

Lecture Notes from Math 412, Fall 2018

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Galois

- Born 1811
- Published at age 18
- Cursed out examiner at École Polytechnique (denied entry)
- Expelled from École Normale for political editorial
- Joined a Republican artillery unit of the National Guard that was then disbanded for plotting a coup.
- Imprisoned for six months after political protest
- Killed in a duel. Final words to his younger brother: "Don't cry, Alfred! I need all my courage to die at twenty!"
- Mathematical testament written right before death outlined his work. "Ask Jacobi or Gauss to publicly give their opinion, not as to the truth, but as to the importance of these theorems. Later, there will be, I hope, some people who will find it to their advantage to decipher all this mess." Indeed - us!

Main idea Translate properties of algebraic solutions to polynomial equations into properties of the Galois group of automorphisms of the splitting field.

2.1 Polynomials of several variables

Variables x_1, x_2, \dots, x_n

For F a field, $F[x_1, \dots, x_n] = \{ \text{polynomials in } x_1, \dots, x_n \text{ with coefficients in } F \}$

Monomial: $x_1^{a_1} x_2^{a_2} \dots x_n^{a_n}$, $a_i \in \mathbb{N}$

Term: $c x_1^{a_1} \dots x_n^{a_n}$, $c \in F$

Polynomial: sum of terms

The degree of a term $cx_1^{a_1} \cdots x_n^{a_n}$ is $a_1 + \cdots + a_n$ ($c \neq 0$).

The degree $\deg(f)$ of a polynomial f is the maximal degree of its terms ($f \neq 0$). Define $\deg(0) = -\infty$.

Check $\deg(fg) = \deg(f) + \deg(g)$.

Think Pair Share Why does this imply that $F[x_1, \dots, x_n]$ is an integral domain? (No zero divisors.)

Then $F[x_1, \dots, x_n]$ is a unique factorization domain.

Remark But for $n > 1$, $F[x_1, \dots, x_n]$ is not a PID!

Then F a field, R an F -algebra (commutative ring containing F).

Then for any set function $f: \{x_1, \dots, x_n\} \rightarrow R$ there is a unique ring homomorphism $g: F[x_1, \dots, x_n] \rightarrow R$ such that

$$g(x_i) = f(x_i), \quad i=1, \dots, n. \quad \text{I.e.} \quad \begin{array}{ccc} \{x_1, \dots, x_n\} & \xrightarrow{f} & R \\ \downarrow & & \uparrow \\ x_i & \in & F[x_1, \dots, x_n] \end{array} \quad \exists! g$$

Remark g is evaluation at $f(x_1), \dots, f(x_n)$:

$$g: h(x_1, \dots, x_n) \mapsto h(f(x_1), \dots, f(x_n))$$

• Say that $F[x_1, \dots, x_n]$ is the free F -algebra on $\{x_1, \dots, x_n\}$.

Defn x_1, \dots, x_n variables over a field F . The elementary symmetric polynomials $\sigma_1, \dots, \sigma_n \in F[x_1, \dots, x_n]$ are

$$\sigma_1 := x_1 + \cdots + x_n$$

$$\sigma_2 := \sum_{1 \leq i < j \leq n} x_i x_j$$

$$\sigma_3 := \sum_{1 \leq i < j < k \leq n} x_i x_j x_k$$

\vdots

$$\sigma_r := \sum_{1 \leq i_1 < i_2 < \cdots < i_r \leq n} x_{i_1} x_{i_2} \cdots x_{i_r}$$

\vdots

$$\sigma_n := x_1 x_2 \cdots x_n$$

Prop $(x-x_1)(x-x_2)\cdots(x-x_n) = x^n - \sigma_1 x^{n-1} + \sigma_2 x^{n-2} - \cdots + (-1)^n \sigma_n$

i.e. $\prod_{i=1}^n (x-x_i) = \sum_{i=0}^n (-1)^i \sigma_i x^{n-i}$ where $\sigma_0 = 1$.

Pf When multiplying out $\prod_{i=1}^n (x-x_i)$, we get an x^{n-i} term

when we take $n-i$ x 's and i x_i 's, each of which comes with a (-1) coefficient. Thus the coefficient of x^{n-i} is

$$\sum_{1 \leq j_1 < j_2 < \cdots < j_i \leq n} (-1)^i x_{j_1} x_{j_2} \cdots x_{j_i} = (-1)^i \sigma_i. \quad \square$$

Cor If $f = x^n + a_{n-1}x^{n-1} + \cdots + a_{n-1}x + a_n \in F[x]$ has roots $\alpha_1, \dots, \alpha_n \in L \supseteq F$, then $a_r = (-1)^r \sigma_r(\alpha_1, \dots, \alpha_n)$. \square

Symmetric Polynomials

$G \subset S$
 group (left) G -set

$S^G := \{s \in S \mid g \cdot s = s\}$ is the fixed set of S .
 (or G -invariants)

$\Sigma_n = S_n =$ permutations of $\{1, 2, \dots, n\} =$ symmetric group on n letters

$\Sigma_n \subset F[x_1, \dots, x_n]$ by permuting variables:

$$\sigma \cdot f(x_1, \dots, x_n) = f(x_{\sigma(1)}, \dots, x_{\sigma(n)})$$

Moral Exercise Check that this is an action: $e \cdot f = f$, $(\sigma\tau) \cdot f = \sigma(\tau \cdot f)$.

TPS $\sigma \cdot (f+g) = \sigma f + \sigma g$, $\sigma \cdot (fg) = (\sigma f)(\sigma g)$

and thus $F[x_1, \dots, x_n]^{\Sigma_n}$ is a ring.

Thm $F[x_1, \dots, x_n]^{\Sigma_n} = F[\sigma_1, \dots, \sigma_n]$, i.e., every symmetric polynomial is a polynomial in elementary symmetric polynomials. (and this expression is unique).

e.g. $x^3 + y^3 = (x+y)^3 - 3xy(x+y) = \sigma_1^3 - 3\sigma_1\sigma_2$.

Our proof uses graded lexicographic monomial order:

$$x_1^{a_1} \dots x_n^{a_n} < x_1^{b_1} \dots x_n^{b_n} \iff a_1 + \dots + a_n < b_1 + \dots + b_n$$

$$\text{or } \Sigma a_i = \Sigma b_i \ \& \ a_1 < b_1$$

$$\text{or } \Sigma a_i = \Sigma b_i, \ a_1 = b_1, \ \& \ a_2 < b_2$$

$$\text{or } \Sigma a_i = \Sigma b_i, \ a_1 = b_1, \ a_2 = b_2, \ \& \ a_3 < b_3$$

or ...

e.g. $x_1^4 x_2^2 x_3 < x_1^2 x_2^3 x_3^3$, $x_1^4 x_2^2 x_3 > x_1^4 x_2 x_3^2$.

TPS Fix a monomial $x_1^{a_1} \dots x_n^{a_n}$. Show that $\{x_1^{b_1} \dots x_n^{b_n} < x_1^{a_1} \dots x_n^{a_n}\}$ is finite.

Defn The (graded lexicographic) leading term of $f \neq 0 \in F[x_1, \dots, x_n]$ is the term of f with largest monomial in the grlex order.

Pf of Thm Take $f \in F[x_1, \dots, x_n]^{\Sigma_n}$ with leading term $c x_1^{a_1} \dots x_n^{a_n}$. By symmetry, $a_1 \geq a_2 \geq \dots \geq a_n$ (check this!).

Set $g = \sigma_1^{a_1 - a_2} \sigma_2^{a_2 - a_3} \dots \sigma_{n-1}^{a_{n-1} - a_n} \sigma_n^{a_n}$ and check that the leading term of g is $x_1^{a_1} \dots x_n^{a_n}$. Hence $f_1 = f - cg$ has a strictly smaller leading term and is also symmetric.

Repeat this process to produce $f_2 = f_1 - c_1 g_1 = f - cg - c_1 g_1$, $f_3 = f - cg - c_1 g_1 - c_2 g_2$, etc. with $c_i \in F^*$, g_i polynomials in $\sigma_1, \dots, \sigma_n$. At each stage, the leading term gets strictly smaller.

TPS Why does this process terminate with some $f_m = 0$?

If $f_m = f - cg - c_1 g_1 - \dots - c_{m-1} g_{m-1} = 0$, then

$$f = cg + c_1 g_1 + \dots + c_{m-1} g_{m-1}.$$

Uniqueness: Read the proof of Thm 2.2.7 in the textbook. \square

Note Uniqueness tells us $\sigma_1, \dots, \sigma_n$ are algebraically independent.

Write $\sum_n x_1^{a_1} \dots x_n^{a_n} := \sum \sum_n \{x_1^{a_1} \dots x_n^{a_n}\}$ so that

$$\sum_2 x_1^2 x_2 = x_1^2 x_2 + x_2^2 x_1 \quad \text{add together everything in the } \sum_n \text{ orbit.}$$

$$\sum_3 x_1^2 x_2 = x_1^2 x_2 + x_2^2 x_1 + x_1^2 x_3 + x_3^2 x_1 + x_2^2 x_3 + x_3^2 x_2.$$

The discriminant

For $n \geq 2$ variables x_1, \dots, x_n over a field F , the discriminant

$$\text{is } \Delta := \prod_{1 \leq i < j \leq n} (x_i - x_j)^2 \in F[x_1, \dots, x_n].$$

$$= \left(\prod_{\substack{i \neq j \\ 1 \leq i, j \leq n}} (x_i - x_j) \right) \cdot (-1)^{\binom{n}{2}} \in F[x_1, \dots, x_n]^{\Sigma_n}.$$

Taking square root:

$$\sqrt{\Delta} = \prod_{1 \leq i < j \leq n} (x_i - x_j) \in F[x_1, \dots, x_n]$$

Prop For $\sigma \in \Sigma_n$, $\sigma \cdot \sqrt{\Delta} = \text{sgn}(\sigma) \sqrt{\Delta}$

Pf HW! \square

Now define the discriminant of a polynomial $f = x^n + a_1 x^{n-1} + \dots + a_n \in F[x]$.

Let $\tilde{f} = x^n - \sigma_1 x^{n-1} + \sigma_2 x^{n-2} + \dots + (-1)^n \sigma_n \in F[x, \sigma_1, \dots, \sigma_n]$.

Then $\tilde{f} \mapsto f$ under the map taking σ_i to $(-1)^i a_i$ (evaluation on $F[x, \sigma_1, \dots, \sigma_n]$).

Defn $\Delta(f) = \Delta(-a_1, a_2, \dots, (-1)^n a_n)$ where $\Delta = \Delta(\sigma_1, \dots, \sigma_n)$.

$\Delta(f) := 1$ if f has degree 1.

e.g. $f = x^2 + bx + c$

$$\Delta = x_1^2 - 2x_1 x_2 + x_2^2 = \sigma_1^2 - 4\sigma_2$$

$$\Rightarrow \Delta(f) = b^2 - 4c.$$

Prop If $f \in F[x]$ monic of deg $n \geq 2$ has roots $\alpha_1, \dots, \alpha_n$ in $L \supseteq F$, then $\Delta(f) = \prod_{1 \leq i < j \leq n} (\alpha_i - \alpha_j)^2$.

Pf Consider the evaluation map $x_i \mapsto \alpha_i$; then $\Delta \mapsto \prod_{1 \leq i < j \leq n} (\alpha_i - \alpha_j)^2$.

If $\Delta = \Delta(\sigma_1, \dots, \sigma_n)$, then $x_i \mapsto \alpha_i$ takes Δ to

$$\Delta(\sigma_1(\alpha_1, \dots, \alpha_n), \dots, \sigma_n(\alpha_1, \dots, \alpha_n)) = \Delta(-a_1, a_2, \dots, (-1)^n a_n) = \Delta(f).$$

\square

Note Let $R = F[x_1, \dots, x_n]$ and $A_n = \ker(\text{sgn}) \cong \Sigma_n$ denote the alternating group. Then $R^{\Sigma_n} \subseteq R^{A_n} \subseteq R$ and $\sqrt{\Delta}$ is an example of an element of $R^{A_n} \setminus R^{\Sigma_n}$. In fact,

$$R^{A_n} = R^{\Sigma_n}[\sqrt{\Delta}] / ((\sqrt{\Delta})^2 - \Delta) = F[x_1, \dots, x_n, \sqrt{\Delta}] / (\Delta^2 - \Delta).$$

We'll prove a function field version of this in Ch. 7.

Prop
$$\sqrt{\Delta} = \det \begin{pmatrix} 1 & x_1 & x_1^2 & \dots & x_1^{n-1} \\ \vdots & x_2 & x_2^2 & \dots & x_2^{n-1} \\ \vdots & x_3 & x_3^2 & \dots & x_3^{n-1} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & x_n & x_n^2 & \dots & x_n^{n-1} \end{pmatrix} \cdot (-1)^{n(n-1)/2}$$

Pf Call the matrix in question V . By the Leibniz (permutation) expansion of $\det V$,

$$\det V = \sum_{\sigma \in \Sigma_n} \text{sgn}(\sigma) \prod_{i=1}^n x_{\sigma(i)}^{i-1}.$$

Thus each term has degree $0+1+\dots+(n-1) = \frac{n(n-1)}{2}$.

If we set x_j equal to x_i ($i \neq j$), V has two identical rows and thus 0 determinant. Thus $x_j - x_i$ is a factor of $\det V$.

Hence $\det V = g \cdot \sqrt{\Delta}$ for some polynomial g . Clearly $\sqrt{\Delta}$ is homogeneous of degree $\frac{n(n-1)}{2}$ so g is constant.

The $\sigma = e$ contribution to $\det V$ is $x_2 x_3^2 \dots x_n^{n-1}$ which equals the summand of $\sqrt{\Delta}$ given by multiplying all first terms in $(x_2 - x_1)(x_3 - x_1)(x_3 - x_2)(x_4 - x_3)(x_4 - x_2)(x_4 - x_3) \dots$

Hence $g=1$ and $\sqrt{\Delta} = \det V$. \square

\diamond As written, proof neglects the sign — spot the mistake!

Existence of Roots

Two perspectives on \mathbb{C} :

Hamilton: $\mathbb{C} = \mathbb{R}^2$ with $(a, b)(c, d) = (ac - bd, ad + bc)$

Cauchy: $\mathbb{C} = \mathbb{R}[x]/(x^2+1)$. Mult'n law derives from taking remainder of $(a+bx)(c+dx)$ upon division by x^2+1 . Field b/c $(x^2+1) \subseteq \mathbb{R}[x]$ is a maximal ideal:

Prop If F is a field and $f \in F[x]$ is nonconstant, then TFAE

- (a) The poly f is irreducible over F .
- (b) The ideal $(f) = \{fg \mid g \in F[x]\}$ is maximal.
- (c) The quotient ring $F[x]/(f)$ is a field.

Pf (b) \Leftrightarrow (c) is standard.

(a) \Rightarrow (b). Suppose f irred, $(f) \subseteq I \subseteq F[x]$. Since $F[x]$ is a PID, $I = (g)$ for some $g \in F[x]$. Then $f \in (g)$ implies $f = gh$ for some $h \in F[x]$. Since f is irred, g or h must be constant. If g constant, $I = F[x]$. If h constant, $I = (f)$.

(b) \Rightarrow (a). Suppose (f) max'l and let $f = gh$. Then $(f) \subseteq (g) \subseteq F[x]$. The former implies h constant, the latter g constant. Thus f irred. \square

Since x^2+1 irred/ \mathbb{R} (TFS: Why?) we deduce (x^2+1) max'l so $\mathbb{R}[x]/(x^2+1)$ is a field.

Defn Given a ring homomorphism of fields $\varphi: F \rightarrow L$, say L is a field extension of F via φ . Usually identify F with its image $\varphi(F) \subseteq L$, and write $F \subseteq L$.

HW φ is injective inducing $F \cong \varphi(F)$.

Notation Write L/F when L is a field extension of F .

Prop If $f \in F[x]$ is irreducible, then there exists L/F and $\alpha \in L$ s.t. $f(\alpha) = 0$.

Pf Let $L = F[x]/(f) \xleftarrow{\varphi} F$. Set $\alpha = x + (f)$.
 $\alpha + (f) \xleftarrow{\varphi} a$

Suppose $f = a_0 x^n + \dots + a_n$ w/ $a_i \in F$. Then

$$\begin{aligned} f(\alpha) &= (a_0 + (f))(x + (f))^n + \dots + (a_n + (f)) \\ &= a_0 x^n + \dots + a_n + (f) \\ &= f + (f) = 0 + (f). \quad \square \end{aligned}$$

Recall $\alpha \in L$ is a root of $f \in L[x]$ iff $x - \alpha$ is a factor of f in $L[x]$.

A field L contains all roots of f means f factors

$$f = a_0 (x - \alpha_1) \dots (x - \alpha_n)$$

where $\alpha_1, \dots, \alpha_n \in L$. When this happens, we say f splits completely over L .

Thm Let $f \in F[x]$ be a poly of degree $n > 0$. Then $\exists L/F$ s.t. f splits completely over L .

Pf by induction on $n = \deg(f)$. If $n = 1$, $f = a_0 x + a_1$, $a_0 \neq 0$, $a_0, a_1 \in F$.

$$\text{Then } L = F, \alpha_1 = -a_1/a_0 \Rightarrow f = a_0(x - \alpha_1).$$

Now suppose $\deg(f) = n > 1$ & this is true for $n-1$. Since $F[x]$

is a UFD, f has an irred divisor f_1 . $\exists F_1/F$ and $\alpha_1 \in F_1$ s.t. $f_1(\alpha_1) = 0 \Rightarrow f(\alpha_1) = 0$ in F_1 . Thus $f = (x - \alpha_1)g$ for some $g \in F_1[x]$ of deg $n-1$. Applying the induction hypothesis to g gives L/F_1 and $\alpha_2, \dots, \alpha_n \in L$ s.t. $g = a_0(x - \alpha_2) \dots (x - \alpha_n)$.

Thus $f = a_0(x - \alpha_1) \dots (x - \alpha_n)$ so f splits completely over L . \square

Fundamental Theorem of Algebra Every nonconstant $f \in \mathbb{C}[x]$ splits completely over \mathbb{C} , i.e. $f = a_0(x - \alpha_1) \cdots (x - \alpha_n)$ for some $a_0, \alpha_1, \dots, \alpha_n \in \mathbb{C}$ with $a_0 \neq 0$.

Prop TFAE:

- (a) Every nonconst $f \in \mathbb{C}[x]$ has at least one root in \mathbb{C} .
- (b) Every nonconst $f \in \mathbb{C}[x]$ splits completely over \mathbb{C} .
- (c) Every nonconst $f \in \mathbb{R}[x]$ has at least one root in \mathbb{C} .

Sketch (a) \Rightarrow (b) by induction on degree.

(b) \Rightarrow (c) is trivial since $\mathbb{R} \subseteq \mathbb{C}$.

For (c) \Rightarrow (a), take $f = a_0x^n + \dots + a_n \in \mathbb{C}[x]$. We must show that f has a root in \mathbb{C} when $n > 0$, $a_0 \neq 0$. Define $\bar{f} = \bar{a}_0x^n + \dots + \bar{a}_n$. Check $\overline{f\bar{g}} = \overline{fg}$. Hence $f\bar{f} = \overline{f\bar{f}} = \overline{\bar{f}f} = \bar{f}f \Rightarrow f\bar{f} \in \mathbb{R}[x]$.

By hypothesis, $\exists \alpha \in \mathbb{C}$ s.t. $(f\bar{f})(\alpha) = 0$. But then $f(\alpha)\bar{f}(\alpha) = 0$ so $f(\alpha) = 0$ or $\bar{f}(\alpha) = 0$. In the former case, $\alpha \in \mathbb{C}$ is a root of f ; in the latter, $\bar{\alpha} \in \mathbb{C}$ is a root of f (check!). \square

Prop Every $f \in \mathbb{R}[x]$ of odd degree has at least one root in \mathbb{R} .

Sketch WLOG, $f = x^n + a_1x^{n-1} + \dots + a_n$ with n odd, $a_1, \dots, a_n \in \mathbb{R}$.

For $x \gg 0$, $f(x) > 0$. For $x \ll 0$, $f(x) < 0$. Thus, by the intermediate value theorem (Math 112!), f has a root. \square

Lemma Every quadratic polynomial in $\mathbb{C}[x]$ splits completely over \mathbb{C} .

Pf The roots of $f = ax^2 + bx + c$ with $a \neq 0$ are $\frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$.

$b^2 - 4ac = r e^{i\theta}$ for some $r > 0 \in \mathbb{R}$. Hence

$\sqrt{b^2 - 4ac} = \sqrt{r} e^{i\theta/2} \in \mathbb{C}$ since \sqrt{r} exists (again by IVT).

Hence the roots of f are in \mathbb{C} . \square

Pf of FTA It suffices to show that every $f \in \mathbb{R}[x]$ of $\deg n > 0$ has at least one root in \mathbb{C} . Write n as $n = 2^m k$, k odd, $m \geq 0$. We proceed by induction on m . If $m = 0$, $\deg(f) = k$ odd, so we're done by the Prop.

Now suppose ~~the~~ $m > 0$ and every $f \in \mathbb{R}[x]$ of degree 2^{m-1} (odd) has at least one root in \mathbb{C} . $\exists L/\mathbb{C}$ s.t. f splits completely over L with roots $\alpha_1, \dots, \alpha_n \in L$.

Clearer idea (Laplace): Set $g_\lambda(x) = \prod_{1 \leq i < j \leq n} (x - (\alpha_i + \alpha_j) + \lambda \alpha_i \alpha_j)$

where $\lambda \in \mathbb{R}$. $\deg(g_\lambda) = \frac{1}{2}n(n-1)$.

Claim $g_\lambda \in \mathbb{R}[x]$.

Justification Consider $G_\lambda(x) = \prod_{1 \leq i < j \leq n} (x - (\alpha_i + \alpha_j) + \lambda \alpha_i \alpha_j)$

G_λ is fixed by transpositions and hence by Σ_n . It follows that there are symmetric polynomials $p_i(x_1, \dots, x_n)$ s.t.

$$G_\lambda(x) = \sum_{i=0}^{\frac{1}{2}n(n-1)} p_i(x_1, \dots, x_n) x^i. \quad \text{Since } \lambda \in \mathbb{R}, p_i \in \mathbb{R}[x_1, \dots, x_n].$$

By Cor 2.2.5, $p_i(\alpha_1, \dots, \alpha_n) \in \mathbb{R}$ since $\alpha_1, \dots, \alpha_n$ are the roots of $f \in \mathbb{R}[x]$. Thus $g_\lambda(x) = \sum_{i=0}^{\frac{1}{2}n(n-1)} p_i(\alpha_1, \dots, \alpha_n) x^i \in \mathbb{R}[x]$.

$$\text{Now } \deg(g_\lambda) = \frac{1}{2}n(n-1) = \frac{1}{2}2^m k (2^m k - 1) = 2^{m-1} k (2^m k - 1)$$

\uparrow \uparrow
 odd odd

Thus the induction hypothesis applies and g_λ has a root in \mathbb{C} .

These roots are $\alpha_i + \alpha_j - \lambda \alpha_i \alpha_j$, so for each $\lambda \in \mathbb{R}$ we can find a pair i, j with $1 \leq i < j \leq n$ s.t. $\alpha_i + \alpha_j - \lambda \alpha_i \alpha_j \in \mathbb{C}$.

By the infinite \rightarrow finite pigeonhole principle, $\exists \lambda \neq \mu \in \mathbb{R}$ and $1 \leq i < j \leq n$ s.t. $\alpha_i + \alpha_j - \lambda \alpha_i \alpha_j \in \mathbb{C}$ and $\alpha_i + \alpha_j - \mu \alpha_i \alpha_j \in \mathbb{C}$.

Subtracting, $(\mu-1)\alpha_i, \alpha_j \in \mathbb{C} \Rightarrow \alpha_i, \alpha_j \in \mathbb{C} \Rightarrow \alpha_i + \alpha_j \in \mathbb{C}$.

Now consider the quadratic polynomial

$$(x - \alpha_i)(x - \alpha_j) = x^2 - (\alpha_i + \alpha_j)x + \alpha_i \alpha_j.$$

This has coeffs in \mathbb{C} and hence roots in \mathbb{C} , so $\alpha_i, \alpha_j \in \mathbb{C}$. \square

Elements of Extension Fields

Defn Extension L/F , $\alpha \in L$. Then α is algebraic over F if there is a nonconstant polynomial $f \in F[x]$ s.t. $f(\alpha) = 0$. If α is not algebraic over F , then α is transcendental over F .

- e.g.
- $\sqrt{2} \in \mathbb{R}$ is algebraic over \mathbb{Q} since $\sqrt{2}$ is a root of $x^2 - 2 \in \mathbb{Q}[x]$
 - $\zeta_n = e^{2\pi i/n} \in \mathbb{C}$ is algebraic over \mathbb{Q} since it's a root of $x^n - 1 \in \mathbb{Q}[x]$.
 - π, e are transcendental over \mathbb{Q} [hard!]
 - $\sqrt{2} + \sqrt{3}$ is a root of $(x - \sqrt{2} - \sqrt{3})(x - \sqrt{2} + \sqrt{3})(x + \sqrt{2} - \sqrt{3})(x + \sqrt{2} + \sqrt{3})$
 $= x^4 - 10x^2 + 1$ so is algebraic over \mathbb{Q} .

Next Monday: If $\alpha, \beta \in L$ are alg over F , then so are $\alpha + \beta$, $\alpha\beta$, $\frac{1}{\alpha}$. Thus $\{\alpha \in L \mid \alpha \text{ alg}/F\}$ is a subfield of L .

Lemma If $\alpha \in L$ alg/ F , then $\exists!$ nonconst monic poly $p \in F[x]$ s.t.

(a) $p(\alpha) = 0$, and

(b) if $f \in F[x]$ with $f(\alpha) = 0$, then $p \mid f$.

Defn Such p is called the minimal polynomial of α over F .

Pf of Lemma Among nonconstant $f \in F[x]$ w/ α as a root, there is

(at first) one with minimal degree. Dividing by leading coeff, call this p . Clearly $p(\alpha) = 0$. Now suppose $f(\alpha) = 0$.

Then $f = qp + r$ for some $q, r \in F[x]$ with $r = 0$ or $\deg(r) < \deg(p)$.

Evaluating at α gives $0 = f(\alpha) = q(\alpha)p(\alpha) + r(\alpha) = r(\alpha)$.

By minimality of $\deg(p)$, we conclude $r = 0$.

Uniqueness: sup suppose \tilde{p} also satisfies (a), (b). We get

$p \mid \tilde{p}$ & $\tilde{p} \mid p$. Since both are monic, $p = \tilde{p}$. \square

Prop $\alpha \in L$ alg/ F , $p = \text{min poly of } \alpha / F$. If $f \in F[x]$ is a nonconstant monic polynomial, then $f = p$ iff f is a poly of min'l degree with $f(\alpha) = 0$ iff f is irred/ F with $f(\alpha) = 0$.

Pf First equiv is in the proof of the lemma. Now show min poly is irred: if not, one of its factors has lower degree & α as root, contradicting first criterion. Now suppose $f(\alpha) = 0$ with f irred. Then $\exists ! f \Rightarrow p = f$ since both monic, f irred. \square

Ex. $\cdot P_{\sqrt{2}, \mathbb{Q}} = x^2 - 2$

$\cdot P_{\sqrt{2} + \sqrt{3}, \mathbb{Q}} = x^4 - 10x^2 + 1$

$\cdot P_{\zeta_n, \mathbb{Q}} = \Phi_n$, n th cyclotomic poly of degree $\phi(n) = \# \text{divisors of } n \text{ (} 1 \leq k \leq n \text{)}$

Adjoining elts Given $\alpha_1, \dots, \alpha_n \in L$, define $F[\alpha_1, \dots, \alpha_n] :=$

$$\{ h(\alpha_1, \dots, \alpha_n) \mid h \in F[x_1, \dots, x_n] \}, \quad F(\alpha_1, \dots, \alpha_n) := \text{Frac}(F[\alpha_1, \dots, \alpha_n])$$

Lemma $F(\alpha_1, \dots, \alpha_n)$ is the smallest subfield of L containing F and $\alpha_1, \dots, \alpha_n$.

Pf Must show that if K/F , $\alpha_1, \dots, \alpha_n \in K$, then $F(\alpha_1, \dots, \alpha_n) \subseteq K$.

Obvious since $F[\alpha_1, \dots, \alpha_n] \subseteq K$ & K is a field. \square

Cor $F(\alpha_1, \dots, \alpha_n) = F(\alpha_1, \dots, \alpha_r)(\alpha_{r+1}, \dots, \alpha_n)$. \square

Lemma L/F , $\alpha \in L$ alg over F with min poly $p \in F[x]$. Then $\exists!$ ring iso $F[\alpha] \cong F[x]/(p)$ which is the identity on F $u/\alpha \mapsto x+(p)$.

Pf Take $\varphi: F[x] \rightarrow L$ which has image $F[\alpha]$. Remains to show $\ker(\varphi) = (p)$. Since $p(\alpha) = 0$, $p \in \ker \varphi$ so $(p) \subseteq \ker \varphi$.

If $f \in \ker \varphi$, $f(\alpha) = 0$ so $p \mid f$ so $\ker \varphi \subseteq (p)$.

Uniqueness: ring hom defined on $F[\alpha]$ is determined by its values on F, α . \square

Prop $L/F, \alpha \in L$. Then α is algebraic over F iff $F[\alpha] = F(\alpha)$.

Pf Lemma + $F[x]/(p)$ a field for p irred gives \Rightarrow .

(\Leftarrow) Assume $\alpha \neq 0$. Then $\frac{1}{\alpha} \in F(\alpha) = F[\alpha]$ implies

$$\frac{1}{\alpha} = a_0 + a_1\alpha + \dots + a_m\alpha^m.$$

for some $a_i \in F$. Thus $0 = -1a_0\alpha + a_1\alpha^2 + \dots + a_m\alpha^{m+1}$ so α alg/ F . \square

Prop $F \subseteq L \ni \alpha_1, \dots, \alpha_n$ alg/ F . Then $F[\alpha_1, \dots, \alpha_n] = F(\alpha_1, \dots, \alpha_n)$.

Pf by induction on n . \square

Irreducible Polynomials

Gauss's Lemma Suppose $f \in \mathbb{Z}[x]$ nonconstant and $f = gh$ where $g, h \in \mathbb{Q}[x]$. Then $\exists \delta \in \mathbb{Q}^\times$ st. $\tilde{g} = \delta g, \tilde{h} = \delta^{-1} h \in \mathbb{Z}[x]$ (and thus $f = \tilde{g}\tilde{h}$ in $\mathbb{Z}[x]$).

Pf p. 529 \square

Cor If $f \in \mathbb{Z}[x]$ has positive degree and is reducible over \mathbb{Q} , then $f = gh$ where $g, h \in \mathbb{Z}[x]$ have degrees $< \deg(f)$. \square

Algorithm for irreducibility of $f \in \mathbb{Z}[x]$:

- WLOG, assume $f(0), f(1), \dots, f(n-1) \neq 0$.
- Fix integer $0 < d < n$.
- Fix divisors $a_0, \dots, a_d \in \mathbb{Z}$ of $f(0), \dots, f(d) \in \mathbb{Z}$.
- Construct $g \in \mathbb{Q}[x]$ of degree $\leq d$ st. $g(i) = a_i$ for $i = 0, \dots, d$ (Lagrange interpolation)
- Accept g if it has degree d and integer coeffs; reject it o/w.
- ~~Set~~ Do this for all $0 < d < n, a_i | f(i), \dots, a_d | f(d)$ to get a set of "accepted" $g \in \mathbb{Z}[x]$.

Prop This set is finite, and f is irred/ \mathbb{Q} iff it is not divisible by any of the polynomials in this set.

Pf Each $f(i)$ has fin many divisors, and g is uniquely determined by a_0, \dots, a_d , so we get only finitely many g this way.

Remains to show f reducible iff some accepted g divides f .

(\Leftarrow) \checkmark .

(\Rightarrow) By the corollary, $f = gh$ where $g, h \in \mathbb{Z}[x]$, g has degree $d, 0 < d < n$. For $0 \leq i \leq d$, set $a_i = g(i) | f(i)$. Lagrange interpolation gives $\tilde{g} \in \mathbb{Q}[x]$ with $\deg(\tilde{g}) \leq d, \tilde{g}(i) = a_i$. Then $\deg(g - \tilde{g}) \leq d$ and $(g - \tilde{g})(i) = 0$ for $0 \leq i \leq d$ ($d+1$ roots) so $g - \tilde{g} = 0 \Rightarrow g = \tilde{g}$ is in our list. \square

Thm [Eisenstein criterion] Let $f = a_n x^n + \dots + a_0 \in \mathbb{Z}[x]$, $a_n \neq 0$, $n > 0$.

If there is a prime p s.t. $p \mid a_n, p \mid a_{n-1}, \dots, p \mid a_0$, and $p^2 \nmid a_0$, then f is irreducible over \mathbb{Q} .

Pf Suppose for \mathbb{Q} f is of the above form & reducible over \mathbb{Q} .

Then $f = gh$ for $g, h \in \mathbb{Z}[x]$ of degree $< n$. Write $(\bar{}): \mathbb{Z}[x] \rightarrow \mathbb{F}_p[x]$

for the mod p reduction map. Then $\bar{a}_n x^n = \bar{g} \bar{h}$

$\Rightarrow \bar{g} = \bar{a} x^r, \bar{h} = \bar{b} x^s$ for $\bar{a}\bar{b} = \bar{a}_n, r+s=n$.

TPS ~~Why~~ Why does $p \mid a_n$ imply $r > 0, s > 0$?

Then $\bar{g} = \bar{a} x^r$ for $r > 0 \Rightarrow p$ divides constant term of g ,

and similarly for $h \Rightarrow p^2 \mid a_0 \quad \square$

e.g. $x^n + px + p, n \geq 2, p$ prime irrad / \mathbb{Q}

Prop $\Phi_p := x^{p-1} + x^{p-2} + \dots + 1, p$ prime is irrad / \mathbb{Q} .

Pf $\Phi_p(x+1) = \frac{(x+1)^p - 1}{x}$ and $(x+1)^p = x^p + \binom{p}{1}x^{p-1} + \dots + \binom{p}{p-1}x + 1$

so $\Phi_p(x+1) = x^{p-1} + \binom{p}{1}x^{p-2} + \dots + \binom{p}{p-1}$. By prime divisibility property of binomial coeffs, this satisfies the Eisenstein criterion, so $\Phi_p(x+1)$ is irrad. Then reducibility of $\Phi_p(x)$ would contradict this. \square

Prop For p prime, $f = x^p - a \in F[x]$ is irrad / F iff f has no roots in F .

Pf (\Rightarrow) \checkmark .

(\Leftarrow) Assume f reducible. Take L/F for which f splits completely

$f = (x - \alpha_1) \dots (x - \alpha_p), \alpha_i \in L$. WLOG, $\alpha_1 \neq 0$. Set $\zeta_i = \frac{\alpha_i}{\alpha_1}$,

$1 \leq i \leq p$. Then $\alpha_i^p \Rightarrow \zeta_i^p = 1$, so $\alpha_i = \zeta_i \alpha_1$ with ζ_i a p th

root of unity: $f = (x - \zeta_1 \alpha_1)(x - \zeta_2 \alpha_1) \dots (x - \zeta_p \alpha_1)$.

Suppose $f = gh, g, h \in F[x]$ monic with degrees $r, s < p$.

By unique fact'n + relabeling, $g = (x - \zeta_1 \alpha_1) \cdots (x - \zeta_r \alpha_r)$.

Since the constant term of g is in F , $\underbrace{\zeta_1 \cdots \zeta_r}_{\zeta} \alpha_i^r \in F$.

ζ Note $\zeta^p = 1$.

Since $0 < r < p$, p prime, $\exists m, n \in \mathbb{Z}$ st. $mr + np = 1$. Then

$$\zeta^m \alpha_i = \zeta^m \alpha_i^{mr + np} = \underbrace{(\zeta \alpha_i^r)^m}_{\in F} \underbrace{(\alpha_i^p)^n}_{\in F} \in F. \quad \text{Thus } (\zeta^m \alpha_i)^p = (\zeta^p)^m \alpha_i^p$$

$= \alpha_i^p \Rightarrow \zeta^m \alpha_i$ is a root of $f = x^p - \alpha_i^p$ lying in F . \square

Degree

For any field extn L/F , L is an F -vector space.

Defn The degree of L/F is $[L:F] := \dim_F L$.

Call L/F a finite extension if $[L:F] < \infty$.

e.g. $[\mathbb{C}:\mathbb{R}] = 2$

$[\mathbb{Q}(\sqrt{D}):\mathbb{Q}] = 2$ for D not a square in \mathbb{Q} .

$[L:F] = 1$ iff $L = F$.

Prop $\alpha \in L/F$.

(a) α is alg / F iff $[F(\alpha):F] < \infty$.

(b) let α be alg / F . If $n = \text{degree of min poly of } \alpha / F$, then $1, \alpha, \dots, \alpha^{n-1}$ form a basis of $F(\alpha)$ over F . Thus $[F(\alpha):F] = n$.

Pf First suppose α alg / F w/ min poly p , $n = \deg(p)$. Since $F(\alpha) = F[x]$, every elt of $F(\alpha)$ is of the form $g(\alpha)$ for some $g \in F[x]$.

By the division algorithm, $g = qp + (a_0 + a_1x + \dots + a_{n-1}x^{n-1})$ w/ $q \in F[x]$, $a_i \in F$. Eval'n at $x = \alpha$ gives

$$g(\alpha) = a_0 + \dots + a_{n-1}\alpha^{n-1}$$

Hence $1, \dots, \alpha^{n-1}$ span $F(\alpha)$ over F . Linear independence follows from minimality of $\deg(p)$. Thus $[F(\alpha):F] = n < \infty$.

Now suppose $[F(\alpha):F] = n < \infty$. Then $1, \alpha, \dots, \alpha^n$ are lin dep over F . Hence $\exists a_i \in F$ st. $a_0 + a_1\alpha + \dots + a_n\alpha^n = 0$. \square

e.g. Since min poly of $\sqrt{2} + \sqrt{3}$ / \mathbb{Q} is $x^4 - 10x^2 + 1$,

$[\mathbb{Q}(\sqrt{2} + \sqrt{3}):\mathbb{Q}] = 4$ and every elt of $\mathbb{Q}(\sqrt{2} + \sqrt{3})$ can be written uniquely in the form $a + b(\sqrt{2} + \sqrt{3}) + c(\sqrt{2} + \sqrt{3})^2 + d(\sqrt{2} + \sqrt{3})^3$, $a, b, c, d \in \mathbb{Q}$.

Towers

Thm Suppose we have fields $F \subseteq K \subseteq L$.

(a) If $[K:F] = \infty$ or $[L:K] = \infty$, then $[L:F] = \infty$.

(b) If $[K:F] < \infty$ and $[L:K] < \infty$, then $[L:F] = [L:K][K:F]$.

Diagrammatically:



pf (a) Suppose $[L:F] = N$ and let $\gamma_1, \dots, \gamma_N$ be a basis of L/F .

Then K is an F -subspace of L , hence is finite dim'l / F , i.e.

$[K:F] < \infty$. Take $\alpha \in L$. Then $\alpha = \sum_{i=1}^N a_i \gamma_i$ with $a_i \in F \subseteq K$,
 $\therefore L$ is spanned by $\gamma_1, \dots, \gamma_N$ as K -vs. $\Rightarrow [L:K] \leq N < \infty$.

(b) Let $m = [K:F]$, $n = [L:K]$, and pick basis $\alpha_1, \dots, \alpha_m$ of K/F ,
 β_1, \dots, β_n of L/K . Show $\{\alpha_i \beta_j \mid 1 \leq i \leq m, 1 \leq j \leq n\}$ are a basis of
 L/F : For $\gamma \in L$, $\gamma = \sum_{j=1}^n b_j \beta_j$, $b_j \in K$, $b_j = \sum_{i=1}^m a_{ij} \alpha_i$, $a_{ij} \in F$.

Thus $\gamma = \sum_{i=1}^m \sum_{j=1}^n a_{ij} \alpha_i \beta_j$ so $\{\alpha_i \beta_j\}$ span L/F .

TB Linear independence? \square

e.g. $[\mathbb{Q}(\sqrt{2}, \sqrt{3}) : \mathbb{Q}] = [\mathbb{Q}(\sqrt{2}, \sqrt{3}) : \mathbb{Q}(\sqrt{2})] [\mathbb{Q}(\sqrt{2}) : \mathbb{Q}] = 2 \cdot 2 = 4$.

Basis $1, \sqrt{2}, \sqrt{3}, \sqrt{6}$ of $\mathbb{Q}(\sqrt{2}, \sqrt{3}) / \mathbb{Q}$.

Note If we believe $[\mathbb{Q}(\sqrt{2} + \sqrt{3}) : \mathbb{Q}] = 4$, then

$$\begin{array}{ccc} & \mathbb{Q}(\sqrt{2}, \sqrt{3}) & \\ & | & \searrow \\ 4 & | & \mathbb{Q}(\sqrt{2} + \sqrt{3}) \\ & | & \nearrow \\ & \mathbb{Q} & \end{array} \Rightarrow [\mathbb{Q}(\sqrt{2} + \sqrt{3}) : \mathbb{Q}] = [\mathbb{Q}(\sqrt{2}, \sqrt{3}) : \mathbb{Q}] = 4$$

e.g. Let $\omega = e^{2\pi i/3}$.

$$\begin{array}{ccc} & \mathbb{Q}(\omega, \sqrt[3]{2}) & \\ & | & \searrow \\ 6 & | & \mathbb{Q}(\sqrt[3]{2}) \\ & | & \nearrow \\ & \mathbb{Q} & \end{array}$$

2 b/c ω roots of $x^2 + x + 1$, $\mathbb{Q}(\sqrt[3]{2}) \subseteq \mathbb{R}$
 $\therefore x^2 + x + 1 = \text{min poly of } \omega / \mathbb{Q}(\sqrt[3]{2})$
 3 b/c $x^3 - 2$ irred by Eisenstein

Algebraic Extensions

Defn A field extn L/F is algebraic if every element of L is algebraic over F .

Lemma Suppose L/F is finite. Then

(a) L/F is algebraic.

(b) If $\alpha \in L$, then $\deg(m_{\alpha, F}) \mid [L:F]$.

Pf For $\alpha \in L$, $F \subseteq F(\alpha) \subseteq L$ and the tower thm gives $[F(\alpha):F]$ finite, dividing $[L:F]$. We have already seen $[F(\alpha):F]$ finite $\Leftrightarrow \alpha$ alg $/F$. \square

Note There are alg extns which are not finite.

Thm Let L/F be a field extn. Then $[L:F] < \infty$ iff

$\exists \alpha_1, \dots, \alpha_m \in L$ s.t. each α_i is alg $/F$, and $L = F(\alpha_1, \dots, \alpha_m)$.

Pf Suppose $[L:F] < \infty$ and take $\alpha_1, \dots, \alpha_m \in L$ a basis of L over F .

Then $L = \{a_1\alpha_1 + \dots + a_m\alpha_m \mid a_i \in F\} \subseteq F(\alpha_1, \dots, \alpha_m) \subseteq L$

so $L = F(\alpha_1, \dots, \alpha_m)$ and lemma shows each α_i alg $/F$.

Now suppose $L = F(\alpha_1, \dots, \alpha_m)$ with each α_i alg $/F$.

Let $L_0 = F$, $L_i = F(\alpha_1, \dots, \alpha_i)$ for $1 \leq i \leq m$. Get $F = L_0 \subseteq L_1 \subseteq \dots \subseteq L_m = L$.

and $L_i = L_{i-1}(\alpha_i)$. Since α_i alg $/F$, it is also alg $/L_{i-1}$, so

$[L_i:L_{i-1}] < \infty$. Thus $[L:F] = [L_m:L_{m-1}] \dots [L_1:L_0] < \infty$. \square

Prop Let L/F be a field extn. If $\alpha, \beta \in L$ alg $/F$, then $\alpha + \beta, \alpha\beta$ are alg $/F$ as well.

Pf By the thm, $F(\alpha, \beta)/F$ is a finite extn, hence algebraic. \square

Cor For any L/F , $M = \{\alpha \in L \mid \alpha \text{ alg } /F\}$ is a subfield of L containing F . \square

Thm Let $F \subseteq K \subseteq L$. If $\alpha \in L$ alg./ K and K alg./ F , then α alg./ F .

PF Let α be a root of $f = \beta_n x^n + \dots + \beta_0 \in K[x]$ where $\beta_n, \dots, \beta_0 \in K$, not all 0. Each β_i alg./ F , so $M = F(\beta_n, \dots, \beta_0)$ is a finite extn of F . Note $f \in M[x]$, so α alg./ M , so $M(\alpha)/M$ is finite. Then $[M(\alpha):F] = [M(\alpha):M][M:F] < \infty$, so α alg./ F . \square

e.g. Every cpx soln of $x^6 - (\sqrt{2} + \sqrt{5})x^5 + 3\sqrt[4]{12}x^3 + (1+3i)x + 5\sqrt[7]{7} = 0$ is an algebraic number.

Cor $L/K/F$ with L/K alg., K/F alg., then L/F algebraic.

Defn The algebraic #s $\bar{\mathbb{Q}} = \{z \in \mathbb{C} \mid z \text{ alg./}\mathbb{Q}\}$.

Thm The field $\bar{\mathbb{Q}}$ is algebraically closed.

PF It suffices to show every nonconstant poly in $\bar{\mathbb{Q}}[x]$ has a root in $\bar{\mathbb{Q}}$. Given such f , it has a root $\alpha \in \mathbb{C}$.

This α alg./ $\bar{\mathbb{Q}}$ since it's a root of $f \in \bar{\mathbb{Q}}[x]$.

By the corollary, α alg./ \mathbb{Q} so $\alpha \in \bar{\mathbb{Q}}$. \square

Splitting Fields

Defn Let $f \in F[x]$ have degree $n > 0$. Then an extn L/F is a splitting field of f over F if

(a) $f = c(x-\alpha_1)\cdots(x-\alpha_n)$, $c \in F$, $\alpha_i \in L$, and

(b) $L = F(\alpha_1, \dots, \alpha_n)$.

Note Such L is the smallest field over which f splits completely

e.g. Splitting field of x^2+1 / \mathbb{Q} is $\mathbb{Q}(i)$

/ \mathbb{R} is \mathbb{C}

/ \mathbb{C} is \mathbb{C}

e.g. Splitting field of x^4-2 / \mathbb{Q} is $\mathbb{Q}(i, \sqrt[4]{2})$.

Thm Let $f \in F[x]$ have degree $n > 0$, and let L be a splitting field of f . Then $[L:F] \leq n!$.

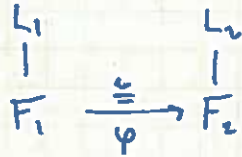
PF Proceed by induction on n . If $n=1$, $f=ax+b$ has root $-b/a \in F$, so $L=F$ and $[L:F]=1 \leq 1!$.

Now suppose f has degree $n > 1$, $L = F(\alpha_1, \dots, \alpha_n)$ a splitting field of f/F . If we write $f = (x-\alpha_1)g$, get $g \in F(\alpha_1)[x]$ and g has roots $\alpha_2, \dots, \alpha_n$, so the splitting field of g over $F(\alpha_1)$ is L . By ind hyp, $[L:F(\alpha_1)] \leq (n-1)!$. Then $[L:F] = [L:F(\alpha_1)] \cdot [F(\alpha_1):F] \leq (n-1)! [F(\alpha_1):F]$

But $[F(\alpha_1):F] = \deg(m_{\alpha_1, F})$ and $f(\alpha_1) = 0$ so $[F(\alpha_1):F] \leq n$
 $\Rightarrow [L:F] \leq n!$. \square

Note The bound is sharp ($\mathbb{Q}(\omega, \sqrt[3]{2})/\mathbb{Q}$ splits x^3-2) but not always realized ($\mathbb{Q}(\sqrt{2}, \sqrt{3})/\mathbb{Q}$ splits $(x^2-2)(x^2-3)$ and $4 < 4!$).

Uniqueness:



$L_1 =$ splitting field of $f_1 \in F[x]$

$L_2 =$ " " " " $f_2 \in F[x]$
where coeffs of f_2 are φ (coeffs f_1)

Then \exists iso $\bar{\varphi}: L_1 \rightarrow L_2$ with $\varphi = \bar{\varphi}|_{F_1}$.

PF by ind'n on $n = \deg(f_1) = \deg(f_2)$. If $n=1$, $L_1 = F_1$, $L_2 = F_2$ and we can take $\bar{\varphi} = \varphi$. Now suppose $n > 1$. Then

$L_1 = F(\alpha_1, \dots, \alpha_n)$ for α_i roots of f_1 . Consider $F_1 \subseteq F_1(\alpha_1) \subseteq L_1$ where L_1 is a splitting field of $g_1 = f_1 / (x - \alpha_1)$ over $F_1(\alpha_1)$.

Step 1 Let $h_1 \in F_1[x]$ be min poly of α_1 / F_1 . Then

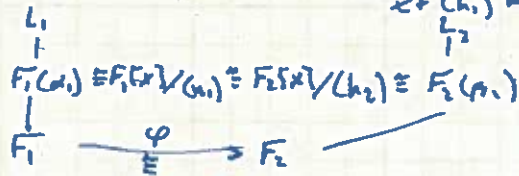
$$F_1(\alpha_1) = F_1[\alpha_1] \cong F_1[x] / (h_1) \\ \alpha_1 \mapsto x + (h_1)$$

Step 2 $\varphi: F_1 \cong F_2$ induces $\tilde{\varphi}: F_1[x] \cong F_2[x]$, $f_1 \mapsto f_2$, and $h_1 \mapsto h_2 = \tilde{\varphi}(h_1)$ irred factor of f_2 . Roots of f_2 are $\beta_1, \dots, \beta_n \in L_2$ where β_1 is a root of h_2 .

Step 3 Get $L_2 / F_2(\beta_1) / F_2$ with L_2 splitting $g_2 = f_2 / (x - \beta_1)$.

$$\text{Then } F_2(\beta_1) = F_2[\beta_1] \cong F_2[x] / (h_2) \\ \beta_1 \mapsto x + (h_2)$$

Step 4 $\tilde{\varphi}$ induces $F_1[x] / (h_1) \cong F_2[x] / (h_2)$ so we get



Step 5 Degree of $L_1 / F_1(\alpha_1)$ is $n-1$ so ind hyp produces $L_1 \cong L_2$ fitting into the diagram. \square

Cor If L_1, L_2 are splitting fields of $f \in F[x]$, then there is an iso $L_1 \cong L_2$ which is the identity on F .

PF Apply the thm to $\text{id}: F \rightarrow F$. \square

Consider $S(x) = \prod_{\sigma \in \Sigma_n} (x - h(x_{\sigma(1)}, \dots, x_{\sigma(n)}))$ with coeffs in $F[x_1, \dots, x_n]$.

This is clearly symmetric in x_1, \dots, x_n , so its expansion is of the form

$$S(x) = \sum_{i=0}^{n!} p_i(x_1, \dots, x_n) x^i$$

where each $p_i \in F[x_1, \dots, x_n]^{\Sigma_n}$. Since the α_i are roots of $f \in F[x]$, get $p_i(\alpha_1, \dots, \alpha_n) \in F$, so $S(x) \in F[x]$. \square

e.g. $\mathbb{Q}(\sqrt[3]{2})$ is not the splitting field of any polynomial in $\mathbb{Q}[x]$:
 $P_{\sqrt[3]{2}, \mathbb{Q}} = x^3 - 2$ is irrad / \mathbb{Q} but has roots $\omega\sqrt[3]{2}, \omega^2\sqrt[3]{2} \notin \mathbb{Q}(\sqrt[3]{2})$.

Defn An alg extn L/F is normal if every irrad poly in $F[x]$ that has a root in L splits completely over L .

Aside Perhaps "equitable" would be a better term, but we are stuck with "normal."

HW L/F normal iff $\bigcup_{\alpha \in L} m_{\alpha, F}$ splits completely $\forall \alpha \in L$.

Thm Suppose L/F . Then L is the splitting field of some $f \in F[x]$ iff L/F is normal and finite.

Pf (\Rightarrow) Finite by $n!$ bound on degree, just proved normal.

(\Leftarrow) L/F normal and finite. By finiteness, $L = F(\alpha_1, \dots, \alpha_m)$ where each α_i alg / F . Let $p_i = m_{\alpha_i, F} \in F[x]$, set $f = p_1 \cdots p_m$.

Claim L is the splitting field of f .

Clearly f splits completely since each p_i has root α_i in L and L/F normal. Let L' be the subfield of L gen'd by F and the roots of f . Then $L = F(\alpha_1, \dots, \alpha_m) \in L' \in L$ so $L' = L$, and L is the splitting field of f over F . \square

Separable Extensions

For $f \in F[x]$ and β_1, \dots, β_r distinct in L/F s.t.

$$f = a_0 (x - \beta_1)^{m_1} \cdots (x - \beta_r)^{m_r}, \quad a_0 \in F, m_1, \dots, m_r \geq 1$$

call m_i the multiplicity of β_i . Say β_i is a simple root if $m_i = 1$ and a multiple root if $m_i > 1$.

Defn A poly $f \in F[x]$ is separable if it is nonconstant and its roots in a splitting field are all simple.

Slogan Separable = distinct roots

e.g. $x^2 - 2x + 1 = (x-1)^2$ is not separable

Recall discriminant $\Delta(f)$ of a monic $f \in F[x]$ of $\deg > 1$:

$$\Delta(f) = \prod_{1 \leq i < j \leq n} (\alpha_i - \alpha_j)^2 \quad \text{when } f = (x - \alpha_1) \cdots (x - \alpha_n)$$

Prop If $f \in F[x]$ is monic and nonconst, then TFAE:

(a) f is separable

(b) $\Delta(f) \neq 0$

(c) f and f' (the derivative of f) are relatively prime in $F[x]$.

Pf Trivially true if $\deg(f) = 1$ since $\Delta(f) = 1$ by convention in this case. Suppose $n = \deg(f) > 1$. (a) \Leftrightarrow (b) clear. ~~Not that $(a) \Rightarrow (c)$~~

Let L be a splitting field of f/F so that $f = (x - \alpha_1) \cdots (x - \alpha_n) \in L[x]$. For a given i , write $f(x) = (x - \alpha_i) h_i(x)$, so $h_i(x) = \prod_{j \neq i} (x - \alpha_j)$.

By the product rule, $f'(x) = (x - \alpha_i) h_i'(x) + h_i(x)$. Eval'n at α_i gives $f'(\alpha_i) = h_i(\alpha_i)$. If (c) is false, then f, f' have a common

factor g of pos degree. Since $g|f$, $g(\alpha_i) = 0$ for some i , and then $g|f'$ implies $f'(\alpha_i) = 0$. Hence $0 = f'(\alpha_i) = \prod_{j \neq i} (\alpha_i - \alpha_j)$

$\Rightarrow \alpha_i = \alpha_j$ for some $j \neq i$.

If (c) is true, then $1 = Af + Bf'$ for some $A, B \in F[x]$. Eval'n at α_i gives $1 = b(\alpha_i)f'(\alpha_i)$, so $f'(\alpha_i) \neq 0$, so $\prod_{j \neq i} (\alpha_i - \alpha_j) \neq 0 \quad \forall i$
 $\Rightarrow \alpha_1, \dots, \alpha_n$ are distinct. \square

Defn For L/F an alg extn,

- (a) $\alpha \in L$ is separable over F if $m_{\alpha, F}$ is sep / F ;
 (b) L/F is a separable extension if every $\alpha \in L$ is sep / F .

Lemma A nonconstant $f \in F[x]$ is separable iff f is a product of irred polys, each of which is separable and no two of which are multiples of each other. \square

Lemma Let $f \in F[x]$ be an irred poly of degree n . Then f is separable if either of the following conditions is satisfied:

- (a) F has characteristic 0, or
 (b) F has char $p > 0$ and $p \nmid n$.

Pf Let $f = a_0x^n + \dots + a_{n-1}x + a_n$, $n > 0$, $a_0 \neq 0$. Then

$$f' = na_0x^{n-1} + \dots + a_{n-1}. \quad \text{By (a) or (b), } n \neq 0 \in F, \text{ so}$$

$a_0 \neq 0 \Rightarrow na_0 \neq 0 \Rightarrow f' \neq 0$ of deg $n-1$. By irred of f ,

$\gcd(f, f') = 1$ or f , Deg of $\gcd \leq n-1$, so in fact $= 1$. \square

e.g. $x^n - 1 \in F[x]$ is nonseparable iff $\text{char}(F) \mid n$.

Characteristic 0

Cor If $\text{char}(F) = 0$, then

- (a) every irred in $F[x]$ is separable
 (b) every alg extn of F is separable
 (c) a nonconst $f \in F[x]$ is separable iff f is a product of irred polys, no two of which are multiples of each other. \square

Prop Let $\text{char } F = 0$, $f \in F[x]$ have fact'n $f = cg_1^{m_1} \dots g_r^{m_r}$, $c \in F$, $g_i \in F[x]$ monic irred distinct. Then

$\frac{f}{\gcd(f, f')} = cg_1 \dots g_r$ and $g_1 \dots g_r$ is sep w/ same roots as f in a splitting field.

If Reading: pp 112-113.

eg. $f = x^{11} - x^{10} + 2x^8 - 4x^7 + 3x^5 - 3x^4 + x^3 + 3x^2 - x - 1 \in \mathbb{Q}[x]$.

Then $\gcd(f, f') = x^6 - x^5 + x^3 - 2x^2 + 1$ (Euclidean algorithm) so

$$\frac{f}{\gcd(f, f')} = x^5 + x^2 - x - 1 \text{ is sep w/ same roots as } f.$$

Characteristic $p > 0$

Lemma $\text{char } F = p > 0$, $\alpha, \beta \in F$, then $(\alpha + \beta)^p = \alpha^p + \beta^p$, $(\alpha - \beta)^p = \alpha^p - \beta^p$.

If Binomial then $p \mid \binom{p}{r}$ for $1 \leq r \leq p-1$. \square

$(\alpha\beta)^p = \alpha^p\beta^p$ so $\alpha \mapsto \alpha^p$ is a homomorphism called the Frobenius homomorphism.

HW Hint Use this to think about $x^3 - t / \mathbb{F}_3$.

$f = x^3 - t \in F[x]$, $F = k(t)$, $\text{char } k = p$ is nonseparable and irrud.

(Skipping §5.4: Thm of Primitive Element, which tells us that for infinite F , $L = F(\alpha_1, \dots, \alpha_n) \forall$ each α_i sep $/F$, $\exists \alpha \in L$ s.t. $L = F(\alpha)$. We may prove this later via Galois thm.)

The Galois Group

For $K, L/F$, a field hom over F is a hom $\phi: K \rightarrow L$ s.t. $\phi|_F = \text{id}_F$. Write $K \xrightarrow{\phi} L$

Defn The Galois group of L/F is

$$\text{Gal}(L/F) = \left\{ L \begin{array}{c} \xrightarrow{\sigma} L \\ \searrow \quad \swarrow \\ \quad F \end{array} \mid \sigma \text{ is an isomorphism} \right\}$$

= automorphisms of L/F .

Prop $\text{Gal}(L/F)$ is a group under composition.

Pf $\cdot \sigma, \tau \in \text{Gal}(L/F) \Rightarrow \sigma \circ \tau \in \text{Gal}(L/F)$

$\cdot \text{id}_L \in \text{Gal}(L/F)$

$\cdot \sigma \in \text{Gal}(L/F) \Rightarrow \sigma^{-1} \in \text{Gal}(L/F) \quad \square$

e.g. $\bar{(\cdot)} \in \text{Gal}(\mathbb{C}/\mathbb{R})$ s.t. $C_2 \cong \langle \bar{(\cdot)} \rangle \leq \text{Gal}(\mathbb{C}/\mathbb{R})$
(In fact, =)

Lemma L/F finite, $\sigma \in \text{Gal}(L/F)$, $h \in F[x_1, \dots, x_n]$, $\beta_1, \dots, \beta_n \in L$
then $\sigma(h(\beta_1, \dots, \beta_n)) = h(\sigma(\beta_1), \dots, \sigma(\beta_n))$.

Pf σ preserves $+$, \cdot , fixes F . \square

Prop L/F finite, $\sigma \in \text{Gal}(L/F)$. Then

(a) If $h \in F[x]$ nonconst, $\alpha \in L$ root of h , then $\sigma(\alpha)$ is also a root of h lying in L .

(b) If $L = F(\alpha_1, \dots, \alpha_n)$, then σ is uniquely determined by its values on $\alpha_1, \dots, \alpha_n$.

Pf (a) $0 = \sigma(0) = \sigma(h(\alpha)) = h(\sigma(\alpha))$.

(b) Since L/F finite, $L = F(\alpha_1, \dots, \alpha_n)$, s.t. $p \in L$ has $p = h(\alpha_1, \dots, \alpha_n)$ for some $h \in F[x_1, \dots, x_n]$. Then $\sigma(p) = \sigma(h(\alpha_1, \dots, \alpha_n)) = h(\sigma(\alpha_1), \dots, \sigma(\alpha_n))$

\square

Cor If L/F is finite, then $\text{Gal}(L/F)$ is finite.

Pf Since L/F is finite, $L = F(\alpha_1, \dots, \alpha_n)$ with $\alpha_i \text{ alg}/F$.

If $p_i = m_{\alpha_i, F}$, then for $\sigma \in \text{Gal}(L/F)$ must have $\sigma(\alpha_i)$ a root of p_i , and there are at most $\deg(p_i)$ of these. Since σ is determined by the values $\sigma(\alpha_i)$, conclude that $|\text{Gal}(L/F)| \leq \prod_{i=1}^n \deg(p_i) < \infty$. \square

e.g. $\mathbb{Q}(\sqrt[3]{2})/\mathbb{Q}$: $x^3 - 2$ only has one real root, $\sqrt[3]{2}$, and $2\sqrt[3]{2} \in \mathbb{R}$,
 so $\text{Gal}(\mathbb{Q}(\sqrt[3]{2})/\mathbb{Q}) = 1$.

e.g. $F = k(t)$, $\text{char}(k) = p > 0$, L the splitting field of $f = x^p - t$. If $\alpha \in L$ a root of f , then $L = F(\alpha)$ and $f = (x - \alpha)^p$. Thus α is the only root of $f \Rightarrow \text{Gal}(L/F) = 1$.

e.g. Roots of $x^2 + 1$ are $\pm i$, so $\langle \bar{} \rangle = \text{Gal}(\mathbb{C}/\mathbb{R}) \cong C_2$.

e.g. $\text{Gal}(\mathbb{Q}(\sqrt{2})/\mathbb{Q}) \cong C_2$, gen'd by $a + b\sqrt{2} \mapsto a - b\sqrt{2}$.

e.g. $L = \mathbb{Q}(\sqrt{2}, \sqrt{3})/\mathbb{Q}$. For $\sigma \in \text{Gal}(L/\mathbb{Q})$, know $\sigma(\sqrt{2}) = \pm\sqrt{2}$,
 $\sigma(\sqrt{3}) = \pm\sqrt{3}$, so $|\text{Gal}(L/\mathbb{Q})| \leq 4$. If = 4, then $\text{Gal}(L/\mathbb{Q}) \cong C_2 \times C_2$.

Prop If $L_1 \xrightarrow{\varphi} L_2$, then $\text{Gal}(L_1/F) \xrightarrow{\cong} \text{Gal}(L_2/F)$. \square
 $\sigma \mapsto \rho \circ \sigma^{-1}$

Defn Let $f \in F[x]$. The Galois group of f over F is $\text{Gal}(L/F)$ for $L =$ splitting field of F .

(Well-defined up to isomorphism by Prop.)

e.g. $\text{Gal}(x^2 + 1/\mathbb{R}) \cong \text{Gal}(\mathbb{C}/\mathbb{R}) \cong C_2$.

Galois groups of splitting fields

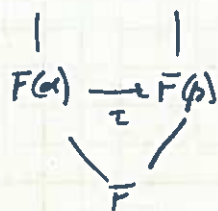
Thm Let L be the splitting field of $f \in F[x]$. Then

$|\text{Gal}(L/F)| \leq [L:F]$ with equality iff f is separable over F .

Pf by induction on $[L:F]$. If $[L:F]=1$, then $L=F$ and $\text{Gal}(F/F)=1$ and has order 1. If $[L:F]>1$, then f has at least one irred factor p of deg > 1 . Let α be a fixed root of p and $\sigma \in \text{Gal}(L/F)$.

Set $\tau = \sigma|_F(\alpha)$ and $\beta = \tau(\alpha)$. We get $L \xrightarrow{\sigma} L$

~~Claim~~ Conversely, for β any root of p ,
we ~~claim~~ ^{know} $\exists \tau: F(\alpha) \rightarrow F(\beta)$ extending
idf.



~~Assuming the claim~~ ^{Thus} we get an associated action of τ to all of L .

Thus $|\text{Gal}(L/F)| = \text{# distinct factors of } f \text{ over } F$

$\leq \prod \text{deg}(p_i)$ with equality iff f separable. \square

e.g. $\mathbb{Q}(\sqrt{2}, \sqrt{3})$ is the splitting field of the sep poly $(x^2-2)(x^2-3)$,
so $|\text{Gal}(\mathbb{Q}(\sqrt{2}, \sqrt{3})/\mathbb{Q})| = 4$.

Note Splitting field & separable are necessary hypotheses
for equality: $\mathbb{Q}(\sqrt[3]{2})/\mathbb{Q}$, $k(t, \sqrt[t]{t})/k(t)$ for char $k=p$.

Defn L/F with L the splitting field of a separable polynomial
is called a Galois extension of F .

Permutations of the roots

Assume L/F Galois for $f \in F[x]$. If $\text{deg}(f)=n$, $f = a_0(x-\alpha_1)\cdots(x-\alpha_n)$
for $a_0 \neq 0 \in F$, α_i distinct elts of L .

Since $\sigma \in \text{Gal}(L/F)$ permutes the roots α_i , we get a hom

$$\begin{aligned} \text{Gal}(L/F) &\longrightarrow \Sigma_n \\ \sigma &\longmapsto \tau: \{1, \dots, n\} \longrightarrow \{1, \dots, n\} \\ &\text{where } \sigma(\alpha_i) = \alpha_{\tau(i)}. \end{aligned}$$

(Every gp action $G \times S \rightarrow S$ gives a hom $G \rightarrow \Sigma_{|S|}$ in this way.)

Prop The hom $\text{Gal}(L/F) \rightarrow \Sigma_n$ is injective.

Pf σ is determined by its action on $\alpha_1, \dots, \alpha_n$ so $\sigma = \text{id}_L$ iff $\sigma(\alpha_i) = \alpha_i \forall i$ iff $\sigma \mapsto 1$. \square

Cor If L is the splitting field of a sep poly $f \in F[x]$, then $[L:F] \mid n!$ for $n = \deg(f)$.

Pf May regard $\text{Gal}(L/F) \leq \Sigma_n$ by the prop, so this is implied by Lagrange's theorem. \square

Note Already proved $[L:F] \leq n!$ (w/o separability hypothesis), so this refines that result.

eg. $L = \mathbb{Q}(\sqrt{2}, \sqrt{3})$, $f = (x^2-2)(x^2-3)$

$$\alpha_1 = \sqrt{2}, \alpha_2 = -\sqrt{2}, \alpha_3 = \sqrt{3}, \alpha_4 = -\sqrt{3}$$

Take $\sigma: \alpha_1 \leftrightarrow \alpha_2, \alpha_3 \leftrightarrow \alpha_4$

$$\tau: \alpha_1 \leftrightarrow \alpha_3, \alpha_2 \leftrightarrow \alpha_4$$

$$\begin{aligned} \text{Get } \text{Gal}(L/\mathbb{Q}) &\cong \{e, (12), (34), (12)(34)\} \\ &= \langle (12), (34) \rangle \leq \Sigma_4. \end{aligned}$$

eg. $L = \mathbb{Q}(\omega, \sqrt[3]{2})$ with $\omega = e^{2\pi i/3}$, splitting field of x^3-2 (\mathbb{Q}).

Have $\text{Gal}(L/\mathbb{Q}) \hookrightarrow \Sigma_3$ and $|\text{Gal}(L/\mathbb{Q})| = [L:\mathbb{Q}] = 6$.

But $|\Sigma_3| = 6$, so $\text{Gal}(L/\mathbb{Q}) \cong \Sigma_3$.

Recall A gp action $G \times S \rightarrow S$ is transitive if $\forall s, t \in S \exists g \in G$ s.t. $gs = t$.

Prop Let L be the splitting field of sep $f \in F[x]$. Then $\text{Gal}(L/F)$ acts transitively on the roots of f iff f is irred (F).

Pf We've already seen that f acts transitively on roots of irred factors of f . By separability, these sets are disjoint, and thus form the orbits of the action of $\text{Gal}(L/F)$ on roots of f . Transitivity on all roots then corresponds to there being only 1 irred factor, i.e. f irred. \square

The p -th roots of 2 p prime

$\zeta_p = e^{2\pi i/p}$. The roots of $x^p - 2$ are $\zeta_p^j \sqrt[p]{2}$ for $0 \leq j \leq p-1$.

$$\begin{aligned} \text{Thus } L &= \mathbb{Q}(\sqrt[p]{2}, \zeta_p \sqrt[p]{2}, \zeta_p^2 \sqrt[p]{2}, \dots, \zeta_p^{p-1} \sqrt[p]{2}) \\ &= \mathbb{Q}(\zeta_p, \sqrt[p]{2}) \end{aligned}$$

is the splitting field of $x^p - 2$ over \mathbb{Q} .

Min poly of ζ_p is $x^{p-1} + x^{p-2} + \dots + 1$ with roots ζ_p^i , $1 \leq i \leq p-1$.

Min poly of $\sqrt[p]{2}$ is $x^p - 2$ by Eisenstein criterion.

$$\begin{array}{c} L \\ \swarrow \quad \searrow \\ \mathbb{Q}(\zeta_p) \quad \mathbb{Q}(\sqrt[p]{2}) \\ \swarrow \quad \searrow \\ \mathbb{Q} \end{array} \quad \begin{array}{l} \text{Tower thm + } \gcd(p, p-1) = 1 \\ \Rightarrow [L:\mathbb{Q}] = p(p-1). \end{array}$$

Thus $|\text{Gal}(L/\mathbb{Q})| = p(p-1)$. Take $\sigma \in \text{Gal}(L/\mathbb{Q})$. Then

σ is determined by $\sigma(\zeta_p) \in \{\zeta_p, \zeta_p^2, \dots, \zeta_p^{p-1}\}$, $\sigma(\sqrt[p]{2}) \in \{\sqrt[p]{2}, \zeta_p \sqrt[p]{2}, \dots, \zeta_p^{p-1} \sqrt[p]{2}\}$.

Call $\sigma = \sigma_{ij}$ if $\sigma(\zeta_p) = \zeta_p^i$, $\sigma(\sqrt[p]{2}) = \zeta_p^j \sqrt[p]{2}$

for some $1 \leq i \leq p-1$, $0 \leq j \leq p-1$. Every σ is of this form and there are only $(p-1)p$ choices for i, j , so all σ_{ij} are realized.

To determine group structure, we need to compute composition:

$$\sigma_{ij} \sigma_{rs}(\zeta) = \sigma_{ij}(\zeta^r) = (\sigma_{ij} \zeta)^r = \zeta^{ir}$$

$$\begin{aligned} \sigma_{ij} \sigma_{rs}(\sqrt[p]{2}) &= \sigma_{ij}(\zeta^r \sqrt[p]{2}) = \sigma_{ij}(\zeta^r) \sigma_{ij}(\sqrt[p]{2}) = \zeta^{ir} \zeta^j \sqrt[p]{2} \\ &= \zeta^{is+j} \sqrt[p]{2}. \end{aligned}$$

Thus $\sigma_{ij} \sigma_{rs} = \sigma_{ir, is+j}$ where the subscripts are interpreted in \mathbb{F}_p .

Get a bijection $\mathbb{F}_p^* \times \mathbb{F}_p \rightarrow \text{Gal}(L/\mathbb{Q})$ but it's not a hom!

$$(ij) \longmapsto \sigma_{ij}$$

Two perspectives on the group structure:

Geometry: Let $\text{AGL}_1(\mathbb{F}_p) = \{ \text{bijections } \mathbb{F}_p \rightarrow \mathbb{F}_p \text{ of the form } u \mapsto au+b \text{ for some } a, b \in \mathbb{F}_p \}$

Easy to check $\gamma_{a,b}$ bij iff $a \in \mathbb{F}_p^\times$.

call this $\gamma_{a,b}$

Grp op is comp'n, and

$$\gamma_{a,b} \circ \gamma_{c,d}(u) = \gamma_{a,b}(cu+d) = a(cu+d) + b = acu + (ad+b)$$

$$= \gamma_{ac, ad+b}$$

$$\text{Thus } \text{Gal}(L/\mathbb{Q}) \xrightarrow{\cong} \text{AGL}_1(\mathbb{F}_p)$$

$$\sigma_{a,b} \longmapsto \gamma_{a,b}$$

Semi-direct product

① Recall that if $G = NH$ for $N \trianglelefteq G$, $H \leq G$, $N \cap H = 1$, then

$G = N \rtimes H$, the semi-direct product of N & H .

② For $\varphi: H \rightarrow \text{Aut}(N)$ hom, construct $N \rtimes_{\varphi} H$ with underlying set

$N \times H$ and group op $(n_1, h_1)(n_2, h_2) = (n_1, \varphi(h_1)(n_2), h_1 h_2)$.

This recovers ① if $\varphi: h \mapsto (n \mapsto hnh^{-1})$ is the conjugation hom.

For $\text{Gal}(L/\mathbb{F})$, take $N = \{ \sigma_{i,j} \mid j \in \mathbb{F}_p \} \cong \mathbb{F}_p \cong C_p$. Note that

$N \trianglelefteq \text{Gal}(L/\mathbb{F})$. Take $H = \{ \sigma_{i,0} \mid i \in \mathbb{F}_p^\times \} \cong \mathbb{F}_p^\times \cong C_{p-1}$.

I have $\sigma_{i,j} \sigma_{i,0} = \sigma_{i, i \cdot 0 + j} = \sigma_{i,j}$ so $NH = \text{Gal}(L/\mathbb{Q})$; clearly $N \cap H = 1$.

Finally compute $\sigma_{i,0} \sigma_{i,j} \sigma_{i,0}^{-1} = (\sigma_{i \cdot 1, i \cdot j + 0}) \sigma_{i,0}$

$$= \sigma_{i, ij} \sigma_{i,0}$$

$$= \sigma_{1, i \cdot 0 + ij}$$

$$= \sigma_{1, ij}$$

This corresponds to $\varphi: \mathbb{F}_p^\times \rightarrow \text{Aut}(\mathbb{F}_p)$

$i \mapsto (j \mapsto ij)$, the mult by i map.

Get $\text{Gal}(L/\mathbb{Q}) \cong \mathbb{F}_p \rtimes_{\text{mult}_i} \mathbb{F}_p^\times$.

Galois Extensions

Defn For L/F finite and $H \subseteq \text{Gal}(L/F)$,

$$L^H := \{\alpha \in L \mid \sigma(\alpha) = \alpha \ \forall \sigma \in H\}$$

is the fixed field of H .

Moral Exce L^H is a field.

Thm L/F finite. TFAE:

- (a) L is the splitting field of a separable polynomial in $F[x]$
 (b) $F = L^{\text{Gal}(L/F)}$
 (c) L/F normal & separable.

Pf (a) \Rightarrow (b): Let $K = L^{\text{Gal}(L/F)}$. Clearly $L/K/F$, and the goal is to show $K=F$. Note L is also the splitting field of f over K , so $[L:F] = |\text{Gal}(L/F)| \geq [L:K] = |\text{Gal}(L/K)|$. Also note $\text{Gal}(L/K) \leq \text{Gal}(L/F)$ since $\sigma|_K = \text{id} \Rightarrow \sigma|_F = \text{id}$. But $\text{Gal}(L/F) \leq \text{Gal}(L/K)$ as well b/c K is the fixed field of $\text{Gal}(L/F)$. Thus $\text{Gal}(L/K) = \text{Gal}(L/F)$ and $[L:F] = [L:K]$. Since $[L:F] = [L:K][K:F]$, we have $[K:F] = 1 \Rightarrow K=F$. \square

(b) \Rightarrow (c): Suppose $F = L^{\text{Gal}(L/F)}$ and let $\alpha \in L$. Let $\{\alpha_1 = \alpha, \alpha_2, \dots, \alpha_r\} = \text{Gal}(L/F) \cdot \{\alpha\}$. Consider $h(x) = \prod_{i=1}^r (x - \alpha_i) \in L[x]$.

Claim $h \in F[x]$ & h is irrad $/F$.

Note that each $\sigma \in \text{Gal}(L/F)$ permutes $\{\alpha_1, \dots, \alpha_r\}$, so h is also permuted the factors $x - \alpha_i$ of h . Thus the coeffs of h are fixed by $\text{Gal}(L/F) \Rightarrow h \in L^{\text{Gal}(L/F)}[x] = F[x]$.

Next let $g \in F[x]$ be the irrad factor of h vanishing at α .

Then $\sigma(\alpha)$ is a root of $g \ \forall \sigma \in \text{Gal}(L/F) \Rightarrow$ all α_i are roots of g , whence $h|g \Rightarrow g$ irrad. \checkmark

Thus $h = m_{\alpha, F}$. Hence

- Normality: If $f \in F[x]$ irrad w/ root $\alpha \in L$, then $f = ah$ for some $a \in F^\times$. Thus f splits completely over L , proving normality.

• Separability: If $\alpha \in L$, then its minimal poly is h . Then α sep since h is. ✓

(c) \Rightarrow (a): Suppose L/F normal & sep. Then $L = F(\alpha_1, \dots, \alpha_n)$ where each $p_i = m_{\alpha_i, F}$ is sep. Let q_1, \dots, q_r be the distinct roots of $\{p_1, \dots, p_n\}$, and set $f = q_1 \dots q_r$. Then f is sep and L is the splitting field of f over F (check!). \square

Defn An extn L/F is a Galois extn if it is finite and satisfies any of the equiv conditions of the Thm.

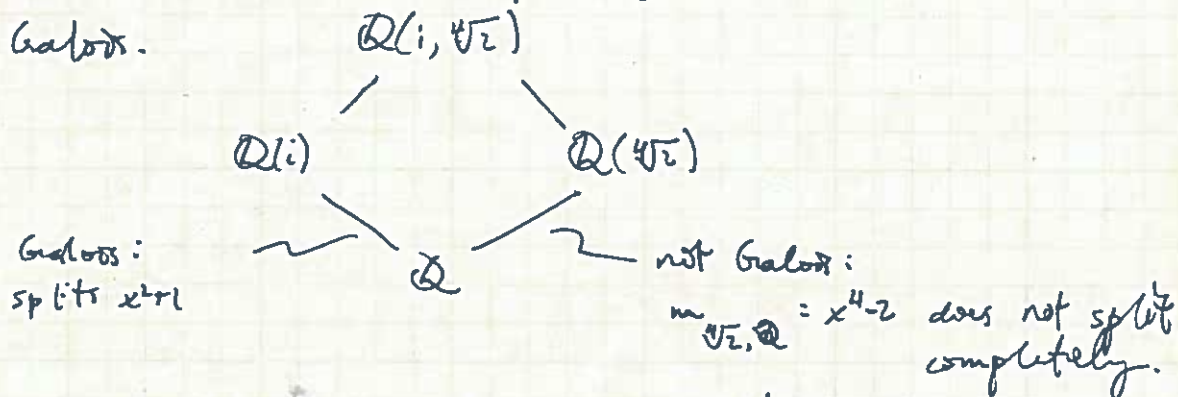
Note $\mathbb{Q}(\sqrt{2}, \sqrt{3})/\mathbb{Q}$ Galois, $\mathbb{Q}(\sqrt[3]{2})/\mathbb{Q}$ is not.

Prop Suppose L/F is Galois and $L/K/F$ is a subextension.

Then L/K is Galois.

Pf Use condition (a). \square

e.g. $\mathbb{Q}(i, \sqrt{2})/\mathbb{Q}$ is the splitting field of $x^4 - 2$ and hence is Galois.



Thm Let L/F be finite. Then $|\text{Gal}(L/F)| = [L:F]$.

Note Already proved $|\text{Gal}(L/F)| \leq [L:F]$ w/ equality iff L/F Galois.

Pf Let $K = L^{\text{Gal}(L/F)}$. Then $L/K/F$ & $\text{Gal}(L/K) = \text{Gal}(L/F)$.

Thus $K = L^{\text{Gal}(L/K)} \Rightarrow K/K$ is Galois. Hence

$$[L:F] = [L:K][K:F] = |\text{Gal}(L/K)|[K:F] = |\text{Gal}(L/F)|[K:F]. \quad \square$$

Finite separable extns

Prop L/F finite. L sep / F iff $L = F(\alpha_1, \dots, \alpha_n)$ w/ each α_i sep / F .

PF $(\Rightarrow) \checkmark$

(\Leftarrow) Suppose $L = F(\alpha_1, \dots, \alpha_n)$ with each α_i sep./F. Let $p_i = \text{m}_{\alpha_i, F}$, and let q_1, \dots, q_r be the distinct elts of $\{p_1, \dots, p_n\}$. Then

$f = q_1 \dots q_r$ is sep. Let M be the splitting field of f over L .

Then $M = L(\beta_1, \dots, \beta_m)$ for β_i roots of f . Claim: $M = F(\beta_1, \dots, \beta_m)$.

Clearly \supseteq . But the α_i are among the β_j , so

$L = F(\alpha_1, \dots, \alpha_n) \subseteq F(\beta_1, \dots, \beta_m) \Rightarrow M \subseteq F(\beta_1, \dots, \beta_m)$, so equal.

Thus M/F Galois and hence sep. Since $L \subseteq M$, every elt of L is sep./F. \square

Galois closure

Prop If L/F finite sep, then M/L as above is Galois over F and is the smallest such extn of L .

pf Reading (Prop 7.1.7). \square

Defn Call M as above the Galois closure of L/F .

B. Normal Subgroups

Thm Suppose $L/K/F$ where L/F Galois. Then TFAE:

- (a) $K = \sigma K \forall \sigma \in \text{Gal}(L/F)$
- (b) $\text{Gal}(L/K) \trianglelefteq \text{Gal}(L/F)$
- (c) K/F Galois
- (d) K/F normal.

PF (a) \Rightarrow (b): If $K = \sigma K$, then $\text{Gal}(L/K) = \text{Gal}(L/\sigma K) = \sigma \text{Gal}(L/K) \sigma^{-1}$
 $\therefore \text{Gal}(L/K) \trianglelefteq \text{Gal}(L/F)$.

(b) \Rightarrow (a): $\text{Gal}(L/K) = \sigma \text{Gal}(L/K) \sigma^{-1} = \text{Gal}(L/\sigma K)$

L/K & $L/\sigma K$ Galois, $\overset{\text{normality}}{\text{so } K = L^{\text{Gal}(L/K)} = L^{\text{Gal}(L/\sigma K)} = \sigma K$.

(c) \Rightarrow (d): \checkmark as every Galois extn is normal (and sep).

(d) \Rightarrow (c): L/F Galois $\Rightarrow L/F$ sep $\Rightarrow \overset{K/F}{\text{sep}}$.

Thus K/F normal & sep, hence Galois.

(a) \Rightarrow (d): Let $f \in F[x]$ be irrad / F , root $\alpha \in K$. Then

$f = a_0 \prod_{i=1}^r (x - \alpha_i)$ for $\alpha_i = \alpha, \alpha_2, \dots, \alpha_r \in L$ distinct elts of L obtained by applying elts of $\text{Gal}(L/F)$ to α .

Since $\alpha \in K$, each $\alpha_i \in \sigma K = K \Rightarrow f$ splits completely over K .

(d) \Rightarrow (a): Take $\alpha \in K$, $\sigma \in \text{Gal}(L/F)$, and let $p = m_{\alpha, F}$.

Then $\sigma(\alpha)$ is also a root of p . Since K/F is normal, p splits completely over $K \Rightarrow \sigma(\alpha) \in K \Rightarrow \sigma K \subseteq K$.

Since these fields have the same degree over F , $\sigma K = K$. \square

cf. Example 7.2.6 in Cox to see the implications of this
the case for $\mathbb{Q}(\omega, \sqrt{2})/\mathbb{Q}$.

Thm Suppose $L/K/F$ with K/F & L/F Galois. Then
 $\text{Gal}(L/K) \trianglelefteq \text{Gal}(L/F)$ and $\text{Gal}(L/F)/\text{Gal}(L/K)$
 $\cong \text{Gal}(K/F)$.

Pf If K/F Galois, then $\text{Gal}(L/K) \trianglelefteq \text{Gal}(L/F)$ by prev thm.
For fixed $\sigma \in \text{Gal}(L/F)$, $\sigma|_K: K \xrightarrow{\cong} \sigma K = K \Rightarrow \sigma|_K$ an aut of K/F .
Thus $\sigma \mapsto \sigma|_K$ defines $\Phi: \text{Gal}(L/F) \rightarrow \text{Gal}(K/F)$
which is clearly a homomorphism. Moreover,
 $\sigma \in \ker \Phi \Leftrightarrow \sigma|_K = \text{id}_K \Leftrightarrow \sigma \in \text{Gal}(L/K)$
 $\therefore \ker \Phi = \text{Gal}(L/K)$. It remains to show $\text{im } \Phi = \text{Gal}(K/F)$.

$$\begin{aligned} \text{But } |\text{Im } \Phi| &= |\text{Gal}(L/F)/\text{Gal}(L/K)| \\ &= \frac{[L:F]}{[L:K]} \\ &= [K:F] \\ &= |\text{Gal}(K/F)| \end{aligned}$$

$$\therefore \text{im } \Phi = \text{Gal}(K/F). \quad \square$$

Ex. $L = \mathbb{Q}(\omega, \sqrt{2})$

$$\begin{array}{c} | \langle \sigma \rangle \\ \mathbb{Q}(\omega) \end{array}$$

$$\begin{array}{c} | \text{Galois} \\ \mathbb{Q} \end{array}$$

$$\begin{array}{c} | \text{Galois} \\ \mathbb{Q} \end{array}$$

$$\Rightarrow \text{Gal}(\mathbb{Q}(\omega)/\mathbb{Q}) \cong \text{Gal}(L/\mathbb{Q}) / \langle \sigma \rangle$$

$$\cong \mathbb{Z}_3 / A_3 \cong C_2.$$

Fundamental Theorem of Galois Theory I

Let L/F be Galois.

(a) For $L/K/F$, $\text{Gal}(L/K) \leq \text{Gal}(L/F)$ has fixed field
 $L^{\text{Gal}(L/K)} = K$.

Furthermore $|\text{Gal}(L/K)| = [L:K]$ and $[\text{Gal}(L/F) : \text{Gal}(L/K)] = [K:F]$.

(b) For $H \leq \text{Gal}(L/F)$, L^H has Galois gp
 $\text{Gal}(L/L^H) = H$.

Furthermore $[L:L^H] = |H|$ and $[L^H:F] = [\text{Gal}(L/F) : H]$.

Pf (a) L/K automatically Galois, so $L^{\text{Gal}(L/K)} = K$.

$|\text{Gal}(L/K)| = [L:K]$, $|\text{Gal}(L/F)| = [L:F]$ since both are Galois. Tower theorem then gives
 $[\text{Gal}(L/F) : \text{Gal}(L/K)] = \frac{[L:F]}{[L:K]} = [K:F]$.

(b) Take $H \leq \text{Gal}(L/F)$. Then $L/L^H/F$, and
 $H \leq \text{Gal}(L/L^H)$. L/L^H Galois; so

$$|H| \leq |\text{Gal}(L/L^H)| = [L:L^H]$$

Thus it suffices to show equality. Suppose for \mathcal{Q} that
 $|H| < [L:L^H]$. Then $\exists \alpha_1, \dots, \alpha_{n+1} \in L$ which are L^H -lin ind.
for $n = |H|$. Let $H = \{\sigma_1, \dots, \sigma_n\}$. Then the system

$$\sigma_1(\alpha_1)x_1 + \sigma_1(\alpha_2)x_2 + \dots + \sigma_1(\alpha_{n+1})x_{n+1} = 0$$

\vdots

$$\sigma_n(\alpha_1)x_1 + \sigma_n(\alpha_2)x_2 + \dots + \sigma_n(\alpha_{n+1})x_{n+1} = 0 \quad (\star)$$

\mathcal{Q} n equations in $n+1$ unknowns x_1, \dots, x_{n+1} has a solution
 $x_i = \beta_i, \dots, x_{n+1} = \beta_{n+1}$ in L where not all $\beta_i = 0$. By lin ind
of $\alpha_1, \dots, \alpha_{n+1}$ (and $\sigma_i = e$) not all β_i are in L^H .

Among all nontrivial solns $(\beta_1, \dots, \beta_{r-1})$ of $\textcircled{1}$, choose one with a minimal # of nonzero β_i . WLOG, $\beta_1, \dots, \beta_r \neq 0$, and dividing by β_r , $\beta_r = 1$. Know that at least 1 of $\beta_1, \dots, \beta_{r-1} \notin L^H$ (so $r > 1$), say $\beta_1 \notin L^H$. Then $\textcircled{1}$ becomes $\sigma_i(\alpha_1)\beta_1 + \dots + \sigma_i(\alpha_{r-1})\beta_{r-1} + \sigma_i(\alpha_r) = 0$, $i=1, \dots, n$. Since $\beta_1 \notin L^H$, \exists auto σ_{k_0} ($k_0 \in \{1, \dots, n\}$) with $\sigma_{k_0}\beta_1 \neq \beta_1$. Applying σ_{k_0} , get

$$\sigma_{k_0}\sigma_i(\alpha_1)\sigma_{k_0}(\beta_1) + \dots + \sigma_{k_0}\sigma_i(\alpha_{r-1})\sigma_{k_0}(\beta_{r-1}) + \sigma_{k_0}\sigma_i(\alpha_r) = 0$$

for $i=1, \dots, n$. But $\{\sigma_{k_0}\sigma_i \mid i=1, \dots, n\} = H = \{\sigma_1, \dots, \sigma_n\}$ so have

$$\sigma_i(\alpha_1)\sigma_{k_0}(\beta_1) + \dots + \sigma_i(\alpha_{r-1})\sigma_{k_0}(\beta_{r-1}) + \sigma_i(\alpha_r) = 0$$

Subtracting systems, get

$$\sigma_i(\alpha_1)(\beta_1 - \sigma_{k_0}(\beta_1)) + \dots + \sigma_i(\alpha_{r-1})(\beta_{r-1} - \sigma_{k_0}(\beta_{r-1})) = 0$$

for $i=1, \dots, n$. This is a soln of $\textcircled{1}$ with fewer nonzero " β_i " and is nontrivial since $\beta_1 \neq \sigma_{k_0}\beta_1$. \square

This proves $|H| = [L:L^H]$ and $\text{Gal}(L/L^H) = H$.

$$|\text{Gal}(L/F)| \begin{pmatrix} L \\ |H| \\ L^H \\ | \\ F \end{pmatrix} \Rightarrow [L^H:F] = \frac{|\text{Gal}(L/F)|}{|H|} = [|\text{Gal}(L/F):H|]. \quad \square$$

FTGT II L/F Galois. Then

$$\begin{array}{ccc} \{K \mid L/K/F\} & \xrightarrow{\cong} & \{H \mid H \subseteq \text{Gal}(L/F)\} \\ K & \xrightarrow{\quad} & \text{Gal}(L/K) \\ L^H & \xleftarrow{\quad} & H \end{array}$$

are inverses of each other which reverse inclusions.

Furthermore, if $K \rightarrow H$ under this bij'n, then K/F is Galois iff $H \trianglelefteq \text{Gal}(L/F)$, and when this happens, there is a natural isomorphism $\text{Gal}(L/F)/H \cong \text{Gal}(K/F)$.

$$\text{If } K \rightarrow \text{Gal}(L/K) \rightarrow L^{\text{Gal}(L/K)} = K \quad \checkmark$$

$$H \rightarrow L^H \rightarrow \text{Gal}(L/L^H) = H \quad \checkmark$$

Inclusion-reversing is an easy check.

Normality portion proved Wednesday. \square

The splitting field of $x^8 - 2$

The splitting field of $x^8 - 2 / \mathbb{Q}$ is gen'd by $\theta = \sqrt[8]{2} \in \mathbb{R}$ and $\zeta = \zeta_8 = e^{2\pi i/8}$.

Note that $i = \zeta_4 \in \mathbb{Q}(\zeta_8)$ and $\zeta_8 + \zeta_8^7 = \sqrt{2} \in \mathbb{Q}(\zeta_8)$

$\Rightarrow \mathbb{Q}(i, \sqrt{2}) \subseteq \mathbb{Q}(\zeta_8)$. In fact, $m_{\zeta_8, \mathbb{Q}} = x^4 + 1$

$\Rightarrow \mathbb{Q}(\zeta_8) = \mathbb{Q}(i, \sqrt{2})$.

Since $\theta^4 = \sqrt{2}$, get that sp. field of $x^8 - 2$ is gen'd by θ, i .
 $[\mathbb{Q}(\theta) : \mathbb{Q}] = 8$ b/c θ has monic poly $x^8 - 2$ (irred by Eisenstein).

$\mathbb{Q}(\theta) \subseteq \mathbb{R}$ so $i \notin \mathbb{Q}(\theta)$ so $\mathbb{Q}(\theta, \zeta) = \mathbb{Q}(\theta, i)$

$$16 \begin{pmatrix} 12 \\ \mathbb{Q}(\theta) \\ 18 \\ \mathbb{Q} \end{pmatrix}$$

The Galois gp is determined by its action on θ, i :

$$\begin{aligned} \theta &\mapsto \zeta^a \theta & a=0,1,\dots,7 \\ i &\mapsto \pm i \end{aligned}$$

are possible, and there are only 16 of these, so they're all realized. Define

$$\sigma: \begin{cases} \theta \mapsto \zeta \theta \\ i \mapsto i \end{cases} \quad \tau: \begin{cases} \theta \mapsto \theta \\ i \mapsto -i \end{cases}$$

Note that $\zeta = \frac{\sqrt{2}}{2} + i \frac{\sqrt{2}}{2} = \frac{1}{2}(1+i)\sqrt{2} = \frac{1}{2}(1+i)\theta^4$

Thus $\sigma(\zeta) = -\zeta = \zeta^5$, $\tau(\zeta) = \zeta^7$

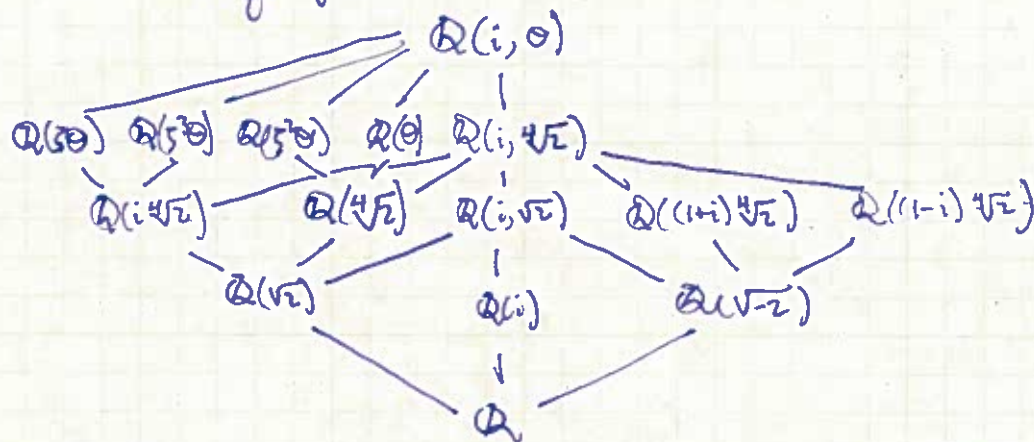
What is the corresponding lattice of subextensions?

For $\mathbb{Q}(\theta, i) / K / \mathbb{Q}$ with $K = \mathbb{Q}(\theta, i)^H$,

$[K:\mathbb{Q}] = [G:H]$, so it suffices to find K of the correct degree fixed by (the generators of) H .

e.g. $\mathbb{Q}(i)$ is fixed by σ , $[G:\langle\sigma\rangle] = 2$, and $[\mathbb{Q}(i):\mathbb{Q}] = 2$,
so $\mathbb{Q}(i) = \mathbb{Q}(\theta, i)^{\langle\sigma\rangle}$.

Ultimately get



e.g. $H = \langle\tau\sigma^3\rangle$. $\theta^2 = \sqrt{2}$ fixed by σ^4 , $\langle\sigma^4\rangle \trianglelefteq H$ of index 2
with coset reps $1, \tau\sigma^3$. Consider

$$\alpha = (1 + \tau\sigma^3)\theta^2 = \theta^2 + \tau\sigma^3\theta^2$$

$$\tau\sigma^3\alpha = (\tau\sigma^3 + (\tau\sigma^3)^2)\theta^2$$

$$= (\tau\sigma^3 + \sigma^4)\theta^2$$

$$= \alpha \quad \text{since } \sigma^4\theta^2 = \theta^2$$

Now $\alpha = \sqrt{2} + i\sqrt{2} = (1+i)\sqrt{2} \in \mathbb{Q}(i, \theta)^H$.

Check $\sigma^2\alpha \neq \alpha$, so subgrp diagram $\Rightarrow \mathbb{Q}(i, \theta)^H = \mathbb{Q}((1+i)\sqrt{2})$.

Note $\tau H \tau^{-1} = \langle\tau\sigma\rangle$ has fixed field $\tau\mathbb{Q}(\alpha) = \mathbb{Q}(\tau\alpha) = \mathbb{Q}((1-i)\sqrt{2})$.

The Discriminant

For a nonconstant monic $f \in F[x]$, have discriminant $\Delta(f) \in F$.

If $n = \deg(f) \geq 2$ and $f = (x - \alpha_1) \cdots (x - \alpha_n)$ in a splitting field L of f ,

then $\Delta(f) = \prod_{i < j} (\alpha_i - \alpha_j)^2$ and f is separable iff $\Delta(f) \neq 0$.

Define $\sqrt{\Delta(f)} = \prod_{i < j} (\alpha_i - \alpha_j) \in L$.

Recall that for f separable, the action of $\text{Gal}(L/F)$ on roots $\{\alpha_1, \dots, \alpha_n\}$ determines $\text{Gal}(L/F) \hookrightarrow \Sigma_n$.

Thm Let $f, L/F$ be as above and assume $\text{char } F \neq 2$.

(a) If $\sigma \in \text{Gal}(L/F) \mapsto \tau \in \Sigma_n$, then

$$\sigma(\sqrt{\Delta(f)}) = \text{sgn}(\tau) \sqrt{\Delta(f)}.$$

(b) The image of $\text{Gal}(L/F)$ lies in the alternating group A_n iff $\sqrt{\Delta(f)} \in F$ (i.e. $\Delta(f) = a^2$ for some $a \in F$).

Pf Recall $\sqrt{\Delta} = \prod_{i < j} (x_i - x_j) \in F[x_1, \dots, x_n]$ has the property

$$\tau \sqrt{\Delta} = \text{sgn}(\tau) \sqrt{\Delta} \text{ for } \tau \in \Sigma_n.$$

Evaluating at $x_1 = \alpha_1, \dots, x_n = \alpha_n$ gives

$$\prod_{i < j} (\alpha_{\tau(i)} - \alpha_{\tau(j)}) = \text{sgn}(\tau) \prod_{i < j} (\alpha_i - \alpha_j) = \text{sgn}(\tau) \sqrt{\Delta(f)}$$

but $\sigma(\alpha_i) = \alpha_{\tau(i)}$ by defn, so the LHS = $\sigma(\sqrt{\Delta(f)})$. Thus (a).

For (b), L/F is Galois, so $F = L^{\text{Gal}(L/F)}$. Thus

$$\sqrt{\Delta(f)} \in F \iff \sigma(\sqrt{\Delta(f)}) = \sqrt{\Delta(f)} \quad \forall \sigma \in \text{Gal}(L/F)$$

$$\iff \text{sgn}(\tau) \sqrt{\Delta(f)} = \sqrt{\Delta(f)} \quad \forall \sigma$$

$$\iff \text{sgn}(\tau) = 1 \quad \forall \sigma. \quad \square$$

Prop Let $f \in F[x]$ be a monic irred sep cubic, $\text{char } F \neq 2$. If L is the splitting field of f over F , then

$$\text{Gal}(L/F) \cong \begin{cases} C_3 & \text{if } \Delta(f) \text{ is a square in } F \\ \Sigma_3 & \text{otherwise.} \end{cases}$$

Pf For α a root of f , $L/F(\alpha)/F$ and $[F(\alpha):F]=3$, so $[L:F]$ is a multiple of 3. We also have $\text{Gal}(L/F) \hookrightarrow \Sigma_3$ and the only subgroups of Σ_3 of order divisible by 3 are Σ_3 and $A_3 \cong C_3$. \square

The Universal Extension

$L = F(x_1, \dots, x_n) / K = F(\sigma_1, \dots, \sigma_n)$ for σ_i the elementary symm polys.

From reading: L is the splitting field of

$$\tilde{f} = x^n - \sigma_1 x^{n-1} + \dots + (-1)^n \sigma_n = \prod_{i=1}^n (x - x_i),$$

and $\text{Gal}(L/K) \cong \Sigma_n$. Under this identification, $\sigma \in \Sigma_n$ permutes the x_i according to σ .

Thm Let $R \in F(x_1, \dots, x_n)$ be a rat'l fn.

(a) R is invariant under Σ_n iff $R \in F(\sigma_1, \dots, \sigma_n)$

(b) Assume $\text{char } F \neq 2$. Then R is invariant under A_n iff

$$\exists A, B \in F(\sigma_1, \dots, \sigma_n) \text{ s.t. } R = A + B\sqrt{\Delta}.$$

Pf (a) $L^{\text{Gal}(L/K)} = K$.

(b) Let $M = L^{A_n}$. Since $[\Sigma_n:A_n]=2$, $[M:K]=2$.

Since $\tau\sqrt{\Delta} = \text{sgn}(\tau)\sqrt{\Delta}$, $\sqrt{\Delta} \in M$, so $K \subseteq K(\sqrt{\Delta}) \subseteq M$.

Thus $2 = [M:K] = [M:K(\sqrt{\Delta})][K(\sqrt{\Delta}):K]$. But $\sqrt{\Delta} \notin K$ so $K(\sqrt{\Delta}) = M$.

\square

Solvable Groups

Defn A finite group G is solvable if there are subgroups

$$1 = G_n \trianglelefteq G_{n-1} \trianglelefteq \dots \trianglelefteq G_1 \trianglelefteq G_0 = G$$

s.t. for $i=1, \dots, n$ we have

(a) $G_i \trianglelefteq G_{i-1}$

(b) $[G_{i-1} : G_i]$ is prime. (so $G_i / G_{i-1} \cong C_p$)

eg. The chain $1 \trianglelefteq A_3 \trianglelefteq \Sigma_3$ exhibits Σ_3 as solvable.

• All finite abelian groups are solvable (soon).

• A_n, Σ_n are nonsolvable for $n \geq 5$ (later).

Prop Every subgp of a finite solvable gp is solvable.

pf Let $\{G_i\}_{i=0}^n$ be a chain witnessing solvability of G .

For $H \trianglelefteq G$ define $H_i = H \cap G_i$ and note $H_0 = H \cap G_0 = H \cap G = H$
 $H_n = H \cap 1 = 1$.

Let π be the composite $H_{i-1} \hookrightarrow G_{i-1} \rightarrow G_{i-1}/G_i$.

$$\text{Then } \ker \pi = \{h \in H_{i-1} \mid hG_i = G_i\}$$

$$= H_{i-1} \cap G_i = (H \cap G_{i-1}) \cap G_i$$

$$= H \cap G_i = H_i \trianglelefteq H_{i-1}$$

By the first isomorphism thm,

$$H_{i-1} / H_i \cong \text{im}(\pi) \leq G_{i-1} / G_i$$

$$\text{so } H_{i-1} / H_i \cong 1 \text{ or } C_p.$$

\Downarrow

$$H_i = H_{i-1}$$

So discarding duplicates we get a chain witnessing solvability of H . \square

Thm $H \trianglelefteq G$ finite. Then G is solvable iff H and G/H are solvable.

Pf First suppose G solvable. Then H is solvable by the prop. Let $\pi: G \rightarrow G/H$ be the quotient hom. and set $\tilde{G}_i = \pi(G_i)$. Exc After discarding duplicates, \tilde{G}_i give a chain witnessing solvability of G/H .
 Now suppose $H, G/H$ solvable with

$$1 = H_e \leq H_{e-1} \leq \dots \leq H_0 = H$$

$$1 = \tilde{G}_m \leq \dots \leq \tilde{G}_0 = G/H$$

witnessing solvability. Then

$$1 = H_e \leq \dots \leq H_0 = H \leq \pi^{-1}\tilde{G}_m \leq \dots \leq \pi^{-1}\tilde{G}_0 = G$$

witnesses solvability of G . (check). \square

Prop Every finite abelian group G is solvable.

Pf by strong induction on $n = |G|$. The case $n=1$ is trivial. Assume G abelian of order $n > 1$ and the result is true \forall abelian grps of order $< n$.

Let p be a prime divisor of n . If $p=n$, $G \cong C_p$ solvable. If $p < n$, Cauchy's thm says there is $\langle g \rangle \leq G$, $\langle g \rangle \cong C_p$. This is solvable & normal since G abelian. $|G/\langle g \rangle| < n$ so $G/\langle g \rangle$ solvable, so the prop follows from the theorem. \square .

e.g. $\mathbb{F}_p \cong T \trianglelefteq \text{AGL}(\mathbb{F}_p)$ with $\text{AGL}(\mathbb{F}_p)/T \cong \mathbb{F}_p^\times$.

Both $\mathbb{F}_p, \mathbb{F}_p^\times$ abelian, hence solvable, so $\text{AGL}(\mathbb{F}_p)$ is solvable.

Book Feit-Thompson theorem: Every gp of odd order is solvable. Pf 255pp. \square

Radical & Solvable Extensions

Defn A field extension L/F is radical if there are fields

$$F = F_0 \subseteq F_1 \subseteq \dots \subseteq F_n = L \text{ where for } i=1, \dots, n \exists \delta_i \in F_i \text{ s.t. } F_i = F_{i-1}(\delta_i) \text{ and } \delta_i^{m_i} \in F_{i-1} \text{ for some integer } m_i > 0.$$

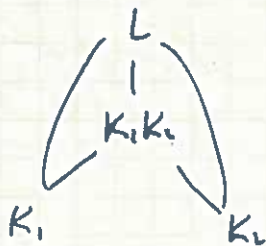
Note if $b_i = \delta_i^{m_i}$ then $F_i = F_{i-1}(\sqrt[m_i]{b_i})$, i.e. radical extns arise by adjoining successive radicals.

e.g. $\mathbb{Q} \subseteq \mathbb{Q}(\sqrt{2}) \subseteq \mathbb{Q}(\sqrt{2})(\sqrt{2+\sqrt{2}}) = \mathbb{Q}(\sqrt{2+\sqrt{2}})$
witnesses $\mathbb{Q}(\sqrt{2+\sqrt{2}})/\mathbb{Q}$ as a radical extn.

Defn A field extn L/F is solvable (by radicals) if there is a field extn M/F s.t. M/F is radical.

e.g. The splitting field of $x^3 + x^2 - 2x + 1 / \mathbb{Q}$ is solvable but not radical.

Defn Suppose $K_1, K_2 \subseteq L$ subfields. The composition $K_1 K_2$ of K_1 & K_2 is the smallest subfield of L containing K_1, K_2 .



Existence: Fields are closed under arbitrary intersection.

Prop $M/L/F$ with M/F Galois. Then the composition of all conjugate fields of L in M is the Galois closure of L/F .

Lemma $M/L_1, L_2/F$ with M/F Galois, then

$$\text{Gal}(M/L_1 L_2 / F) = \text{Gal}(L_1 / F) \rtimes \text{Gal}(L_2 / F)$$

$$\text{Gal}(M/L_1 L_2) = \text{Gal}(M/L_1) \rtimes \text{Gal}(M/L_2).$$

Pf Lemma If σ fixes L_1, L_2 then it fixes L_1, L_2 so

$$\text{Gal}(M/L, L_2) \subseteq \text{Gal}(M/L_1) \cap \text{Gal}(M/L_2)$$

Suppose $\sigma \in \text{Gal}(M/L_1) \cap \text{Gal}(M/L_2)$ suppose for \mathcal{Q} that

$\sigma x \neq x$ for some $x \in L_1, L_2$. Then $M^{\langle \sigma \rangle} \cap L_1, L_2 \not\subseteq L_1, L_2$

with $L_1, L_2 \subseteq M^{\langle \sigma \rangle} \cap L_1, L_2, \mathcal{Q}$. \square

Pf Prop Composition of the σL , $\sigma \in \text{Gal}(M/F)$ has Galois

gp $\bigcap_{\sigma \in \text{Gal}(M/F)} \sigma \text{Gal}(M/L) \sigma^{-1}$, which is clearly normal in $\text{Gal}(M/F)$

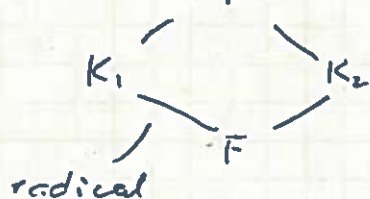
so $\text{Conj}(\sigma L) / F$ is Galois and contains ~~all Galois~~

~~conjugates~~ L . Now check that any Galois extn containing L contains all σL (exc). \square

Properties of radical & solvable extns

Lemma (a) If $L/F, M/L$ are radical, so is M/F .

(b)
$$\begin{array}{c} L \\ | \\ K_1, K_2 \end{array} \Rightarrow K_1 K_2 / K_2 \text{ radical.}$$



(c) $K_1/F, K_2/F$ radical $\Rightarrow K_1 K_2 / F$ radical

Pf (a) follows from defs & (c) \Leftarrow (b).

For (b), the idea is to adjoin the same roots to K_2 (check details). \square

Thm If L/F is separable and radical, then the Galois closure of L is also radical.

Pf The Galois conjugates of L are radical. \square

Cor Solvable extns of char 0 fields have solvable Galois closure. \square

Solvable extensions, solvable groups.

Assumption All fields have char 0.

For $m \in \mathbb{Z}^+$, field L , $x^m - 1$ is separable with roots $1, \zeta, \dots, \zeta^{m-1}$ forming a cyclic group of order m . The splitting field is $L(\zeta)$, and $L(\zeta)/L$ is Galois and $\text{Gal}(L(\zeta)/L)$ is Abelian. (Indeed, σ determined by $\sigma(\zeta) \in \{1, \dots, \zeta^{m-1}\}$.)

Consider

$$\begin{array}{ccc} & L(\zeta) & \\ L & \swarrow \quad \searrow & F(\zeta) \\ & F & \end{array}$$

Lemma If L/F is Galois, then $L(\zeta)/F$ and $L(\zeta)/F(\zeta)$ are also Galois, and

$$\begin{aligned} \text{Gal}(L/F) \text{ is solvable} &\iff \text{Gal}(L(\zeta)/F) \text{ is solvable} \\ &\iff \text{Gal}(L(\zeta)/F(\zeta)) \text{ is solvable.} \end{aligned}$$

Pf Check $L(\zeta)/F$ Galois (exc), so $L(\zeta)/F(\zeta)$ is Galois as well. For first equiv, get ~~$\text{Gal}(L(\zeta)/L) \cong \text{Gal}(L(\zeta)/F)$~~ $\text{Gal}(L(\zeta)/L) \cong \text{Gal}(L(\zeta)/F)$ with quotient $\cong \text{Gal}(L/F)$. \uparrow Abelian, hence solvable.

Thus $\text{Gal}(L(\zeta)/F)$ solvable $\iff \text{Gal}(L/F)$ solvable. \checkmark

Similarly, $\text{Gal}(F(\zeta)/F) \cong \text{Gal}(L(\zeta)/F) / \text{Gal}(L(\zeta)/F(\zeta))$.

\uparrow Abelian, hence solvable so $\text{Gal}(L(\zeta)/F)$ solv \iff solv. \square

Lemma Suppose M/K Galois with $\text{Gal}(M/K) \cong C_p$, p prime.

If K contains a primitive p th root of unity ζ , then $\exists \alpha \in M$ s.t. $M = K(\alpha)$ and $\alpha^p \in K$.

Pf Later if time Read on p. 203.

Cor of Galois \Leftrightarrow H, Galois solv is that filtration quotients solvable \Rightarrow Galois solvable, so Gal(L/F) is solvable.

(\Leftarrow) Let L/F be Galois with solvable Galois group.

Special case: F contains a primitive p -th root of unity
 \nexists prime $p \mid |\text{Gal}(L/F)|$.

Now show L/F radical in this case: Take

$1 = G_n \triangleleft \dots \triangleleft G_0 = \text{Gal}(L/F)$ witnessing solvability.

Let $F_i = L^{G_i}$ to get

$$F = L^{\text{Gal}(L/F)} = L^{G_0} = F_0 \subseteq F_1 \subseteq \dots \subseteq F_{n-1} \subseteq F_n = L^{G_n} = L^1 = L.$$

$G_i \triangleleft G_{i-1} \Rightarrow G_{i-1}/G_i \cong \text{Gal}(F_i/F_{i-1}) \cong \mathbb{C}_p$ for a prime p .

Exc $p \mid |\text{Gal}(L/F)|$. The lemma implies $F_i = F_{i-1}(\alpha)$ for $\alpha \in F_i$. Thus L/F radical.

Now consider the general case:

Let $m = |\text{Gal}(L/F)|$, ζ a prim m -th root of unity. Then

$\text{Gal}(L(\zeta)/F(\zeta))$ is solvable.

$$\text{Gal}(L/F) \cong \text{Gal}(L(\zeta)/F) / \text{Gal}(L(\zeta)/L)$$

induced by $\text{Gal}(L(\zeta)/F) \xrightarrow{\text{res}_L} \text{Gal}(L/F)$

$$\begin{array}{ccc} & \uparrow & \nearrow \\ & \text{Gal}(L(\zeta)/F(\zeta)) & \xrightarrow{\text{res}_L} \text{Gal}(L/F) \\ & & \nwarrow \text{ker} = 1 \text{ b/c elts of ker} \\ & & \text{are id on } L(\zeta) = L(\zeta). \end{array}$$

Thus $m \mid |\text{Gal}(L(\zeta)/F(\zeta))| \mid |\text{Gal}(L/F)|$. Take prime $p \mid m$.

Then $\zeta^{m/p}$ is a primitive p -th root of unity, and $\zeta^{m/p} \in F(\zeta)$

so $L(\zeta)/F(\zeta)$ is in the special case, hence a radical extn. $F(\zeta)/F$ is radical, so $L(\zeta)/F$ is radical

\Rightarrow L/F solvable. \square

Cor L/F Galois of deg m , solvable, ζ a prim m -th root of 1. Then

Pf Lemma Take $\langle \sigma \rangle = \text{Gal}(M/K) \cong C_p$. Fix $\beta \in M-K$.

Then for $i=0, \dots, p-1$, consider the Lagrange resolvent

$$\alpha_i = \beta + \zeta^{-i} \sigma(\beta) + \zeta^{-2i} \sigma^2(\beta) + \dots + \zeta^{-i(p-1)} \sigma^{p-1}(\beta).$$

$$\text{Then } \zeta^i \sigma(\alpha_i) = \zeta^i \sigma(\beta) + \zeta^{-2i} \sigma^2(\beta) + \dots + \zeta^{-i(p-1)} \sigma^p(\beta) + \underbrace{\zeta^{-i} \sigma^p(\beta)}_{\beta}$$

$$\Rightarrow \zeta^{-i} \sigma(\alpha_i) = \alpha_i$$

$$\Rightarrow \sigma(\alpha_i) = \zeta^i \alpha_i$$

$$\Rightarrow \sigma(\alpha_i^p) = \zeta^{ip} \alpha_i^p = \alpha_i^p.$$

$$\Rightarrow \alpha_i^p \in M^{\text{Gal}(M/K)} = K. \text{ Also } \alpha_0 \in K.$$

Case 1 $\exists 1 \leq i \leq p-1$ st. $\alpha_i \neq 0$. Then $\zeta^i \neq 1$ so $\zeta^i \alpha_i \neq \alpha_i$

so $\sigma(\alpha_i) \neq \alpha_i$ so $\alpha_i \notin K$. Since $[M:K]$ prime, get

$$M = K(\alpha_i) \quad \checkmark$$

Case 2 $\alpha_i = 0$ for $1 \leq i \leq p-1$. Then

$$\alpha_0 = \alpha_0 + \alpha_1 + \dots + \alpha_{p-1}$$

$$= \dots = p\beta.$$

So $\beta = \alpha_0/p \in K$ since $\alpha_0 \in K, p \notin K$. Thus case always

in case 1. \square

Simple Groups

Defn A group G is simple if its only normal subgroups are 1 and G .

e.g. C_p for p prime (Lagrange's Thm)

Thm A_n is simple for $n \geq 5$.

PF Two facts: ① d -cycle $(i_1 \dots i_d) \in A_n$ iff d is odd

② For $n \geq 3$, A_n is gen'd by 3-cycles (HW)

For ①, $(i_1 \dots i_d) = (i_1 i_2) \dots (i_1 i_3) \dots (i_1 i_d)$.



Now suppose $H \neq 1 \trianglelefteq A_n$. Want to show $H = A_n$. First show H contains a 3-cycle. Take $1 \neq \sigma \in H$. Since $(j_1 j_2 j_3) \in A_n \trianglelefteq H$,

$$\sigma^{-1} (j_1 j_2 j_3)^i \sigma (j_1 j_2 j_3) \in H.$$

If neither j nor $\sigma(j) \in \{j_1, j_2, j_3\}$, then $\sigma^{-1} (j_1 j_2 j_3)^i \sigma (j_1 j_2 j_3)$ fixes j . Thus the elt in question moves at most 6 elts of $\{1, \dots, n\}$.

Case 1 First suppose one of the cycles in σ has length ≥ 4 , say

$$\sigma = (i_1 i_2 i_3 i_4 \dots) (\dots) \dots. \text{ Then } \sigma^{-1} (i_2 i_3 i_4)^i \sigma (i_2 i_3 i_4) \\ = (i_1 i_3 i_4). \text{ Indeed, fixes all } j \notin \{i_1, i_2, i_3, i_4\} \text{ and} \\ i_2 \mapsto i_3 \mapsto i_4 \mapsto i_3 \mapsto i_2. \text{ Etc.}$$

Case 2 Suppose σ has a 3-cycle. If σ is a 3-cycle, we're done.

So may assume $\sigma = (i_1 i_2 i_3) (i_4 i_5 \dots) \dots$.

Then $\sigma^{-1} (i_2 i_3 i_5)^{-1} \sigma (i_2 i_3 i_5) = (i_1 i_4 i_2 i_3 i_5)$

so H contains a 5-cycle, so, by Case 1, H contains a 3-cycle.

Case 3 Finally suppose σ is a product of disjoint 2-cycles

$\sigma = (i_1 i_2)(i_3 i_4) \dots$. Then $\sigma^{-1} (i_2 i_3 i_4)^{-1} \sigma (i_2 i_3 i_4)$
 $= (i_1 i_3)(i_2 i_4) \in H$. Let i_5 be distinct from i_1, \dots, i_4

(using $n \geq 5$). Then

$$\begin{aligned} & ((i_1 i_3)(i_2 i_4))^{-1} (i_2 i_3 i_5)^{-1} ((i_1 i_3)(i_2 i_4)) (i_2 i_3 i_5) \\ &= (i_1 i_5 i_3) \in H. \end{aligned}$$

Now know some $(i j k) \in H$ and want to show all 3-cycles $\in H$.

Suppose i', j', k' distinct, and let $\theta \in \Sigma_n$ satisfy

$$\theta(i) = i', \theta(j) = j', \theta(k) = k'.$$

Then $\theta(i j k) \theta^{-1} = (i' j' k')$. If $\theta \in A_n$, get

$(i' j' k') \in H \leq A_n$. If $\theta \notin A_n$, then $\theta' = \theta(i j) \in A_n$ and

$\theta'(i j k) \theta'^{-1} = (j' i' k') \in H$ so $(i' j' k') = (j' i' k')^{-1} \in H$.

As H contains all 3-cycles, $H = A_n$. \square

Lemma Let G be a nonabelian finite simple group. Then G is not solvable.

Pf Suppose $\dots \leq G_1 \leq G_0 = G$ witnesses solvability. Then

$G_1 = 1$ by simplicity of G and $[G:G_1] = |G| = p$, prime.

But then $G = C_p$ is Abelian. \square

Thm A_n, Σ_n solvable iff $n \leq 4$.

Solving Polynomials by Radicals

* Assume all fields of char 0. *

Defn Let $f \in F[x]$ be nonconstant with splitting field L/F .(a) A root $\alpha \in L$ of f is expressible by radicals over F if α lies in some radical extension of F .(b) The polynomial f is solvable by radicals over F if L/F is a solvable extension.Prop Let $f \in F[x]$ be irreducible. Then f is solvable by radicals over F iff f has a root expressible by radicals over F .pf (\Rightarrow) \checkmark (\Leftarrow) Suppose $f(\alpha) = 0$ with α in some radical extension of F .Then $F(\alpha)/F$ solvable, so its Galois closure M/F is solvable. By normality of M/F , M contains the splitting field of f over F so F is solvable by radicals. \square Recall For $f \in F[x]$, $\text{Gal}(f/F) = \text{Gal}(L/F)$ for L a splitting field of f/F .Thm A polynomial $f \in F[x]$ is solvable by radicals iff $\text{Gal}(f/F)$ is solvable. \square Prop If $f \in F[x]$ has degree $n \leq 4$, then f is solvable by radicals.pf If f is separable, then $\text{Gal}(f/F) \leq \Sigma_4$ which is solvable.For the nonseparable case, work with nonrepeated irred factors of f . \square eg. $\text{Gal}(x^5 - 6x + 3/\mathbb{Q}) \cong \Sigma_5$, not solvable.

irreducible, so no root expressible by radicals!

• The Universal Polynomial:

$$\tilde{f} = x^2 - \sigma_1 x + \sigma_2 = (x - x_1)(x - x_2)$$

is solvable by radicals by the quadratic formula.

Degree n generalization:

$$\tilde{f} = x^n - \sigma_1 x^{n-1} + \dots + (-1)^n \sigma_n = (x - x_1) \dots (x - x_n)$$

solvable by radicals iff $L = F(x_1, \dots, x_n) / F(\sigma_1, \dots, \sigma_n) = K$

solvable iff $\text{Gal}(L/K) \cong \Sigma_n$ solvable. Hence have general formula for roots iff $n \leq 4$.

Note Some polynomials of degree > 4 are solvable by radicals.

• Abelian Equations:

Defn Let $f \in F[x]$. Call $f=0$ an Abelian equation if f separable with root α s.t. the roots of f are $\theta_1(\alpha), \dots, \theta_n(\alpha)$ for $\theta_1, \dots, \theta_n$ rational fns with coeffs in F satisfying

$$\theta_i(\theta_j(\alpha)) = \theta_j(\theta_i(\alpha)) \quad \forall i, j.$$

Thm Let $f \in F[x]$. If $f=0$ is an Abelian equation, then f is solvable by radicals over F .

Pf Abelian groups are solvable, so suffices to show $\text{Gal}(L/F)$ Abelian for L splitting field of f/F . For $\sigma, \tau \in \text{Gal}(L/F)$, check that

$$\bullet \sigma(\alpha) = \theta_i(\alpha) \text{ , } \tau(\alpha) = \theta_j(\alpha) \text{ for some } i, j.$$

$$\bullet \sigma\tau = \tau\sigma \text{ iff } \sigma(\tau(\alpha)) = \tau(\sigma(\alpha))$$

$$\bullet \sigma(\tau(\alpha)) = \theta_j(\theta_i(\alpha)) \text{ and } \tau(\sigma(\alpha)) = \theta_i(\theta_j(\alpha)). \quad \square$$

Then let $f \in F[x]$ be irreducible and separable of degree n with splitting field L/F . Then

$f=0$ is Abelian iff $\text{Gal}(L/F)$ is Abelian.

When these conditions are satisfied, $|\text{Gal}(L/F)| = [L:F] = n$ and $L = F(\alpha)$ for any root $\alpha \in L$ of F .

Pf: Just saw \Rightarrow . For \Leftarrow , let $\alpha \in L$ be a root of F . Then

$$L/F(\alpha)/F \leftrightarrow \text{Gal}(L/F(\alpha)) \trianglelefteq \text{Gal}(L/F)$$

Thus $F(\alpha)/F$ is Galois, so f splits completely in $F(\alpha)$ by normality. Thus $L = F(\alpha)$ and $[L:F] = n$. Each root is thus of the form $\theta_i(\alpha)$ for $\theta_i \in F(x)$. \square

Reading Thm 8.5.9: Artin's elegant proof of FTA.

It works for any extn C/R where R has no extns of odd degree > 1 , C has no extns of deg 2.

Cyclotomic Polynomials

Goal Determine $\Phi_n = \mu_{\mathbb{Z}/n\mathbb{Z}, \mathbb{Q}}$ and $\text{Gal}(\mathbb{Q}(\zeta_n)/\mathbb{Q})$.

Defn The Euler ϕ -function $\phi: \mathbb{Z}^+ \rightarrow \mathbb{Z}^+$
 $n \mapsto |\{i \mid 0 \leq i < n, \text{gcd}(i, n) = 1\}|$

Note $\phi(n) = |(\mathbb{Z}/n\mathbb{Z})^\times|$.

Lemma (a) If $\text{gcd}(n, m) = 1$, then $\phi(nm) = \phi(n)\phi(m)$.

(b) If $n > 1$, $\phi(n) = n \prod_{\substack{p|n \\ \text{prime}}} (1 - \frac{1}{p})$.

Pf(a) Assume $\text{gcd}(n, m) = 1$. Then Sanzi's Thm implies

$$\mathbb{Z}/nm\mathbb{Z} \cong \mathbb{Z}/m\mathbb{Z} \times \mathbb{Z}/n\mathbb{Z}$$

$$\text{so } (\mathbb{Z}/nm\mathbb{Z})^\times \cong (\mathbb{Z}/m\mathbb{Z})^\times \times (\mathbb{Z}/n\mathbb{Z})^\times.$$

$$\begin{aligned} \text{(b) For } p \text{ prime, } \phi(p^a) &= p^a - |\{j \mid 0 \leq j < p^a, p|j\}| \\ &= p^a - |\{pl \mid 0 \leq l < p^{a-1}\}| \\ &= p^a - p^{a-1} = p^a(1 - \frac{1}{p}). \end{aligned}$$

So if $n = p_1^{a_1} \cdots p_s^{a_s}$ for p_i distinct primes, then

$$\begin{aligned} \phi(n) &= \prod_{p_i|n} \phi(p_i^{a_i}) \\ &= n \prod_{p|n} (1 - \frac{1}{p}). \quad \square \end{aligned}$$

Let $\zeta = \zeta_n = e^{2\pi i/n}$. Then $x^n - 1 = \prod_{i=0}^{n-1} (x - \zeta^i)$. Define the

n -th cyclotomic polynomial $\Phi_n(x) = \prod_{\substack{0 \leq i < n \\ \text{gcd}(i, n) = 1}} (x - \zeta^i)$.

Thus $\deg \Phi_n = \phi(n)$ and roots of Φ_n = primitive n th roots of 1.

$$2. j. \quad \Phi_4 = (x-i)(x+i) = x^2 + 1.$$

$$\Phi_p = (x-\zeta_p)(x-\zeta_p^2) \cdots (x-\zeta_p^{p-1}) = \frac{x^p - 1}{x - 1} = x^{p-1} + x^{p-2} + \cdots + 1.$$

Prop $\Phi_n \in \mathbb{Z}[x]$ monic of deg $\phi(n)$. Furthermore,

$$x^n - 1 = \prod_{d|n} \Phi_d(x)$$

where the product is over positive integers d dividing n .

Pf We have $x^n - 1 = \prod_{0 \leq i < n} (x - \zeta^i) = \prod_{d|n} \prod_{\substack{0 \leq i < n \\ \gcd(i,n)=d}} (x - \zeta^i)$

If $\gcd(i,n) = d$, then $i = dj$ and $n = d \frac{n}{d}$ for $\gcd(j, \frac{n}{d}) = 1$.

Also $0 \leq i < n \iff 0 \leq dj < d \frac{n}{d} \iff 0 \leq j < \frac{n}{d}$

and $\zeta_n^d = \zeta_{n/d}$, so $x - \zeta_n^i = x - \zeta_n^{dj} = x - \zeta_{n/d}^j$

Thus $\prod_{\substack{0 \leq i < n \\ \gcd(i,n)=d}} (x - \zeta^i) = \prod_{\substack{0 \leq j < \frac{n}{d} \\ \gcd(j, \frac{n}{d})=1}} (x - \zeta_{\frac{n}{d}}^j) = \Phi_{\frac{n}{d}}(x)$

$$\text{so } x^n - 1 = \prod_{d|n} \Phi_{\frac{n}{d}}(x) = \prod_{d|n} \Phi_d(x).$$

Now show $\Phi_n(x) \in \mathbb{Z}[x]$ by strong induction on n .

For $n=1$, $\Phi_1(x) = x-1 \in \mathbb{Z}[x]$. If $n > 1$,

$$x^n - 1 = \Phi_n(x) \prod_{\substack{d|n \\ d < n}} \Phi_d(x) = \Phi_n(x) \underbrace{g(x)}_{\text{monic in } \mathbb{Z}[x]}$$

By the division algorithm, $\Phi_n(x) \in \mathbb{Z}[x]$. \square

Now compute $\text{Gal}(\mathbb{Q}(\zeta_n)/\mathbb{Q})$.

Lemma $f \in \mathbb{Z}[x]$ monic of pos degree, p prime. If f_p is the monic polynomial whose roots are the p -th powers of the roots of f , then

$f_p \in \mathbb{Z}[x]$ and the coeffs of f, f_p are congruent mod p .
 Pf Read Lemma 9.1.8. (play w/ symm polys)

Thm The cyclotomic polynomial $\Phi_n(x)$ is irred / \mathbb{Q} so $\Phi_n = m_{\mathbb{Z}_n, \mathbb{Q}}$
 and $[\mathbb{Q}(\mathbb{Z}_n) : \mathbb{Q}] = \phi(n)$.

Pf Let $f \in \mathbb{Q}[x]$ be an irred factor of Φ_n . By Gauss's Lemma,
 $\Phi_n = f \cdot g$ for $f, g \in \mathbb{Z}[x]$ monic.

Take p prime $\nmid n$. Step 1 $f(\zeta) = 0 \Rightarrow f(\zeta^p) = 0$.

Suppose for \mathcal{Q} $f(\zeta) = 0$ but $f(\zeta^p) \neq 0$. Take f as in lemma.

HW: roots of f_p are distinct prim nth roots of 1.

Ex 7 Thus $f_p \mid \Phi_n$. If f, f_p share a root, then $f = f_p$
 (if $f_p \nmid f$ c f irred, have same degree). But this contradicts $f(\zeta^p) \neq 0$.

Thus f, f_p have no common roots so

$$\Phi_n = f f_p h \Rightarrow h \in \mathbb{Z}[x] \text{ monic.}$$

Let $(\bar{\cdot}) : \mathbb{Z}[x] \rightarrow \mathbb{F}_p[x]$ reduce coeffs mod p . Since $\bar{f} = \bar{f}_p$ by
 the lemma, get $\bar{f}^2 \mid \bar{\Phi}_n \mid x^n - 1 \Rightarrow x^n - 1$ not separable in
 $\mathbb{F}_p[x]$. \mathcal{Q} since $p \nmid n$, completing Step 1.

Now let ζ be a fixed root of f , ζ^j any prim nth root of 1.

HW: $\zeta = \sum_n^j$ for some $\gcd(j, n) = 1$. Let $j = p_1 \cdots p_r$ be prime factors.

Note each p_i rel prime n . by Step 1,

$$\zeta, \zeta^{p_1}, \zeta^{p_1 p_2}, \dots, \zeta^{p_1 \cdots p_r} = \zeta^j$$

are roots of f . Thus every prim nth root of 1 is a root of
 $f \Rightarrow f = \Phi_n$.

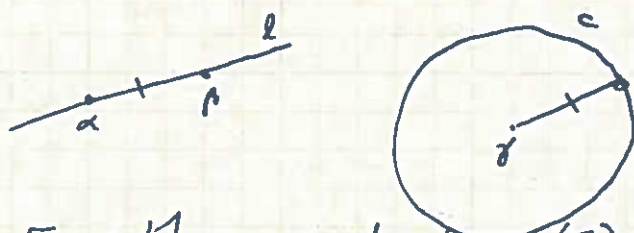
Thm $\text{Gal}(\mathbb{Q}(\mathbb{Z}_n) / \mathbb{Q}) \cong (\mathbb{Z}/n\mathbb{Z})^\times$
 $\sigma \longmapsto [d]$ iff $\sigma(\zeta_n) = \zeta_n^d$. \square

Constructible Numbers

What is a construction? Have some known points, use straightedge and compass to build lines and circles:

C1 From α & β , can draw the line l through α, β .

C2 From α & β and γ , draw circle C with center γ and radius the distance from α to β .



From these constructions (C) get the following points

P1 The point of intersection of distinct lines l_1, l_2 constructed as above

P2 The points of intersection of a line l and circle C constructed as above

P3 The points of intersection of distinct circles C_1, C_2 constructed as above.

Consider the plane to be \mathbb{C} , start w/ #s/pts $0, 1$ to get

Defn $\alpha \in \mathbb{C}$ is constructible if there is a finite sequence of straightedge & compass constructions using $C_1, C_2, P1, P2, P3$ that begins w/ $0, 1$ and ends with α .

TP5 Construct

- \mathbb{Z}
- $n \in \mathbb{Z}$
- vertical axis
- $i, -i$.

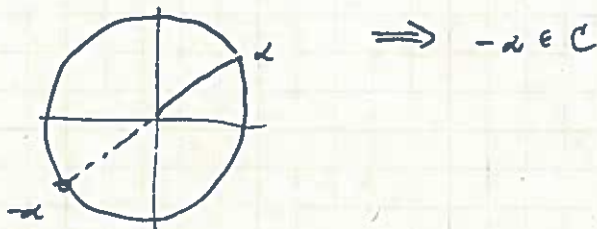
e.g. $\zeta_n = e^{2\pi i/n}$ constructible iff regular n -gon can be constructed by ruler and compass.

Thm $\mathbb{C} := \{z \in \mathbb{C} \mid z \text{ is constructible}\}$ is a subfield of \mathbb{C} . Furthermore

(a) Let $z = a + ib$, $a, b \in \mathbb{R}$. Then $z \in \mathbb{C}$ iff $a, b \in \mathbb{C}$.

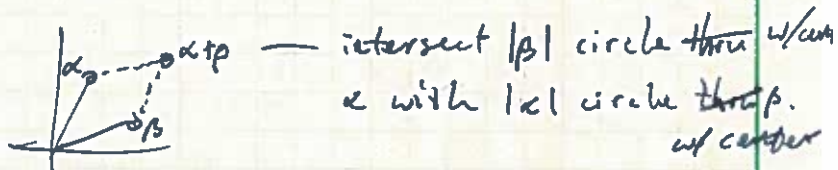
(b) $z \in \mathbb{C} \implies \sqrt{z} \in \mathbb{C}$.

Pf Take $z \in \mathbb{C} \setminus 0$



$\implies -z \in \mathbb{C}$

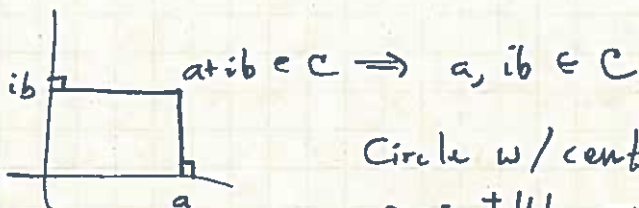
For $\alpha, \beta \in \mathbb{C}$ not collinear with 0



intersect $|\beta|$ circle thru w/cen
 z with $|z|$ circle thru β .
w/cen

Check Collinear case.

This proves \mathbb{C} is a subgroup of \mathbb{C} under $+$. Now prove (a):

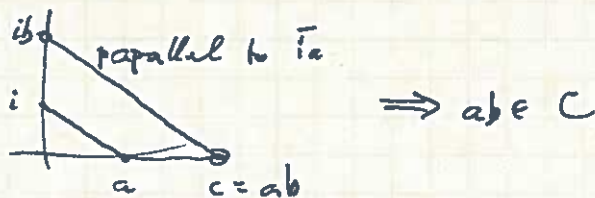


$a + ib \in \mathbb{C} \implies a, ib \in \mathbb{C}$

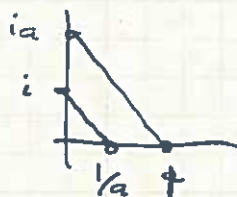
Circle w/cen i radius $|b| = |b|$
gives $\pm |b|$, one of these is $b \in \mathbb{C}$.

Check $a, b \in \mathbb{C} \cap \mathbb{R} \implies a + ib \in \mathbb{C}$. So (a) \checkmark

Now take $a, b \in \mathbb{C} \cap \mathbb{R} > 0$: ib parallel to \bar{ia}



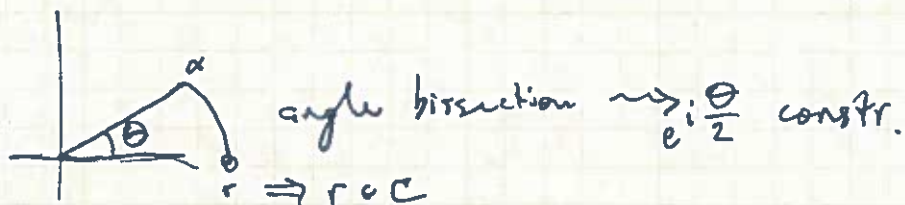
$\implies ab \in \mathbb{C}$



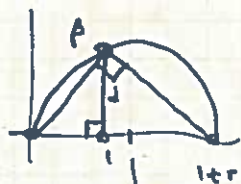
$\implies \frac{1}{a} \in \mathbb{C} \implies \mathbb{C} \cap \mathbb{R}$
subfield
of \mathbb{R}

$$\begin{aligned} (a+ib)(c+id) &= (ac-bd) + i(ad+bc) \\ \frac{1}{a+ib} &= \frac{a}{a^2+b^2} + i \frac{-b}{a^2+b^2} \end{aligned} \left. \vphantom{\begin{aligned} (a+ib)(c+id) &= (ac-bd) + i(ad+bc) \\ \frac{1}{a+ib} &= \frac{a}{a^2+b^2} + i \frac{-b}{a^2+b^2} \end{aligned}} \right\} \implies \mathbb{C} \text{ a field}$$

For (b), consider $\alpha = re^{i\theta}$, $r = |\alpha| > 0$, $\alpha \in \mathbb{C}$.



so just need $\sqrt{r} \in \mathbb{C}$:



$$\frac{1}{d} = \frac{d}{r} \Rightarrow d^2 = r \Rightarrow d = \sqrt{r} \in \mathbb{C}.$$

e.g. $\zeta_5 = \frac{-1 + \sqrt{5}}{4} + \frac{i}{2} \sqrt{\frac{5 + \sqrt{5}}{2}} \in \mathbb{C}$ so the regular pentagon
is constructible.

Thm For $\alpha \in \mathbb{C}$, $\alpha \in \mathbb{C}$ iff \exists subfields $\mathbb{Q} = F_0 \subseteq F_1 \subseteq \dots \subseteq F_n \subseteq \mathbb{C}$
with $\alpha \in F_n$ and $[F_i : F_{i-1}] = 2$ for $1 \leq i \leq n$.

Thm $\alpha \in \mathbb{C}$ iff $\exists \mathbb{Q} = F_0 \subseteq F_1 \subseteq \dots \subseteq F_{n-1} \subseteq F_n \subseteq \mathbb{C}$ s.t. $\alpha \in F_n$ and $[F_i : F_{i-1}] = 2$ for $1 \leq i \leq n$.

Pf (\Leftarrow) Have $F_i = F_{i-1}(\sqrt{\alpha_i})$ for some $\alpha_i \in F_{i-1}$. $F_0 = \mathbb{Q} \subseteq \mathbb{C}$.

Suppose $F_{i-1} \subseteq \mathbb{C}$. Then $\alpha_i \in \mathbb{C} \Rightarrow \sqrt{\alpha_i} \in \mathbb{C}$ so $F_i \subseteq \mathbb{C}$. \checkmark

(\Rightarrow) We show $\exists \mathbb{Q} = F_0 \subseteq \dots \subseteq F_n \subseteq \mathbb{C}$ s.t. F_n contains $\operatorname{Re}(\alpha), \operatorname{Im}(\alpha)$ and $[F_i : F_{i-1}] = 2$. Then $\alpha \in F_n(i)$, so done.

Proceed by induction on N , number of times P_1, P_2, P_3 used in construction of α . For $N=0$, $\alpha = 0$ or 1 so $F_n = F_0 = \mathbb{Q}$. Now suppose α constructed in $N > 1$ steps, where the last step uses P_1 , intersection of distinct lines l_1, l_2 . Then l_1 constructed from α_1, β_1 by C_1 , l_2 from α_2, β_2 by C_1 . By ind hypothesis, $\exists \mathbb{Q} = F_0 \subseteq \dots \subseteq F_n \subseteq \mathbb{C}$ with $[F_i : F_{i-1}] = 2$ and $F_n \ni \operatorname{Re}, \operatorname{Im}$ of $\alpha_1, \beta_1, \alpha_2, \beta_2$. Use linear algebra, line intersection formula, to show $\operatorname{Re}(\alpha), \operatorname{Im}(\alpha) \in F_n$.

Next suppose last step in construction of α uses P_2 , intersection of line l , circle C . Then l built from α_1, β_1 , C_1 and C built from α_2, β_2 and γ_2 , all coming from earlier stages of construction. Thus $\exists \mathbb{Q} = F_0 \subseteq \dots \subseteq F_n \subseteq \mathbb{C}$ with $[F_i : F_{i-1}] = 2$ and F_n containing $\operatorname{Re}, \operatorname{Im}$ of $\alpha_1, \alpha_2, \beta_1, \beta_2, \gamma_2$. Line/circle intersection is a quadratic cond'n and get $\alpha \in F_n$ or quad extn of F_n .

Sim for two circle intersections (P_3) constructing α . \square

Cor \mathbb{C} is the smallest subfield of \mathbb{C} that is closed under the operation of taking square roots.

Pf Already showed $\alpha \in \mathbb{C} \Rightarrow \sqrt{\alpha} \in \mathbb{C}$. Take $F \subseteq \mathbb{C}$ closed under $\sqrt{\quad}$ and take $\alpha \in \mathbb{C}$. Then $\exists \mathbb{Q} = F_0 \subseteq F_1 \subseteq \dots \subseteq F_n \subseteq \mathbb{C}$
 Same induction as before with F in place of \mathbb{C} shows $F \cdot \mathbb{C} \subseteq \mathbb{C}$ \square

Cor If $\alpha \in \mathbb{C}$, then $[\mathbb{Q}(\alpha) : \mathbb{Q}] = 2^m$ for some $m \in \mathbb{N}$. Thus all $\alpha \in \mathbb{C}$ are alg / \mathbb{Q} with minimal poly / \mathbb{Q} of degree 2^m .

e.g. You can't trisect a 120° angle b/c $\sqrt[3]{2} \notin \mathbb{C}$. (HW)

e.g. Given a cube with volume 1, can we construct one with volume 2 ("duplication of the cube")?

Requires construction of $\sqrt[3]{2}$, but $\sqrt[3]{2}$ has min^l polynomial $x^3 - 2$ over \mathbb{Q} , so is not in \mathbb{C} .

e.g. Given a radius 1 circle, can we construct a square of same area ("squaring the circle")?

Requires $\sqrt{\pi} \in \mathbb{C} \Rightarrow (\sqrt{\pi})^2 = \pi \in \mathbb{C} \Rightarrow \pi$ alg / $\mathbb{Q} \notin$.

Thm Let $\alpha \in \mathbb{C}$ be alg / \mathbb{Q} and let L be the splitting field of m_α, \mathbb{Q} . Then α is constructible iff $[L : \mathbb{Q}]$ is a power of 2.

Note $L \neq \mathbb{Q}(\alpha)$ in general!

if Reading \square

Regular polygons and roots of unity:

Defn An odd prime p is a Fermat prime if $p = 2^{2^m} + 1$ for some $m \geq 0$.

Thm Let $n > 2$ be an integer. Then a regular n -gon can be constructed by straightedge & compass (i.e. $\zeta_n \in \mathbb{C}$) iff $n = 2^s p_1 \cdots p_r$ where $s > 0$ is an integer and p_1, \dots, p_r are distinct Fermat primes. ($r > 0$).

Pf We have $\zeta_n \in \mathbb{C}$ iff $[\mathbb{Q}(\zeta_n) : \mathbb{Q}]$ is a power of 2, and $[\mathbb{Q}(\zeta_n) : \mathbb{Q}] = \phi(n)$, so $\zeta_n \in \mathbb{C}$ iff $\phi(n)$ is a power of 2.

Suppose $n = 2^s p_1 \cdots p_r$, p_i Fermat primes. Then

$$\phi(n) = n \prod_{p|n} \left(1 - \frac{1}{p}\right) = \begin{cases} 2^{s-1} (p_1-1) \cdots (p_r-1) & \text{if } s > 0 \\ (p_1-1) \cdots (p_r-1) & s = 0 \end{cases}$$

This is a power of 2 since each p_i is a Fermat prime.

Now suppose $\phi(n)$ is a power of 2 and $n = q_1^{a_1} \cdots q_s^{a_s}$ prime fact'n.

$$\text{Then } \phi(n) = q_1^{a_1-1} (q_1-1) \cdots q_s^{a_s-1} (q_s-1)$$

If q_i is odd, then $a_i = 1$ since $\phi(n)$ is a power of 2, and also $q_i - 1$ is a power of 2.

But if $q = 2^k + 1$ is prime, then k is a power of 2 (HW).

So the odd q_i are Fermat primes and have $a_i = 1$. \square

Note $F_n = 2^{2^n} + 1$ is prime for $n = 0, \dots, 4$, composite for $5 \leq n \leq 32$, unknown in gen'l.

n	F_n
0	3
1	5
2	17
3	257
4	65537

Finite Fields

Prop Let F be a finite field. Then

(a) $\exists!$ prime p s.t. F contains a subfield isomorphic to \mathbb{F}_p

(b) F is a finite extn of \mathbb{F}_p , and $|F| = p^n$ for $n = [F : \mathbb{F}_p]$.

Pf There is a unique ring hom $\mathbb{Z} \xrightarrow{f} F$ taking $1 \mapsto 1$.

Since F is finite, the hom is not inj hence has kernel

$m\mathbb{Z}$ for some $m > 1$, whence $\mathbb{Z}/m\mathbb{Z} \xrightarrow{f} \text{im}(f)$. But $\text{im}(f)$

has no 0 divisors, so in fact $m = p$ prime, and $\mathbb{Z}/p\mathbb{Z} \subseteq F$

by this map.

This makes F an \mathbb{F}_p -vs, and finiteness of $F \Rightarrow [F : \mathbb{F}_p] = n < \infty$.

But then $F \cong \mathbb{F}_p^n$ as an \mathbb{F}_p -vs, so $|F| = p^n$. \square

Thm Let F be a finite field with $q = p^n$ elements. Then

(a) $x^q = x \quad \forall x \in F$

(b) $x^q - x = \prod_{\alpha \in F} (x - \alpha)$

(c) F is a splitting field over \mathbb{F}_p of $x^q - x \in \mathbb{F}_p[x]$.

Thus any two fields with q elts are isomorphic.

Pf $F^\times \cong \mathbb{Z}/(q-1)\mathbb{Z}$ is a group with $q-1$ elts, so $x^{q-1} = 1 \quad \forall x \in F^\times$.

So $x^q = x \quad \forall x \in F$. \square

Thm Given any prime p and any positive integer n , \exists finite field with p^n elements.

Pf Let $q = p^n$ and let L be the splitting field of $x^q - x$ over \mathbb{F}_p .

Then $x^q - x$ is separable, so $F = \{x \in L \mid x^q = x\}$ is a subset of L containing q elts. F is a subfield (check) so is the desired field.

Prop If $f \in \mathbb{F}_p[x]$ is nonconstant and $n \geq 1$, then the number of roots of f in \mathbb{F}_{p^n} is the degree of the polynomial $\gcd(f, x^{p^n} - x)$.

PF Let $g = \gcd =$ product of the $x - \alpha_i$; dividing f (for $\mathbb{F}_{p^n} = \{\alpha_1, \dots, \alpha_{p^n}\}$).
But $x - \alpha_i$ divides f iff $f(\alpha_i) = 0$ so $g = \prod_{f(\alpha_i)=0} (x - \alpha_i)$. \square

Thm If $q = p^n$, then

(a) $\mathbb{F}_q / \mathbb{F}_p$ is a Galois extension of degree n .

(b) The map $\text{Frob}_p : \mathbb{F}_q \rightarrow \mathbb{F}_q, \alpha \mapsto \alpha^p \in \text{Gal}(\mathbb{F}_q / \mathbb{F}_p)$.

(c) $\langle \text{Frob}_p \rangle = \text{Gal}(\mathbb{F}_q / \mathbb{F}_p) \cong C_n$

PF \mathbb{F}_q is the splitting field of the separable polynomial $x^q - x$.

$\text{Frob}_p \in \text{Gal}(\mathbb{F}_q / \mathbb{F}_p)$ is obvious since \mathbb{F}_q has char p and $a^p = a$ for $a \in \mathbb{F}_p$.

Know that the order of Frob_p divides n . Suppose $\text{Frob}_p^r = \text{id}$. Then $\alpha^{p^r} = \alpha \forall \alpha \in \mathbb{F}_q \Rightarrow x^{p^r} - x$ has q roots in $\mathbb{F}_q \Rightarrow p^r = q$, so Frob_p has order n . \square

Cor For finite fields $\mathbb{F}_{p^m}, \mathbb{F}_{p^n}$, have $\mathbb{F}_{p^m} \subseteq \mathbb{F}_{p^n}$ iff $m|n$.

PF Suppose $\mathbb{F}_{p^m} \subseteq \mathbb{F}_{p^n}$. Then $m|n$ by the tower thm.

conversely, suppose $m|n$. Since $\text{Gal}(\mathbb{F}_{p^n} / \mathbb{F}_p) \cong C_n$, it has a subgroup H of order $\frac{n}{m}$. Then $\mathbb{F}_{p^n}^H \cong \mathbb{F}_{p^m}$. \square

Thm For $m|n$, $\text{Gal}(\mathbb{F}_{p^n} / \mathbb{F}_{p^m}) \cong C_{n/m}$.
 $\langle \text{Frob}_p^m \rangle$ \square

Irreducible polynomials over finite fields.

Prop Let $f \in \mathbb{F}_p[x]$ be irred of deg m . Then

(a) $f \mid x^{p^n} - x$

(b) f is separable

(c) Given an integer $n \geq 1$, $f \mid x^{p^n} - x \iff f$ has a root in $\mathbb{F}_{p^n} \iff m \mid n$.

Pf Begin with (c). Take α a root of f in the splitting field \mathbb{F}_p .

Since f irred, $\mathbb{F}_p(\alpha)/\mathbb{F}_p$ has degree m , so $\mathbb{F}_p(\alpha) \cong \mathbb{F}_{p^m}$.

Now $\mathbb{F}_{p^n} \cong \mathbb{F}_{p^m}$ iff $m \mid n$, so get second equivalence.

By irreducibility of f , $f \mid \gcd(f, x^{p^n} - x) \iff \deg(\gcd(f, x^{p^n} - x)) > 0$
and this degree = # roots of f in \mathbb{F}_{p^n} .

(a) & (b) follow easily. \square

Note In fact, ~~some~~ irred $f \in \mathbb{F}_q[x]$ are always separable.

Hence inseparability is only a phenomenon in infinite fields of char p .

Let $\mathcal{N}_m := \{f \in \mathbb{F}_p[x] \mid f \text{ is monic irred of degree } m\}$

$$\# \mathcal{N}_m = |\mathcal{N}_m|.$$

Thm For $n \geq 1$, $\sum_{m \mid n} m \mathcal{N}_m = p^n$.

Pf We have $x^{p^n} - x = \prod_{m \mid n} \prod_{f \in \mathcal{N}_m} f$ b/c the monic ^{irred} divisors of $x^{p^n} - x$ are exactly

this collection of f by (c) above. Computing degrees on both sides (and $f \in \mathcal{N}_m$ has deg m) gives the thm. \square

e.g. $\mathcal{N}_1 = p$ so $p^2 = 2\mathcal{N}_2 + \mathcal{N}_1 = 2\mathcal{N}_2 + p \Rightarrow \mathcal{N}_2 = \frac{1}{2}(p^2 - p)$.

Sim, $\mathcal{N}_4 = \frac{1}{4}(p^4 - p^2)$.

Recall $\mu(n) = \begin{cases} 1 & \text{if } n=1 \\ (-1)^s & \text{if } n=p_1 \cdots p_s, p_i \text{ distinct primes} \\ 0 & \text{or/w} \end{cases}$

Thm (Möbius inversion formula) For $f, g: \mathbb{Z}^+ \rightarrow A$, A an Abelian gp, and $g(n) = \sum_{m|n} f(m)$, we have $f(n) = \sum_{m|n} \mu(m)g(n/m)$

(where operation on A is $+$).

Thm $N_n = \frac{1}{n} \sum_{m|n} \mu(m) p^{n/m}$.

Pf Let $f(n) = nN_n$. Then $g(n) = \sum_{m|n} f(m) = \sum_{m|n} mN_m = p^n$.

By Möbius inversion, $nN_n = \sum_{m|n} \mu(m)g(n/m) = \sum_{m|n} \mu(m)p^{n/m}$. \square

e.g. $N_4 = \frac{1}{4} (\mu(1)p^{4/1} + \mu(2)p^{4/2} + \mu(4)p^{4/4})$
 $= \frac{1}{4} (p^4 - p^2)$.

Further directions:

- Irred factors of mod p reduction of \mathbb{Z}_d
- Berlekamp's algorithm: When is $f \in \mathbb{F}_p[x]$ irreducible
- Number theory: K/\mathbb{Q} finite, $\mathcal{O}_K \subseteq K$ ring of integers, $\mathcal{O}_K/m \cong \mathbb{F}_2$

Reading

- Matrix groups $\mathbb{F}_q \rightsquigarrow$ finite simple groups
- Coding theory: error correcting codes
- Cryptography via elliptic curves over finite fields

Combinatorics $\binom{n}{k}_q := \frac{(q^n - 1)(q^n - q) \cdots (q^n - q^{k-1})}{(q^k - 1)(q^k - q) \cdots (q^k - q^{k-1})}$

$q \rightarrow 1: \binom{n}{k}$
 $q = p^n: \#k\text{-dim subspaces of } \mathbb{F}_q^n$ } Field with one element \mathbb{F}_1

Aside on Möbius inversion

Suppose $f, g: \mathbb{Z}^+ \rightarrow (A, +)$ for A an Abelian group.

~~Then~~ If $g(n) = \sum_{d|n} f(d)$, then $f(n) = \sum_{m|n} \mu(m) g(n/m)$

Pf We have

$$\sum_{d|n} \mu(d) g(n/d) = \sum_{d|n} \mu(n/d) g(d)$$

$$= \sum_{d|n} \mu(n/d) \left(\sum_{d_1|d} f(d_1) \right)$$

$$= \sum_{d_1|n} f(d_1) \left(\sum_{d_1|d|n} \mu(n/d) \right)$$

$$= \sum_{d_1|n} f(d_1) \left(\sum_{d_2|m} \mu(m/d_2) \right)$$

$$\text{where } m = \frac{n}{d_1}, d_2 = \frac{d}{d_1}$$



$$= \begin{cases} 1 & \text{for } m=1; \text{ i.e. } d_1=n \\ 0 & \text{otherwise} \end{cases}$$

$$= f(n).$$



Formally Real Fields

Defn A field F is formally real if -1 is not a sum of squares in F ; otherwise, F is called nonreal.

Notation $F^{\square} := \{a^2 \mid a \in F\}$

$$F^{\square} := \{a^2 \mid a \in F^{\times}\} = F^{\square} \setminus \{0\}.$$

$$\sigma(F) = \left\{ \sum_{i=1}^n a_i^2 \mid a_i \in F, n \in \mathbb{N} \right\}$$

$$\dot{\sigma}(F) = \sigma(F) \setminus \{0\}$$

Note Formally real fields have $\text{char } 0$ b/c
 $\sigma(\mathbb{F}_p) = \mathbb{F}_p$
 (check).

Prop (a) $\dot{\sigma}(F) \subseteq F^{\times}$

(b) If F is nonreal and $\text{char } F \neq 2$, then $\sigma(F) = F$.

Note If $\text{char } F = 2$, $\sigma(F) = F^{\square}$.

Pf (a) Easy to check closure of $\dot{\sigma}(F)$ under mult'n.

If $0 \neq a = a_1^2 + \dots + a_n^2 \in F$, then

$$\frac{1}{a} = \frac{a}{a^2} = \left(\frac{a_1}{a}\right)^2 + \dots + \left(\frac{a_n}{a}\right)^2 \in \dot{\sigma}(F).$$

(b) Given $x \in F$, we have $x = \left(\frac{x+1}{2}\right)^2 - \left(\frac{x-1}{2}\right)^2 \in F^{\square} + \sigma(F)F^{\square} \subseteq \sigma(F)$.

Defn An ordering on F is a set $P \subseteq F$ called the positive cone of the ordering s.t. □

(1) $P + P \subseteq P$

(2) $P \cdot P \subseteq P$

(3) $P \cup (-P) = F$.

Prop Let (F, P) be any ordered field. Then

(1) $\sigma(F) \subseteq P$

(2) $-1 \notin P$, and $P \cap (-P) = \{0\}$

(3) F is formally real

(4) $P^{\times} := P \setminus \{0\}$ is a subgroup of index 2 in F^{\times} .

(5) If $P' \subseteq F$ is another ordering, $P \subseteq P' \Rightarrow P = P'$

Pf Moral etc. Note (2) follows from same trick as (b) above, and (2) \Rightarrow (3). \square

Note \circ $F = P \cup \{0\} \cup (-P)$ so we can define a relation \leq_P on F by $x \leq_P y$ iff $y-x \in P$. Get that \leq_P is a total ordering on F .

- \circ For F/F_0 and $P \subseteq F$ an ordering, get an induced ordering $P_0 := F_0 \cap P$ on F_0
- \circ \mathbb{R} has a unique ordering by $\mathbb{R}^\square = \sigma(\mathbb{R}) = \mathbb{R}_{>0}$.

Lemma Let F be formally real and $K = F(\sqrt{a})$ be a quadratic extn of F . Then K is nonreal iff $-a \in \dot{\sigma}(F)$.

Pf If $-a \in \dot{\sigma}(F)$, then $(\sqrt{a})^2 + (-a) = 0$ shows ~~that~~ that K is nonreal.

Conversely, if K is nonreal, have $-1 = \sum (b_i + c_i \sqrt{a})^2$, $b_i, c_i \in F$.
 $\hookrightarrow -1 = \sum b_i^2 + a \sum c_i^2$. Now $\sum c_i^2 \neq 0$ (o/w $-1 = \sum b_i^2 \in \sigma(F)$)

$$\text{so } -a = \frac{-1 - \sum b_i^2}{\sum c_i^2} \in \dot{\sigma}(F). \quad \square$$

Defn F is Euclidean if F is formally real and $[F^\times : F^\square] = 2$.

Defn F is Pythagorean if the sum of two squares is always a square.

Prop If F is Euclidean, then F is Pythagorean with a unique ordering.

Note Converse is also true.

Pf Claim $P = F^\square$ is an ordering. Clearly have $P \subseteq F$, $P \cdot P \subseteq F$, $P \cup (-P) = F$, so only need to show $P + P \subseteq P$, i.e. F is Pythagorean. Suffices to show $1+y^2 \in F^\square$ for all $y \in F$. If $1+y^2 \in F \setminus F^\square = -F^\square$, then $-1 \in \dot{\sigma}(F)$ \square .

Uniqueness follows since $F^{\mathbb{Q}} \in \sigma(F) \in \mathcal{P}$ for all orderings. \square

Thm For all fields F , TFAE:

- (1) F is Euclidean.
- (2) F is formally real, but every quadratic extension of F is nonreal.
- (3) $\sqrt{-1} \notin F$ and $K := F(\sqrt{-1})$ is quadratically closed (i.e. $K^{\mathbb{Q}} = K$).
- (4) $\text{char}(F) \neq 2$ and \exists quad extn L/F that is quadratically closed.

Pf (2) \Rightarrow (1): For any nonsquare $a \in F$, $F(\sqrt{a})$ is nonreal,

so $-a = a_1^2 + \dots + a_n^2$ for some $a_i \in F$. Take such an eqn with n minimal (so $a_i \neq 0$, in particular). Need to show $n=1$! If $n \geq 2$, $a_1^2 + a_2^2 \notin F^{\mathbb{Q}}$

implies $-(a_1^2 + a_2^2) = b_1^2 + \dots + b_m^2$ for some $b_j \in F$, and

this contradicts formal reality of F .

(1) \Rightarrow (3) \Rightarrow (4) \Rightarrow (2): More work (norms, quadratic forms). \square

Defn A field F is real closed if F is formally real, but no proper algebraic extn of F is formally real.

Cor If F is real closed, then F is euclidean, has unique ordering $F^{\mathbb{Q}}$, and $F(\sqrt{-1})$ is quadratically closed.

Prop Let F be a formally real field, and \bar{F} its algebraic closure. Then \exists real closed field R , $F \subseteq R \subseteq \bar{F}$.

Pf Let $\mathcal{R} = \{L \subseteq \bar{F} \mid F \subseteq L, L \text{ formally real}\}$. If $\{F_\alpha\}$ is a chain in \mathcal{R} , then $\bigcup_{\alpha} F_{\alpha} \in \mathcal{R}$ too. By Zorn's Lemma, $\exists R \in \mathcal{R}$ that is maximal and thus real closed. \square

Thm F is formally real iff F has at least one ordering.

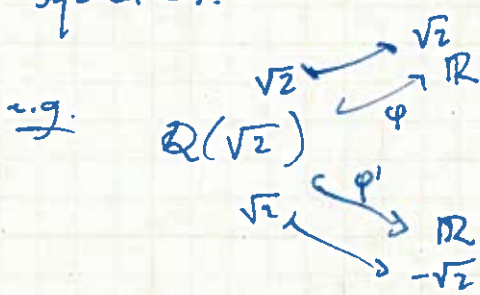
Pf. \Leftarrow : $-1 \notin P \geq \sigma(F)$.

\Rightarrow : Have an alg. extn $R \geq F$ that is real closed.

The unique ordering R^{\square} on R induces one on F . \square

Fact Let $X_F = \{\text{orderings on } F\}$. Then $\bigcap_{P \in X_F} P = \sigma(F)$.

Say that the totally positive ults of F are the sums of squares.



induces two different orderings P, P' on $\mathbb{Q}(\sqrt{2})$. There are in fact the only two. ~~For~~ For $\theta = 5 + 3\sqrt{2}$,

have $\varphi(\theta), \varphi'(\theta) > 0$, so $5 + 3\sqrt{2} \in \sigma(\mathbb{Q}(\sqrt{2}))$.

In fact, $2(5 + 3\sqrt{2}) = 1^2 + (1 + \sqrt{2})^2 + (1 + \sqrt{2})^2 + (1 - \sqrt{2})^2$.

e.g. Infinitely many orderings on $F(x)$ for F formally real.

Characterizations of real closed fields

Prop TFAE: (1) Any odd degree $f \in F[x]$ has a root in F
 (2) F has no proper odd degree extns.

Pf (2) \Rightarrow (1): By induction on $n = \deg(f)$. Triv for $n=1$. Assume $n > 1$. If f is irred, then $F[x]/(f)$ proper odd deg extn, \mathcal{Q} . So $f = f_1 f_2$ with, say, $\deg(f_1)$ odd $< n$. But then f_1 has a root in F so f does too.

(1) \Rightarrow (2): If K/F has odd deg $n > 1$, $\exists \theta \in K - F$ and $\deg m_{\theta, F} = [F(\theta) : F]$ is an odd integer ≥ 1 . It has a root in F by (1), so \mathcal{Q} . \square

Fact If F is formally real, then every odd degree extn of F is as well.

(Proof via Springer's Thm on quadratic forms.)

Cor If F is real closed, then any odd deg poly $f \in F[x]$ has a root in F . \square

Thm TFAE: (1) F is real closed.

(2) F is Euclidean and every odd-degree polynomial in $F[x]$ has a root in F
 (3) $\sqrt{-1} \notin F$ and $K = F(\sqrt{-1})$ is algebraically closed.

Cor \mathbb{R} is real closed and \mathbb{C} is algebraically closed. \square

Pf of Thm (3) \Rightarrow (1): F Euclidean so F formally real.

Since the only proper alg extn of F is K (which is non-real), F is real closed.

(1) \Rightarrow (2): \checkmark

(2) \Rightarrow (3): Have K quadratically closed. If $f(x) \in K[x]$ nonconstant then $ff \in F[x]$. If ff has a root in K , then f does, so suffices

to show all $z \in [F(x)] - F$ have a root in K . Let E be the splitting field of $(x^2+1)g$ over F , which is a Galois extn E .

Since F has no odd deg extns, get that

$[E:F] = 2^n$. (If not a power of 2, fixed field of

$H = 2$ -Sylow subgroup of $\text{Gal}(E/F)$ is odd degree.)

Since K has no ~~odd deg~~ ^{quadratic} extns (K quad closed b/c F Eucldean)

get that $K=E$. Since E splits $(x^2+1)g(x)$, get that g has a root in K . \square

Thm [Artin-Schreier] Let C be any algebraically closed field, and $F \subseteq C$ with $[C:F] < \infty$. Then $\text{char}(F) = 0$, F is real closed, and $C = F(\sqrt{-1})$.

Pf (Assuming $\text{char } F = 0$) Claim $[C:F]$ is a power of 2.

Assume for \mathbb{Q} that an odd prime $p \mid [C:F]$. Since C/F is finite Galois with $|\text{Gal}(C/F)| = [C:F]$ divisible by p ,

know $\exists H \leq \text{Gal}(C/F)$ of order p and $[C:C^H] = p$.

Fix $\zeta = \zeta_p \in C$. Since ζ has $\text{deg} \leq p-1$ over K

K , get $\zeta \in K$. Thus $C = K(x)$ where $x \in C$, $x^p = a \in K$.

Let $\langle \sigma \rangle = \text{Gal}(C/K) \cong C_p$ and take $y \in C$ st. $y^p = x$ (so $y^p = a$). Then $\sigma(y) = \alpha y$ for some α st. $\alpha^p = 1$.

If $\alpha^p = 1$, then $\sigma(x) = \sigma(y)^p = y^p = x$, \mathbb{Q} , so α is a primitive p th root of unity. Thus $\sigma(\alpha) = \alpha^r$ for some r rel prime to p .

Whence $\sigma^2(y) = \alpha^{r+1} y$, $\sigma^3(y) = \alpha^{r^2+r+1} y$, etc.,

ultimately giving $y = \sigma^p(y) = \alpha^{r^p + \dots + r + 1} y$.

Thus $r^{p-1} + \dots + r + 1 \equiv 0 \pmod{p^2}$. Multiplying by r , get $r^p \equiv 1 \pmod{p^2}$. In particular, $r^p \equiv 1 \pmod{p}$, so (FLT) $r \equiv 1 \pmod{p}$, $r = 1 + kp$ for some $k \in \mathbb{Z}$. But then

$$\begin{aligned} r^{p-1} + \dots + r + 1 &= \frac{r^p - 1}{r - 1} \\ &= \frac{(1+kp)^p - 1}{kp} \\ &= \frac{\binom{p}{1}kp + \binom{p}{2}(kp)^2 + \binom{p}{3}(kp)^3 + \dots + (kp)^p}{kp} \end{aligned}$$

$$= p + \binom{p}{2}kp + \binom{p}{3}(kp)^2 + \dots + (kp)^{p-1}$$

$$\equiv p \pmod{p^2}$$

manifest for

$$\text{and } \binom{p}{2}kp = p \frac{(p-1)}{2} kp = \frac{k(p-1)}{2} p^2$$

is a multiple of p^2 since p odd.

This contradicts $r^p \equiv 1 \pmod{p^2}$.

Now know $[C:F] = 2^n$ for some n . Claim $n=1$.

If $n \geq 2$, get $E \subseteq L \subseteq C$ with $[C:L] = [L:E] = 2$ (by Galois theory + ~~Sylow~~ fact that grps of order p^n have subgrps of order $p^k \forall 0 \leq k < n$). Get L Euclidean since C quad closed, so $\sqrt{-1} \notin L$. Then $E(\sqrt{-1})$ is another subfield of C with $[C:E(\sqrt{-1})] = 2$, so $E(\sqrt{-1})$ Euclidean, & b/c $\sqrt{-1} \in E(\sqrt{-1})$. Therefore $[C:F] = 2$. Again, $\sqrt{-1} \notin F$, so $F(\sqrt{-1}) = C$. \square