

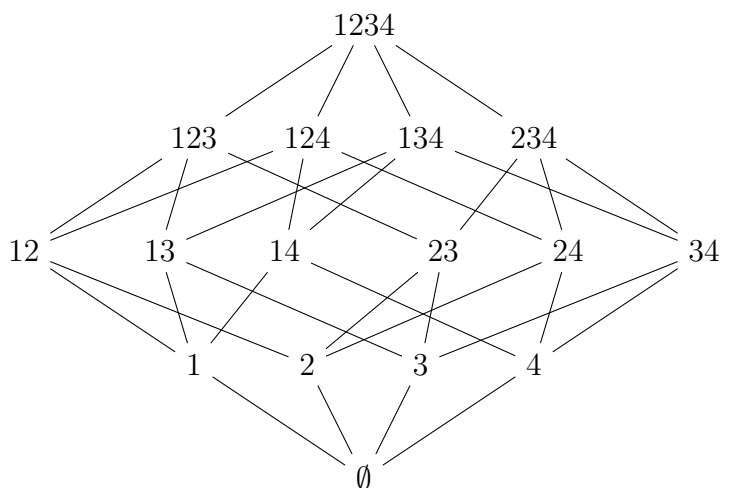
**Definition.** A *poset* (*partially ordered set*) is a finite set  $P$  and a relation  $\leq$  defined for some (not necessarily all) elements of  $P$  satisfying:

1.  $x \leq x$  for all  $x \in P$  (reflexivity)
2.  $x \leq y$  and  $y \leq x$  imply  $x = y$  (antisymmetry)
3.  $x \leq y$  and  $y \leq z$  imply  $x \leq z$  (transitivity).

Given  $\leq$ , we use the usual conventions for the symbols  $\geq$ ,  $<$ ,  $>$ ,  $\leqslant$ ,  $\not\leq$  etc. If neither  $x \leq y$  nor  $y \leq x$ , we say  $x$  and  $y$  are *incomparable*.

**Example.** Let  $X$  be a finite set, and let  $P = 2^X$ , the collection of all subsets of  $X$ . For  $U, V \in P$  define  $U \leq V$  if  $U \subseteq V$  in  $X$ . Then  $(P, \leq)$  is a poset called a *boolean poset* and denoted  $B_X$ . If  $X = [n] := \{1, \dots, n\}$ , then we write  $B_n$  for  $B_X$ .

If  $P$  is a poset and  $x, y \in P$ , we say  $y$  *covers*  $x$  and write  $x \lessdot y$  if  $x < y$  and there does not exist  $z \in P$  such that  $x < z < y$ . The *Hasse diagram* for a poset is a graph in the plane whose vertices are the elements of  $P$ , the element  $x$  is drawn below  $y$  if  $x < y$ , and there is an edge between  $x$  and  $y$  if  $y$  covers  $x$ . Here is the Hasse diagram for  $B_4$ :



**Example.** There are five posets with three elements:



**Question.** What is the size of the largest collection of incomparable elements in  $B_n$ ?

So we are looking for the largest collection of subsets of  $[n]$  such that if  $U$  and  $V$  are in the collection, then neither  $U \subseteq V$  nor  $V \subseteq U$ . It turns out that for  $B_4$ , the answer is

$$\{12, 13, 14, 23, 24, 34\}.$$

In preparation for answering this question in general, we review some vocabulary from the text (Chapter 4). Let  $P$  be a poset. Then a string of elements of  $P$  of the form

$$x_0 \leq x_1 \leq \cdots \leq x_n$$

is called a *chain* in  $P$ . A chain of the form

$$x_0 < x_1 < \cdots < x_n$$

has *length*  $n$ . A chain is *maximal* if it is not contained in a larger chain. If every maximal chain has length  $n$ , then we say  $P$  is *graded of rank*  $n$ . A chain is *saturated* if it has the form

$$x_0 \triangleleft x_1 \triangleleft \cdots \triangleleft x_i$$

for some  $i$ . If  $P$  is graded of rank  $n$  and  $x \in P$ , then the *rank* of  $x$  is  $j$ , written  $\rho(x) = j$  if  $j$  is the length of the largest saturated chain in  $P$  having top element  $x$ . The  $i$ -th *level* of  $P$  is

$$P_i = \{x \in P : \rho(x) = i\}.$$

Let  $p_i := |P_i|$ . Then the rank generating function for the graded poset  $P$  is

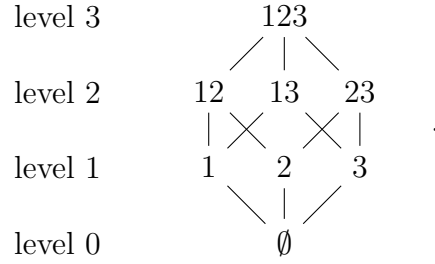
$$F(P, q) = \sum_{i=0}^n p_i q^i.$$

We say  $P$  is *rank-symmetric* if  $p_i = p_{n-i}$  for  $0 \leq i \leq n$ , and *rank unimodal* if

$$p_0 \leq p_1 \leq \cdots \leq p_j \geq \cdots \geq p_{n-1} \geq p_n$$

for some  $j$ .

**Example.** The boolean poset  $B_3$  is graded of rank 3 and has 6 maximal chains. The levels are:



The sizes of the levels are  $p_0 = 1$ ,  $p_1 = 3$ ,  $p_2 = 3$ , and  $p_3 = 1$ . This sequence, 1, 3, 3, 1 is symmetric and unimodal. The rank generating function is

$$F(B_3, q) = 1 + 3q + 3q^2 + q^3.$$

In general,  $B_n$  is rank-symmetric and unimodal with  $p_i = \binom{n}{i}$  (since  $P_i$  is the number of subsets of  $[n]$  of cardinality  $i$ ) and rank generating function

$$F(B_n, q) = \sum_{i=0}^n \binom{n}{i} q^i = (1 + q)^n.$$

An *antichain* in any poset  $P$  is a subset of  $P$  in which no distinct pair of elements is comparable. For instance, in  $B_3$ , the following sets are antichains:

$$\{2\}, \quad \{1, 3\}, \quad \{12, 34\}, \quad \{1, 23, 24\}.$$

The following is then a rewording of our question:

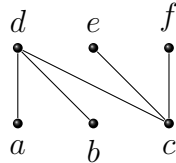
**Question.** What is the size of the largest antichain in  $B_n$ ?

**Definition.** A graded poset  $P$  of rank  $n$  has the *Sperner property* if the size of the largest antichain in  $P$  is the maximal cardinality of a level of  $P$ :

$$\max \{|A| : A \text{ an antichain of } P\} = \max \{|P_i| : i = 0, \dots, n\}.$$

Note that  $P_i$  is always an antichain.

**Non-example.** The following is the Hasse diagram for a graded poset of rank 2 that does not have the Sperner property:



There is one largest antichain,  $\{a, b, e, f\}$ , and it has size 4, whereas  $|P_0| = |P_1| = 3$ .

**Exercise.** Draw the Hasse diagrams of all posets of size 3 having the Sperner property.