## Math 361

### April 5, 2023

Prove that if  $\mathfrak{p}$  is a prime ideal in a number ring K of degree n, then

1. p contains a *unique* rational prime p, and

2. 
$$N(\mathfrak{p}) = p^m$$
 for where  $1 \le m \le n$ .

You may use the fact that the number of an ideal is an element of the ideal.

## Final projects

▶ Choose final project by Wednesday of next week.

## Final projects

- ► Choose final project by Wednesday of next week.
- ▶ When will the presentations occur? How long?

## Today

▶ Lattices in  $\mathbb{R}^n$ .

▶ Minkowski's lattice point theorem.

K a number field of degree n.

K a number field of degree n.

Motivation:

•  $\mathcal{F}$  = multiplicative group of nonzero fractional ideals.

K a number field of degree n.

- $\blacktriangleright \ \mathcal{F} = \text{multiplicative group of nonzero fractional ideals.}$
- $\blacktriangleright$   $\mathcal{P} =$  nonzero principal fractional ideals.

K a number field of degree n.

- $\blacktriangleright \ \mathcal{F} = \text{multiplicative group of nonzero fractional ideals.}$
- $\blacktriangleright$   $\mathcal{P} =$  nonzero principal fractional ideals.
- Class group of K (or  $\mathfrak{O}_K$ ):  $\mathcal{H} := \mathcal{F}/\mathcal{P}$ .

K a number field of degree n.

- $\mathcal{F} =$ multiplicative group of nonzero fractional ideals.
- $\mathcal{P} =$  nonzero principal fractional ideals.
- Class group of K (or  $\mathfrak{O}_K$ ):  $\mathcal{H} := \mathcal{F}/\mathcal{P}$ .
- Class number  $h = |\mathcal{H}|$ .

K a number field of degree n.

- $\mathcal{F} =$  multiplicative group of nonzero fractional ideals.
- $\blacktriangleright$   $\mathcal{P} =$  nonzero principal fractional ideals.
- Class group of K (or  $\mathfrak{O}_K$ ):  $\mathcal{H} := \mathcal{F}/\mathcal{P}$ .
- Class number  $h = |\mathcal{H}|$ .
- We will see h = 1 if and only if  $\mathfrak{O}_K$  is a PID.

K a number field of degree n.

- $\mathcal{F} =$  multiplicative group of nonzero fractional ideals.
- $\blacktriangleright$   $\mathcal{P} =$  nonzero principal fractional ideals.
- Class group of K (or  $\mathfrak{O}_K$ ):  $\mathcal{H} := \mathcal{F}/\mathcal{P}$ .
- Class number  $h = |\mathcal{H}|$ .
- We will see h = 1 if and only if  $\mathfrak{O}_K$  is a PID.
- **Next goal:** Prove *h* is finite.

#### **Definition.** A subset $L \subset \mathbb{R}^n$ is a rank *m* lattice in $\mathbb{R}^n$ if

#### **Definition.** A subset $L \subset \mathbb{R}^n$ is a rank *m* lattice in $\mathbb{R}^n$ if

$$L = \operatorname{Span}_{\mathbb{Z}}\{v_1, \ldots, v_m\}$$

for some set  $\{v_1, \ldots, v_m\}$  of linearly independent vectors in  $\mathbb{R}^n$ .

**Definition.** A subset  $L \subset \mathbb{R}^n$  is a rank *m* lattice in  $\mathbb{R}^n$  if

$$L = \operatorname{Span}_{\mathbb{Z}}\{v_1, \ldots, v_m\}$$

for some set  $\{v_1, \ldots, v_m\}$  of linearly independent vectors in  $\mathbb{R}^n$ .

A subset of  $\mathbb{R}^n$  is *discrete* if its intersection with each compact subset of  $\mathbb{R}^n$  is finite. Equivalently, the subset has no accumulation points.

**Definition.** A subset  $L \subset \mathbb{R}^n$  is a rank *m* lattice in  $\mathbb{R}^n$  if

$$L = \operatorname{Span}_{\mathbb{Z}}\{v_1, \ldots, v_m\}$$

for some set  $\{v_1, \ldots, v_m\}$  of linearly independent vectors in  $\mathbb{R}^n$ .

A subset of  $\mathbb{R}^n$  is *discrete* if its intersection with each compact subset of  $\mathbb{R}^n$  is finite. Equivalently, the subset has no accumulation points.

**Theorem.** An additive subgroup  $L \subset \mathbb{R}^n$  is a lattice if and only if it is discrete.

**Definition.** A subset  $L \subset \mathbb{R}^n$  is a rank *m* lattice in  $\mathbb{R}^n$  if

$$L = \operatorname{Span}_{\mathbb{Z}}\{v_1, \ldots, v_m\}$$

for some set  $\{v_1, \ldots, v_m\}$  of linearly independent vectors in  $\mathbb{R}^n$ .

A subset of  $\mathbb{R}^n$  is *discrete* if its intersection with each compact subset of  $\mathbb{R}^n$  is finite. Equivalently, the subset has no accumulation points.

**Theorem.** An additive subgroup  $L \subset \mathbb{R}^n$  is a lattice if and only if it is discrete.

**Proof.** Theorem 6.1.

# **Definition.** A fundamental domain for a rank n lattice L in $\mathbb{R}^n$ is a set of the form

$$F = \{\sum_{i=1}^{n} a_i v_i : 0 \le a_i < 1 \text{ for } i = 1, \dots n\}.$$

where  $L = \operatorname{Span}_{\mathbb{Z}} \{ v_1, \ldots, v_n \}.$ 

# **Definition.** A fundamental domain for a rank n lattice L in $\mathbb{R}^n$ is a set of the form

$$F = \{\sum_{i=1}^{n} a_i v_i : 0 \le a_i < 1 \text{ for } i = 1, \dots n\}.$$

where  $L = \operatorname{Span}_{\mathbb{Z}} \{ v_1, \ldots, v_n \}.$ 

$$\triangleright \operatorname{vol}(F) = |\det(v_1, \ldots, v_n)|.$$

# **Definition.** A fundamental domain for a rank n lattice L in $\mathbb{R}^n$ is a set of the form

$$F = \{\sum_{i=1}^{n} a_i v_i : 0 \le a_i < 1 \text{ for } i = 1, \dots n\}.$$

where  $L = \operatorname{Span}_{\mathbb{Z}} \{ v_1, \ldots, v_n \}.$ 

$$\blacktriangleright \operatorname{vol}(F) = |\det(v_1, \ldots, v_n)|.$$

For each  $x \in \mathbb{R}^n$ , there exists a unique  $\ell$  such that  $x \in \ell + F$ .



 $L = \mathbb{Z} \subset \mathbb{R}.$ 

 $L = \mathbb{Z} \subset \mathbb{R}.$ 

Fundamental domain F = [0, 1).

 $L = \mathbb{Z} \subset \mathbb{R}.$ 

Fundamental domain F = [0, 1).

Homeomorphism:

$$\mathbb{R}/\mathbb{Z} \to S^1$$
$$x \to e^{2\pi i x}.$$

## $\mathcal{K} = \mathbb{Q}(\sqrt{2})$ with number ring $\mathbb{Z}[1,\sqrt{2}].$

 $K = \mathbb{Q}(\sqrt{2})$  with number ring  $\mathbb{Z}[1, \sqrt{2}]$ . Embeddings of K into  $\mathbb{C}$ :  $\sigma_1(a + b\sqrt{2}) = a + b\sqrt{2}$  and  $\sigma_2(a + \sqrt{2}) = a - b\sqrt{2}$ .

 $K = \mathbb{Q}(\sqrt{2})$  with number ring  $\mathbb{Z}[1, \sqrt{2}]$ . Embeddings of K into  $\mathbb{C}$ :  $\sigma_1(a + b\sqrt{2}) = a + b\sqrt{2}$  and  $\sigma_2(a + \sqrt{2}) = a - b\sqrt{2}$ .

Consider the homomorphism

$$egin{aligned} & \mathcal{K} o \mathbb{R}^2 \ & x \mapsto (\sigma_1(x), \sigma_2(x)). \end{aligned}$$

 $K = \mathbb{Q}(\sqrt{2})$  with number ring  $\mathbb{Z}[1, \sqrt{2}]$ . Embeddings of K into  $\mathbb{C}$ :  $\sigma_1(a + b\sqrt{2}) = a + b\sqrt{2}$  and  $\sigma_2(a + \sqrt{2}) = a - b\sqrt{2}$ .

Consider the homomorphism

$$egin{aligned} \mathcal{K} & o \mathbb{R}^2 \ x &\mapsto (\sigma_1(x), \sigma_2(x)). \end{aligned}$$

Image of  $\mathbb{Z}[\sqrt{2}]$  in  $\mathbb{R}^2$  is a lattice with generators  $(\sigma_1(1), \sigma_2(1)) = (1, 1)$  and  $(\sigma_1(\sqrt{2}), \sigma_2(\sqrt{2})) = (\sqrt{2}, -\sqrt{2}).$ 

 $K = \mathbb{Q}(\sqrt{2})$  with number ring  $\mathbb{Z}[1, \sqrt{2}]$ . Embeddings of K into  $\mathbb{C}$ :  $\sigma_1(a + b\sqrt{2}) = a + b\sqrt{2}$  and  $\sigma_2(a + \sqrt{2}) = a - b\sqrt{2}$ .

Consider the homomorphism

$$\mathcal{K} o \mathbb{R}^2$$
  
 $x \mapsto (\sigma_1(x), \sigma_2(x)).$ 

Image of  $\mathbb{Z}[\sqrt{2}]$  in  $\mathbb{R}^2$  is a lattice with generators  $(\sigma_1(1), \sigma_2(1)) = (1, 1)$  and  $(\sigma_1(\sqrt{2}), \sigma_2(\sqrt{2})) = (\sqrt{2}, -\sqrt{2}).$ 

Fundamental domain corresponding to these generators has volume

 $K = \mathbb{Q}(\sqrt{2})$  with number ring  $\mathbb{Z}[1, \sqrt{2}]$ . Embeddings of K into  $\mathbb{C}$ :  $\sigma_1(a + b\sqrt{2}) = a + b\sqrt{2}$  and  $\sigma_2(a + \sqrt{2}) = a - b\sqrt{2}$ .

Consider the homomorphism

$$K \to \mathbb{R}^2$$
  
 $x \mapsto (\sigma_1(x), \sigma_2(x)).$ 

Image of  $\mathbb{Z}[\sqrt{2}]$  in  $\mathbb{R}^2$  is a lattice with generators  $(\sigma_1(1), \sigma_2(1)) = (1, 1)$  and  $(\sigma_1(\sqrt{2}), \sigma_2(\sqrt{2})) = (\sqrt{2}, -\sqrt{2}).$ 

Fundamental domain corresponding to these generators has volume

$$\left|\det \left(\begin{array}{cc} 1 & \sqrt{2} \\ 1 & -\sqrt{2} \end{array}\right)\right| = 2\sqrt{2}.$$

#### Tori

Definition. The *n*-torus is the topological space

$$T^n = \underbrace{S^1 \times \cdots \times S^1}_{n \text{ times}}$$

with the product topology.

#### Tori

Definition. The *n*-torus is the topological space

$$T^n = \underbrace{S^1 \times \cdots \times S^1}_{n \text{ times}}$$

with the product topology.

**Proposition.** Let *L* be a rank *m* lattice in  $\mathbb{R}^n$  with generators  $v_1, \ldots, v_m$ .

#### Tori

**Definition.** The *n*-torus is the topological space

$$T^n = \underbrace{S^1 \times \cdots \times S^1}_{n \text{ times}}$$

with the product topology.

**Proposition.** Let *L* be a rank *m* lattice in  $\mathbb{R}^n$  with generators  $v_1, \ldots, v_m$ . Complete  $v_1, \ldots, v_m$  to a basis  $v_1, \ldots, v_n$  for  $\mathbb{R}^n$ .

**Definition.** The *n*-torus is the topological space

$$T^n = \underbrace{S^1 \times \cdots \times S^1}_{n \text{ times}}$$

with the product topology.

**Proposition.** Let *L* be a rank *m* lattice in  $\mathbb{R}^n$  with generators  $v_1, \ldots, v_m$ . Complete  $v_1, \ldots, v_m$  to a basis  $v_1, \ldots, v_n$  for  $\mathbb{R}^n$ . Homeomorphism

$$\phi \colon \mathbb{R}^n / L \to T^m \times \mathbb{R}^{n-m}$$
$$\sum_{i=1}^n a_i v_i \mapsto \left( e^{2\pi i a_1}, \dots, e^{2\pi i a_m}, a_{m+1}, \dots, a_n \right).$$

The mapping  $\phi$  is a bijection when restricted to a fundamental domain.

Consider the examples

▶ 
$$L = \operatorname{Span}_{\mathbb{Z}}\{(1,0), (0,1)\},\$$

Consider the examples

• 
$$L = \operatorname{Span}_{\mathbb{Z}}\{(1,0), (0,1)\}, \text{ and}$$

▶ 
$$L' = \operatorname{Span}_{\mathbb{Z}}\{(1,0)\}$$
 in  $\mathbb{R}^2$ 

### Volume

**Definition.** Let  $L \subset \mathbb{R}^n$  be a rank *n* lattice, and consider the mapping  $\pi : \mathbb{R}^n \to \mathbb{R}^n / L \xrightarrow{\phi} T^n$ .

**Definition.** Let  $L \subset \mathbb{R}^n$  be a rank *n* lattice, and consider the mapping  $\pi : \mathbb{R}^n \to \mathbb{R}^n / L \xrightarrow{\phi} T^n$ .

The *volume* of  $Y \subseteq T^n$  is

$$\operatorname{vol}(Y) = \operatorname{vol}(\pi^{-1}(Y) \cap F)$$

where F is a fundamental domain for L.

**Definition.** Let  $L \subset \mathbb{R}^n$  be a rank *n* lattice, and consider the mapping  $\pi : \mathbb{R}^n \to \mathbb{R}^n / L \xrightarrow{\phi} T^n$ .

The *volume* of  $Y \subseteq T^n$  is

$$\operatorname{vol}(Y) = \operatorname{vol}(\pi^{-1}(Y) \cap F)$$

where F is a fundamental domain for L.

**Proposition.** Let  $X \subset \mathbb{R}^n$  be a bounded such that vol(X) exists. With notation as in the above definition, suppose that  $\pi$  restricted to X is injective.

**Definition.** Let  $L \subset \mathbb{R}^n$  be a rank *n* lattice, and consider the mapping  $\pi : \mathbb{R}^n \to \mathbb{R}^n / L \xrightarrow{\phi} T^n$ .

The *volume* of  $Y \subseteq T^n$  is

$$\operatorname{vol}(Y) = \operatorname{vol}(\pi^{-1}(Y) \cap F)$$

where F is a fundamental domain for L.

**Proposition.** Let  $X \subset \mathbb{R}^n$  be a bounded such that vol(X) exists. With notation as in the above definition, suppose that  $\pi$  restricted to X is injective. Then  $vol(X) = vol(\pi(X))$ .

**Definition.** Let  $L \subset \mathbb{R}^n$  be a rank *n* lattice, and consider the mapping  $\pi : \mathbb{R}^n \to \mathbb{R}^n / L \xrightarrow{\phi} T^n$ .

The *volume* of  $Y \subseteq T^n$  is

$$\operatorname{vol}(Y) = \operatorname{vol}(\pi^{-1}(Y) \cap F)$$

where F is a fundamental domain for L.

**Proposition.** Let  $X \subset \mathbb{R}^n$  be a bounded such that vol(X) exists. With notation as in the above definition, suppose that  $\pi$  restricted to X is injective. Then  $vol(X) = vol(\pi(X))$ .

**Proof.** See Theorem 6.7 and the accompanying Figure 6.6.



**Definition.** Let  $X \subseteq \mathbb{R}^n$ . Then X is *convex* if it contains the line segment joining each pair of points in X.

**Definition.** Let  $X \subseteq \mathbb{R}^n$ . Then X is *convex* if it contains the line segment joining each pair of points in X. In other words, if  $x, y \in X$ , then  $\lambda x + (1 - \lambda)y \in X$  for  $\lambda \in [0, 1]$ .

**Definition.** Let  $X \subseteq \mathbb{R}^n$ . Then X is *convex* if it contains the line segment joining each pair of points in X. In other words, if  $x, y \in X$ , then  $\lambda x + (1 - \lambda)y \in X$  for  $\lambda \in [0, 1]$ .

**Example.** If  $P = \{p_1, \ldots, p_k\} \subset \mathbb{R}^n$ , the smallest convex set containing P is

**Definition.** Let  $X \subseteq \mathbb{R}^n$ . Then X is *convex* if it contains the line segment joining each pair of points in X. In other words, if  $x, y \in X$ , then  $\lambda x + (1 - \lambda)y \in X$  for  $\lambda \in [0, 1]$ .

**Example.** If  $P = \{p_1, \ldots, p_k\} \subset \mathbb{R}^n$ , the smallest convex set containing P is

$$\operatorname{conv}(P) = \left\{ \sum_{i=1}^k \lambda_i p_i : \lambda_i \ge 0 \text{ and } \sum_{i=1}^k \lambda_i = 1 \right\}.$$

**Definition.** Let  $X \subseteq \mathbb{R}^n$ . Then X is *convex* if it contains the line segment joining each pair of points in X. In other words, if  $x, y \in X$ , then  $\lambda x + (1 - \lambda)y \in X$  for  $\lambda \in [0, 1]$ .

**Example.** If  $P = \{p_1, \ldots, p_k\} \subset \mathbb{R}^n$ , the smallest convex set containing P is

$$\operatorname{conv}(P) = \left\{ \sum_{i=1}^k \lambda_i p_i : \lambda_i \ge 0 \text{ and } \sum_{i=1}^k \lambda_i = 1 \right\}.$$

This set is called the *convex hull* of *P*.

# Symmetry

# **Definition.** Let $X \subseteq \mathbb{R}^n$ . Then X is centrally symmetric about the origin if $x \in X$ implies $-x \in X$ for all $x \in X$ .

# Symmetry

**Definition.** Let  $X \subseteq \mathbb{R}^n$ . Then X is centrally symmetric about the origin if  $x \in X$  implies  $-x \in X$  for all  $x \in X$ .

We will use the abbreviation *symmetric* to mean centrally symmetric about the origin in the context of Minkowski's theorem.

#### **Warm-up.** Consider the lattice $L = \operatorname{Span}_{\mathbb{Z}} \{ (1,0), (0,1) \} \subset \mathbb{R}^2$ .

**Warm-up.** Consider the lattice  $L = \operatorname{Span}_{\mathbb{Z}}\{(1,0), (0,1)\} \subset \mathbb{R}^2$ .

What is the volume of the largest convex symmetric set  $X \subset \mathbb{R}^2$  containing no nonzero lattice point?

**Warm-up.** Consider the lattice  $L = \operatorname{Span}_{\mathbb{Z}}\{(1,0), (0,1)\} \subset \mathbb{R}^2$ .

What is the volume of the largest convex symmetric set  $X \subset \mathbb{R}^2$  containing no nonzero lattice point?

What about the analogous question in  $\mathbb{R}^3$ ?

Let  $X \subset \mathbb{R}^n$  be bounded, convex, and symmetric.

Let  $X \subset \mathbb{R}^n$  be bounded, convex, and symmetric. Suppose that

 $\operatorname{vol}(X) > 2^n \operatorname{vol}(F).$ 

Let  $X \subset \mathbb{R}^n$  be bounded, convex, and symmetric. Suppose that

 $\operatorname{vol}(X) > 2^n \operatorname{vol}(F).$ 

Then X contains a nonzero lattice point.

Hypotheses:  $X \subset \mathbb{R}^n$  bounded, convex, and symmetric,

Hypotheses:  $X \subset \mathbb{R}^n$  bounded, convex, and symmetric, and  $\operatorname{vol}(X) > 2^n \operatorname{vol}(F)$ .

Hypotheses:  $X \subset \mathbb{R}^n$  bounded, convex, and symmetric, and  $\operatorname{vol}(X) > 2^n \operatorname{vol}(F)$ .

**Proof.** Consider the lattice 2*L*, whose fundamental domain has volume  $2^n \operatorname{vol}(F)$ .

Hypotheses:  $X \subset \mathbb{R}^n$  bounded, convex, and symmetric, and  $\operatorname{vol}(X) > 2^n \operatorname{vol}(F)$ .

**Proof.** Consider the lattice 2*L*, whose fundamental domain has volume  $2^n \operatorname{vol}(F)$ . Since  $\operatorname{vol}(X) > 2^n \operatorname{vol}(F)$ , it follows that  $\pi \colon \mathbb{R}^n \to \mathbb{R}^n/(2L)$  is not injective when restricted to *X*.

Hypotheses:  $X \subset \mathbb{R}^n$  bounded, convex, and symmetric, and  $\operatorname{vol}(X) > 2^n \operatorname{vol}(F)$ .

**Proof.** Consider the lattice 2*L*, whose fundamental domain has volume  $2^n \operatorname{vol}(F)$ . Since  $\operatorname{vol}(X) > 2^n \operatorname{vol}(F)$ , it follows that  $\pi \colon \mathbb{R}^n \to \mathbb{R}^n/(2L)$  is not injective when restricted to *X*. Thus, there exist distinct  $x, y \in X$  such that  $\pi(x) = \pi(y)$ .

Hypotheses:  $X \subset \mathbb{R}^n$  bounded, convex, and symmetric, and  $\operatorname{vol}(X) > 2^n \operatorname{vol}(F)$ .

**Proof.** Consider the lattice 2*L*, whose fundamental domain has volume  $2^n \operatorname{vol}(F)$ . Since  $\operatorname{vol}(X) > 2^n \operatorname{vol}(F)$ , it follows that  $\pi \colon \mathbb{R}^n \to \mathbb{R}^n/(2L)$  is not injective when restricted to *X*. Thus, there exist distinct  $x, y \in X$  such that  $\pi(x) = \pi(y)$ . So  $x - y \in 2L$ , and thus

$$\frac{1}{2}(x-y)\in L.$$

Hypotheses:  $X \subset \mathbb{R}^n$  bounded, convex, and symmetric, and  $\operatorname{vol}(X) > 2^n \operatorname{vol}(F)$ .

**Proof.** Consider the lattice 2*L*, whose fundamental domain has volume  $2^n \operatorname{vol}(F)$ . Since  $\operatorname{vol}(X) > 2^n \operatorname{vol}(F)$ , it follows that  $\pi \colon \mathbb{R}^n \to \mathbb{R}^n/(2L)$  is not injective when restricted to *X*. Thus, there exist distinct  $x, y \in X$  such that  $\pi(x) = \pi(y)$ . So  $x - y \in 2L$ , and thus

$$\frac{1}{2}(x-y)\in L.$$

Since X is symmetric,  $-y \in X$ .

Hypotheses:  $X \subset \mathbb{R}^n$  bounded, convex, and symmetric, and  $\operatorname{vol}(X) > 2^n \operatorname{vol}(F)$ .

**Proof.** Consider the lattice 2*L*, whose fundamental domain has volume  $2^n \operatorname{vol}(F)$ . Since  $\operatorname{vol}(X) > 2^n \operatorname{vol}(F)$ , it follows that  $\pi \colon \mathbb{R}^n \to \mathbb{R}^n/(2L)$  is not injective when restricted to *X*. Thus, there exist distinct  $x, y \in X$  such that  $\pi(x) = \pi(y)$ . So  $x - y \in 2L$ , and thus

$$\frac{1}{2}(x-y)\in L.$$

Since X is symmetric,  $-y \in X$ . Since X is convex, it follows that

$$\frac{1}{2}(x-y) = \frac{1}{2}x + \frac{1}{2}(-y) \in X.$$

Hypotheses:  $X \subset \mathbb{R}^n$  bounded, convex, and symmetric, and  $\operatorname{vol}(X) > 2^n \operatorname{vol}(F)$ .

**Proof.** Consider the lattice 2*L*, whose fundamental domain has volume  $2^n \operatorname{vol}(F)$ . Since  $\operatorname{vol}(X) > 2^n \operatorname{vol}(F)$ , it follows that  $\pi \colon \mathbb{R}^n \to \mathbb{R}^n/(2L)$  is not injective when restricted to *X*. Thus, there exist distinct  $x, y \in X$  such that  $\pi(x) = \pi(y)$ . So  $x - y \in 2L$ , and thus

$$\frac{1}{2}(x-y)\in L.$$

Since X is symmetric,  $-y \in X$ . Since X is convex, it follows that

$$\frac{1}{2}(x-y) = \frac{1}{2}x + \frac{1}{2}(-y) \in X.$$

Since  $x \neq y$ , we have (x - y)/2 is a nonzero lattice point in X.  $\Box$