## An Algebraic Approach to Voting Theory

### Zajj Daugherty

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Introduction to Voting Theory Algebraic Methods Results

## Overview

#### 1 Introduction to Voting Theory

Collecting Data Tallying Data Comparing Methods

#### 2 Algebraic Methods

Tally Methods as Linear Maps Relating Maps

#### 3 Results

Full Rankings Partial Rankings

# Asking for votes



A	A	В	В	С	C
В	С	A	С	A	В
С	В		A	В	A

#### Partial Rankings

Ex. Top 3 from 5 candidates

В	В	В	С	С	С	D	D	A D E	E	E	
DE	ED	CD	DE	BE	BD	CE	BE	ВС	CD	BD	ВС



# Asking for votes

#### Full Rankings Ex. 3 candidates

Α	Α	В	В	С	С
В	С	Α	С	Α	В
С	В	С	Α	В	Α

#### Partial Rankings

Ex. Top 3 from 5 candidates

B C	B C	B E	C B	C D	C E	D B	D C	A D E	E B	E C	E D
	ED										



# Asking for votes



A	A	В	В	С	С
В	С	A	С	A	В
С	В	С	A	В	A

#### Partial Rankings

Ex. Top 3 from 5 candidates

B C	B E	C B	D	С	D B	С	D E	В	A E C BD	E D
							:			

Positional methods



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## Tallying votes

### Positional methods

• Weighting vector



# Tallying votes

### Positional methods

- Weighting vector
  - Plurality

$$\mathbf{w} = [1, 0, 0]$$



# Tallying votes

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Anti-plurality

$$\mathbf{w} = [1, 1, 0]$$

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### Positional methods

- Weighting vector
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$$w = [1, 0, 0]$$

Anti-plurality

$$w = [1, 1, 0]$$

Borda Count

$$w = [2, 1, 0]$$

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$$w = [2, 1, 0]$$

$$w = [1, 1/2, 0]$$

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# Tallying votes

### Positional methods

- Weighting vector
  - Plurality

Anti-plurality

$$\mathbf{w} = [1, 1, 0]$$

Borda Count

$$w = [2, 1, 0]$$

$$w = [1, 1/2, 0]$$

In general

$$w = [1, t, 0], \quad 0 \le t \le 1$$



Introduction to Voting Theory Algebraic Methods Results Collecting Data Tallying Data Comparing Methods

## Tallying votes

Pairwise (Condorcet) method

#### A wins over B if A > B more times than B > A.



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Condorcet winner

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- Condorcet criterion



Pairwise (Condorcet) method

A wins over B if A > B more times than B > A.

- Condorcet winner
- Condorcet criterion
- Cyclic preferences



## Comparing methods

#### Example

Α	A C B	В	В	С	С
В	С	Α	С	Α	В
С	В	С	Α	В	Α
10	25	5	25	5	20



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# Comparing methods

### Example

Α	Α	В	В	С	С
В	С	Α	С	Α	В
С	A C B	С	Α	В	Α
10	25	5	25	5	20

Positional tally:  $\mathbf{w} = [1, 0, 0]$  "Plurality"



# Comparing methods

### Example

Α	Α	В	В	С	С
В	С	Α	С	Α	В
С	A C B	С	Α	В	Α
10	25	5	25	5	20

Positional tally: 
$$\mathbf{w} = [1, 0, 0]$$
 "Plurality"

A: 10 + 25 = 35 B: 5 + 25 = 30 C: 5 + 20 = 25



# Comparing methods

### Example

Α	A C B	В	В	С	С
В	С	Α	С	Α	В
С	В	С	A	В	A
10	25	5	25	5	20

Positional tally: 
$$\mathbf{w} = [1, 0, 0]$$
 "Plurality"  
 $A: 10 + 25 = 35$   $B: 5 + 25 = 30$   $C: 5 + 20 =$ 

Pairwise Tally

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# Comparing methods

#### Example

Α	A C B	В	В	С	С
В	С	Α	С	Α	В
С	В	С	Α	В	Α
10	25	5	25	5	20

Positional tally: 
$$\mathbf{w} = [1, 0, 0]$$
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A: 10 + 25 = 35 B: 5 + 25 = 30 C: 5 + 20 = 25

#### Pairwise Tally

B > A 50:40 C > A 50:40 C > B 50:40

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# Comparing methods

#### Example

Α	A C B	В	В	С	С
В	С	Α	С	Α	В
С	В	С	Α	В	Α
10	25	5	25	5	20

Positional tally: 
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Pairwise Tally

B > A 50:40 C > A 50:40 C > B 50:40

Pos: A > B > C vs. Pairws: C > B > A

# Comparing methods

### Example

A B	A C B	B A	B C	C A	C B
10	25	5	25	5	20

Positional tally: 
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 "Plurality"

A: 10 + 25 = 35 B: 5 + 25 = 30 C: 5 + 20 = 25

Pairwise Tally

B > A 50:40 C > A 50:40 C > B 50:40

 Pos: A > B > C
 vs.
 Pairws: C > B > A
 a.r.t.

 An Algebraic Approach to Voting Theory

# Comparing methods

### Example

	Α	Α	В	В	С	С		
	В	C B	Α	С	Α	В		
	С	В	С	Α	В	Α		
(	10,	25,	5,	25,	5,	20	)	

Positional tally: 
$$\mathbf{w} = [1, 0, 0]$$
 "Plurality"

A: 10+25 = 35 B: 5+25 = 30 C: 5+20 = 25

Pairwise Tally

B > A 50:40 C > A 50:40 C > B 50:40

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## What is fair?



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Kenneth Arrow

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  - Citizen's Sovereignty: all possible outcomes are achievable.
  - Non-dictatorship: outcome dictated by more than one vote.
- There is no 'ideal' system.

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## What is fair?

Donald Saari



Donald Saari

• Geometric tools to compare pairwise and positional tallies for full rankings



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- Geometric tools to compare pairwise and positional tallies for full rankings
- Voting profiles as vectors

$$\mathbf{p} = (p_1, \ldots, p_{n!})$$

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- Voting profiles as vectors

$$\mathbf{p}=(p_1,\ldots,p_{n!})$$

- Breaking down the profile space
- Borda Count minimizes conflicts

#### Positional Method

$$w = [1, t, 0], \qquad 0 \le t \le 1$$

Pairwise Method

$$P = \begin{pmatrix} 1 & 1 & 0 & 0 & 1 & 0 \\ 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 1 \\ 1 & 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 \\ 0 & 1 & 0 & 0 & 1 & 1 \end{pmatrix} \begin{pmatrix} A > B \\ A > C \\ B > A \\ B > C \\ C > A \\ C > B \end{pmatrix}$$



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 $w = [1, t, 0], \qquad 0 \le t \le 1$ 

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$$P = \begin{pmatrix} 1 & 1 & 0 & 0 & 1 & 0 \\ 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 1 \\ 1 & 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 \\ 0 & 1 & 0 & 0 & 1 & 1 \end{pmatrix} \begin{vmatrix} A > B \\ A > C \\ B > A \\ B > C \\ C > A \\ C > B \end{vmatrix}$$

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Positional Method

 $\mathbf{w} = [1, t, 0], \qquad 0 \leq t \leq 1$ 

$$T_{\mathbf{w}} = \begin{pmatrix} 1 & 1 & t & 0 & t & 0 \\ t & 0 & 1 & 1 & 0 & t \\ 0 & t & 0 & t & 1 & 1 \end{pmatrix} \begin{pmatrix} A \\ B \\ C \end{pmatrix}$$

Pairwise Method

$$P = \begin{pmatrix} 1 & 1 & 0 & 0 & 1 & 0 \\ 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 1 \\ 1 & 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 \\ 0 & 1 & 0 & 0 & 1 & 1 \end{pmatrix} \begin{vmatrix} A > B \\ A > C \\ B > A \\ B > C \\ C > A \\ C > B \end{vmatrix}$$

C > B > A



Positional Method

 $\mathbf{w} = [1, t, 0], \qquad 0 \leq t \leq 1$ 

Pairwise Method

$$P = \begin{pmatrix} 1 & 1 & 0 & 0 & 1 & 0 \\ 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 1 \\ 1 & 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 \\ 0 & 1 & 0 & 0 & 1 & 1 \end{pmatrix} \begin{vmatrix} A > B \\ A > C \\ B > A \\ B > C \\ C > A \\ C > B \end{vmatrix}$$

A > B > C

C > B > A



#### Positional Method

 $w = [1, t, 0], \qquad 0 \le t \le 1$ 

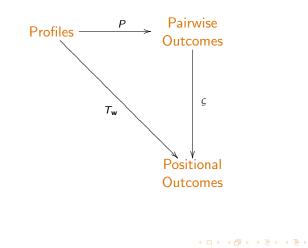
Pairwise Method

$$P = \begin{pmatrix} 1 & 1 & 0 & 0 & 1 & 0 \\ 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 1 \\ 1 & 0 & 1 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 \\ 0 & 1 & 0 & 0 & 1 & 1 \end{pmatrix} \begin{pmatrix} A > B \\ A > C \\ B > A \\ B > C \\ C > A \\ C > B \end{pmatrix}$$



Tally Methods as Linear Maps Relating Maps

## Relating Tally Maps



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#### Theorem

All pairwise and positional maps are  $\mathbb{Q}S_n$ -module homomorphisms.



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All pairwise and positional maps are  $\mathbb{Q}S_n$ -module homomorphisms.

# $U \cong W_1 \oplus W_2 \oplus W_3 \oplus Y_1 \oplus Z_1$ $V \cong W_4 \oplus W_5 \oplus Y_2 \oplus Y_3.$



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All pairwise and positional maps are  $\mathbb{Q}S_n$ -module homomorphisms.

$$U \cong W_1 \oplus W_2 \oplus W_3 \oplus Y_1 \oplus Z_1$$
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#### $w_1 \mapsto w_4$

#### Theorem

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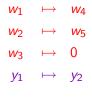


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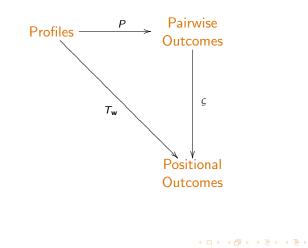
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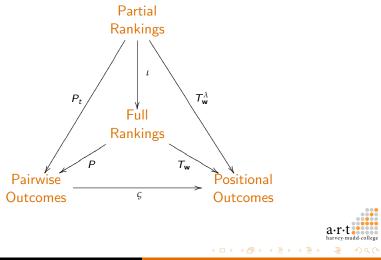
Tally Methods as Linear Maps Relating Maps

## Relating Tally Maps



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### More Comparisons



Full Rankings Partial Rankings

### Results

Full Rankings:



Full Rankings Partial Rankings

### Results

Full Rankings:

• Recovery of Saari's results



### Results

Full Rankings:

- Recovery of Saari's results
- Borda Count uniquely allows our maps to commute



### Results

Full Rankings:

- Recovery of Saari's results
- Borda Count uniquely allows our maps to commute
- Tools for a new perspective

### Results

For rankings of top k from n candidates, two cases:



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### Results

For rankings of top k from n candidates, two cases:

• If pairwise map gives tying candidates each 1/2 point, a particular scaled linear modification of the Borda Count works uniquely.



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A B C D F



### Results

For rankings of top k from n candidates, two cases:

• If pairwise map gives tying candidates each 1/2 point, a particular scaled linear modification of the Borda Count works uniquely.

$$\begin{array}{cccc}
A & 1 \\
B & 3/4 \\
\hline
C & 2/4 \\
\hline
D & 1/4 \\
E & 0
\end{array}$$



### Results

For rankings of top k from n candidates, two cases:

• If pairwise map gives tying candidates each 1/2 point, a particular scaled linear modification of the Borda Count works uniquely.

Α	1	1
В	3/4	3/4
С	2/4	2/4
D	1/4	1/8
Ε	0	1/8



### Results

For rankings of top k from n candidates, two cases:

• If pairwise map gives tying candidates each 1/2 point, a particular scaled linear modification of the Borda Count works uniquely.

Α	1	1	7/8
В	3/4	3/4	5/8
С	2/4	2/4	3/8
D	1/4	1/8	0
Ε	0	1/8	0



### Results

For rankings of top k from n candidates, two cases:

• If pairwise map gives tying candidates each 1/2 point, a particular scaled linear modification of the Borda Count works uniquely.

Α	1	1	7/8	1
В	3/4	3/4	5/8	5/7
С	2/4	2/4	3/8	3/7
D	1/4	1/8	0	0
Ε	0	1/8	0	0



### Results

For rankings of top k from n candidates, two cases:

• If pairwise map gives tying candidates each 1/2 point, a particular scaled linear modification of the Borda Count works uniquely.

Α	1	1	7/8	1
В	3/4	3/4	5/8	5/7
С	2/4	2/4	3/8	3/7
D	1/4	1/8	0	0
Ε	0	1/8	0	0

 If pairwise map gives tying candidates each something besides 1/2 point, there may be more freedom in our choice of positional method.

### Thanks to ...

Harvey Mudd College Mathematics Department

Reed Institute for Decision Science, Claremont McKenna

Professor Michael Orrison

Fellow thesis students

### for more information: http://www.math.hmc.edu/~zajj/thesis/

