons}_{(1,2,1) \preceq (1,2,1)} = \{1234, 1243, 1342, 2134, 2143, 2341, 3124, \\ 3142, 3241, 4123, 4132, 4231\},$$

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Lemma

Fix $\alpha \preceq \beta$ of size n . Then

$$n! = |\text{Cons}_{\alpha \preceq \beta}| \cdot \pi(\alpha, \beta).$$

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

Example: $\alpha = (2, 3, 2, 2)$, $\beta = (5, 4)$, $\sigma = 739628451$ ($\in \text{Cons}_{\alpha \preceq \beta}$).

Split σ according to β : $\underbrace{73962}_{\sigma^{(1)}} \parallel \underbrace{8451}_{\sigma^{(1)}}$

For each i , “rotate” $\sigma^{(i)}$ into consistency with $\alpha \preceq \beta$, and record rotations. . .


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

Split σ according to β : $\underbrace{73962}_{\sigma^{(1)}} \parallel \underbrace{8451}_{\sigma^{(2)}}$

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$$i = 1: \sigma^{(1)} = 73962, \quad \beta_1 \text{ parts of } \alpha: \text{  }$$


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

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
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

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
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
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

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
Then there is a bijection


$$S_n \rightarrow \text{Cons}_{\alpha \preceq \beta} \times A_{\alpha \preceq \beta} :$$

Example: $\alpha =$ , $\beta =$ , $\sigma = 739628451 \in \text{Cons}_{\alpha \preceq \beta}$.

Split σ according to β : $\underbrace{73962}_{\sigma^{(1)}} \parallel \underbrace{8451}_{\sigma^{(2)}}$

For each i , “rotate” $\sigma^{(i)}$ into consistency with $\alpha \preceq \beta$, and record rotations...



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
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

$i = 1$: $\sigma^{(1)} = 73962$, β_1 parts of α : 

 block: $73962 \xrightarrow{\text{rotate left by } 3} 62739$, $s_2^{(1)} = 3$

 block: $62|739$


Then there is a bijection


$$S_n \rightarrow \text{Cons}_{\alpha \preceq \beta} \times A_{\alpha \preceq \beta} :$$


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

$i = 1$: $\sigma^{(1)} = 73962$, β_1 parts of α : 

 block: $73962 \xrightarrow{\text{rotate left by 3}} 62739$, $s_2^{(1)} = 3$

 block: $62|739 \xrightarrow{\text{rotate left by 2}} 26|739$, $s_1^{(1)} = 2$


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
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

 block: $73962 \xrightarrow{\text{rotate left by } 3} 62739$, $s_2^{(1)} = 3$

 block: $62|739 \xrightarrow{\text{rotate left by } 2} 26|739$, $s_1^{(1)} = 2$

$i = 2$: $\sigma^{(2)} = 8451$, β_2 parts of α : 


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

 block: $62|739 \xrightarrow{\text{rotate left by 2}} 26|739$, $s_1^{(1)} = 2$

$i = 2$: $\sigma^{(2)} = 8451$, β_2 parts of α : 

 block:


Then there is a bijection


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
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

 block: $62|739 \xrightarrow{\text{rotate left by } 2} 26|739$, $s_1^{(1)} = 2$

$i = 2$: $\sigma^{(2)} = 8451$, β_2 parts of α : 

 block: 8451


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
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

 block: $62|739 \xrightarrow{\text{rotate left by 2}} 26|739$, $s_1^{(1)} = 2$

$i = 2$: $\sigma^{(2)} = 8451$, β_2 parts of α : 

 block: $8451 \xrightarrow{\text{rotate left by 1}} 4518$, $s_2^{(2)} = 1$


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
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

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 block: $8451 \xrightarrow{\text{rotate left by 1}} 4518$, $s_2^{(2)} = 1$

 block:


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
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

$i = 2$: $\sigma^{(2)} = 8451$, β_2 parts of α : 

 block: $8451 \xrightarrow{\text{rotate left by 1}} 4518$, $s_2^{(2)} = 1$

 block: $45|18$


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
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
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

$i = 2$: $\sigma^{(2)} = 8451$, β_2 parts of α : 

 block: $8451 \xrightarrow{\text{rotate left by 1}} 4518$, $s_2^{(2)} = 1$

 block: $45|18 \xrightarrow{\text{rotate left by 0}} 45|18$


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
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
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

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
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
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

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So $739628451 \mapsto (267394518, ((2, 3), (0, 1)))$.


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
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So $739628451 \mapsto (267394518, ((2, 3), (0, 1)))$.

Invertible!

Lemma

Fix $\alpha \preceq \beta$ of size n . Then

$$n! = |\text{Cons}_{\alpha \preceq \beta}| \cdot \pi(\alpha, \beta).$$

Proof: Let

$$A_{\alpha \preceq \beta} = \bigotimes_{i=1}^{\ell(\beta)} \left(\bigotimes_{j=1}^{\ell(\alpha^{(i)})} \mathbb{Z}/a_j^{(i)}\mathbb{Z} \right), \quad \text{where } a_j^{(i)} = \sum_{r=1}^j \alpha_r^{(i)},$$

so that $|A_{\alpha \preceq \beta}| = \pi(\alpha, \beta)$. Then there is a bijection

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$$|\mathcal{O}_{\alpha \preceq \beta}| \cdot |S_n^\lambda| = \sum_{\substack{\alpha \preceq \beta \\ \tilde{\alpha} = \lambda}} |\text{Cons}_{\alpha \preceq \beta}|.$$

(Similar proof.)

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(Similar proof.)

Therefore

$$|\mathcal{O}_{\lambda, \beta}| \cdot |S_n^\lambda| = \sum_{\substack{\alpha \preceq \beta \\ \tilde{\alpha} = \lambda}} \frac{n!}{\pi(\alpha, \beta)},$$

so that

$$p_\lambda = \sum_{\text{comp } \beta} |\mathcal{O}_{\lambda, \beta}| M_\beta = \sum_{\tilde{\alpha} = \lambda} \Psi_\alpha, \quad \text{where} \quad \Psi_\alpha = z_{\tilde{\alpha}} \sum_{\alpha \preceq \beta} \frac{1}{\pi(\alpha, \beta)} M_\beta,$$

as desired.

Type 2

In Sym the power sum basis is (essentially) self-dual:

$$\langle p_\lambda, p_\mu \rangle = z_\lambda \delta_{\lambda\mu}.$$

In NSym, the **type 2 power sum basis** is defined by the generating function relation

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Define

$$\Phi_\alpha = z_{\tilde{\alpha}} \phi_\alpha^*, \quad \text{so that} \quad \langle \phi_\alpha, \Phi_\beta \rangle = z_\alpha \delta_{\alpha\beta}.$$

Computing coefficients

$$\Phi_\alpha = z_{\tilde{\alpha}} \sum_{\beta \succ \alpha} \frac{1}{\text{sp}(\alpha, \beta)} M_\beta.$$

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First, for each block, we compute $\text{sp}(\gamma) = \ell(\gamma)! \prod_k \gamma_j$:

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Then, for α refining β , the coefficient of M_β in ψ_α^* is $1/\text{sp}(\alpha, \beta)$, where

$$\begin{aligned} \text{sp} \left(\begin{array}{c} \square \\ \square \\ \square \\ \square \\ \square \\ \square \\ \square \\ \square \\ \square \\ \square \end{array}, \begin{array}{c} \square \\ \square \\ \square \\ \square \\ \square \\ \square \\ \square \\ \square \\ \square \\ \square \end{array} \right) &= \text{sp} \left(\begin{array}{c} \square \\ \square \\ \square \end{array} \right) \text{sp} \left(\begin{array}{c} \square \\ \square \end{array} \right) \text{sp} \left(\begin{array}{c} \square \\ \square \\ \square \\ \square \\ \square \end{array} \right) \text{sp} \left(\begin{array}{c} \square \\ \square \\ \square \end{array} \right) \\ &= 3!(1 \cdot 2 \cdot 1) \cdot 1!(2) \cdot 1!(5) \cdot 3!(1 \cdot 1 \cdot 2) \end{aligned}$$

Computing coefficients

$$\text{sp} \left(\begin{array}{|c|} \hline \square \\ \square \\ \square \\ \hline \end{array} \right) = \ell(\gamma)! \prod_k \gamma_j = 3!(1 \cdot 2 \cdot 1)$$

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As another example, $z_{\begin{array}{|c|} \hline \square \\ \square \\ \hline \end{array}} = 2$,

$$\Phi_{\begin{array}{|c|} \hline \square \\ \square \\ \hline \end{array}} = z_{\begin{array}{|c|} \hline \square \\ \square \\ \hline \end{array}} \phi_{\begin{array}{|c|} \hline \square \\ \square \\ \hline \end{array}}^* = 2 \left(\frac{1}{2} M_{\begin{array}{|c|} \hline \square \\ \square \\ \hline \end{array}} + \frac{1}{4} M_{\begin{array}{|c|} \hline \square \\ \square \\ \square \\ \square \\ \hline \end{array}} \right)$$

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Computing coefficients

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Theorem (BDHMN)

Type 2 QSym powers sum to Sym powers:

$$p_{\lambda} = \sum_{\tilde{\alpha}=\lambda} \Phi_{\alpha}.$$