

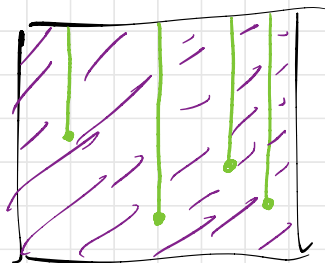
## Day 19

### Learning Goals

- Define filters
  - examples
  - convergence
- Hausdorff spaces, closed sets, and continuity are detected by filters.

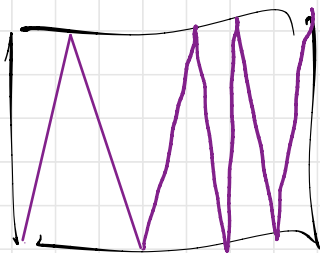
### Motivating Example

Let  $X = [0,1]^{[0,1]}$ , the set of functions  $[0,1] \rightarrow [0,1]$  with the product topology. Open nbhds of the zero function  $z(x) = 0 \ \forall x \in [0,1]$  have half open intervals "in finitely many coords" and  $[0,1]$  in others:

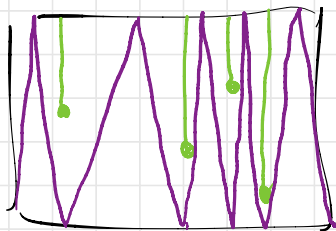


is open

Let  $A \subseteq X$  be the set of "sawtooth functions"



with finitely many height 1 spikes (exclude  $z$ ).  
Given a nbhd of  $z$ , we can find a pt in  $A$   
not equal to  $z$  inside the nbhd:



Thus  $z$  is a limit point of  $A \Rightarrow z \in \bar{A}$ .

But no sequence in  $A$  converges to  $z$ :

If  $(f_n) \rightarrow z$  then  $\forall x \in [0,1], (f_n(x)) \rightarrow 0$

but fns in  $A$  all take value 1 somewhere!

... { When  $X$  is not first countable, need to  
probe w/ something more refined than sequences.

Defn A filter on a set  $X$  is  $\mathcal{F} \subseteq 2^X$  that is

(1) downward directed:  $A, B \in \mathcal{F} \Rightarrow \exists C \in \mathcal{F}$   
s.t.  $C \subseteq A \cap B$

(2) nonempty:  $\mathcal{F} \neq \emptyset$

(3) upward closed:  $A \in \mathcal{F}, A \subseteq B \Rightarrow B \in \mathcal{F}$ .

A filter is proper when  $\mathcal{F} \neq 2^X$   
 $\hookrightarrow$  the improper filter

TPS Show that filters are closed under finite intersections.

Let  $2 = \{0 \leq 1\}$  as a poset and define  $i \wedge j = \inf\{i, j\}$

Note that for  $A, B \in 2^X$ ,  $A \wedge B = A \cap B$ .  $\hookrightarrow$  meet

Prop Suppose  $f: 2^X \rightarrow 2$  is a monotone function

( $A \subseteq B \Rightarrow f(A) \leq f(B)$ ) preserving meets ( $f(A \wedge B) = f(A) \wedge f(B)$ )

Then  $f^{-1}\{1\}$  is a filter. Moreover, the assignment

$$\left\{ f: 2^X \rightarrow 2 \mid \begin{array}{l} \text{monotone,} \\ \text{meet-preserving} \end{array} \right\} \longleftrightarrow \{ \text{filters on } X \}$$
$$f \longmapsto f^{-1}\{1\}$$

is a bij'n.



E.g. (1)  $\mathcal{F} = \{X\}$  is called the **trivial filter**.

(2) For  $(x_n)$  a sequence in  $X$ , its **eventuality filter** is  $\mathcal{E}_{(x_n)} = \{A \subseteq X \mid x_n \in A \text{ for } n \gg 0\}$ .

(3) The **Fréchet filter** on  $X$  is  $\{A \subseteq X \mid X \setminus A \text{ finite}\}$ .

Non-e.g. For  $x \in X$ , let  $\mathcal{T}_x = \{U \subseteq X \mid x \in U \text{ open}\}$ .

This is a neighborhood base of  $x$  and it is downward directed and nonempty, but not (in gen'l) upward closed.

(This makes  $\mathcal{T}_x$  a **filterbase** and its upward closure is a filter.)

Defn A filter  $\mathcal{F}$  on a space  $X$  **converges** to  $x \in X$  when  $\mathcal{T}_x \subseteq \mathcal{F}$ , write  $\mathcal{F} \rightarrow x$ .

Prop A sequence  $(x_n) \rightarrow x$  iff  $\mathcal{E}_{(x_n)} \rightarrow x$ .

Pf  $\mathcal{T}_x \subseteq \mathcal{E}_{(x_n)} \iff \forall U \ni x \text{ open, } x_n \in U \text{ for } n \gg 0. \quad \square$

E.g.  $2^X \rightarrow x \quad \forall x \in X$  — thus we will only care about proper filters!

Motivating example, ct'd  $A \in [0, 1]^{[0, 1]}$  <sup>prod</sup> consisting of sawtooth functions. Let  $\mathcal{B} = \{U \cap A \mid U \in \mathcal{T}_z\}$ . This is a filterbase generating a filter  $\mathcal{F}$  (the upward

closure of  $B$ ) which contains  $A = X \cap A$ . Also

$U \cap A \neq \emptyset \quad \forall U \in \mathcal{T}_z$  b/c  $z$  is a limit point of  $A$ .

It follows that  $\mathcal{F}$  is proper (in fact a filter is proper iff  $\emptyset \notin \mathcal{F}$ ). We have  $\mathcal{T}_z \subseteq \mathcal{F}$  since

$U \cap A \in U \quad \forall U \in \mathcal{T}_z$ . Thus  $\mathcal{F}$  is a proper filter containing  $A$  converging to  $z$ .

Thm For  $A \subseteq X$  a subset of a space,  $x \in \bar{A}$  iff  $\exists$  proper filter  $\mathcal{F}$  on  $X$  s.t.  $A \in \mathcal{F}$  and  $\mathcal{F} \rightarrow x$ .

Pf ( $\Leftarrow$ ) Follow the motivating example.

( $\Rightarrow$ ) If  $\mathcal{F}$  is a proper filter,  $\mathcal{F} \rightarrow x, A \in \mathcal{F}$ ,

then  $B = \{U \cap A \mid U \in \mathcal{T}_z\} \subseteq \mathcal{F} \Rightarrow \emptyset \notin B \Rightarrow x \in \bar{A}$ . □

Thm  $X$  is Hausdorff  $\Leftrightarrow$  limits of convergent proper filters are unique.

Pf See 3.8. □

To examine continuity with filters, we need a defn:

Defn Given a filter  $\mathcal{F}$  on  $X$  and function  $f: X \rightarrow Y$ , the set  $\{fA \mid A \in \mathcal{F}\}$  is a filterbase on  $Y$ . The filter  $f_*\mathcal{F}$  generated by this base is the pushforward of  $\mathcal{F}$  wrt  $f$ . Explicitly,

$$f_*\mathcal{F} = \{B \in \mathcal{Y} \mid \exists A \in \mathcal{F} \text{ s.t. } fA \subseteq B\}.$$

E.g.  $f_*\mathcal{E}_{(x_n)} = \mathcal{E}_{(f(x_n))}$ .

Thm A function  $f: X \rightarrow Y$  is cts iff  $\forall$  filter  $\mathcal{F}$  on  $X$ , if  $\mathcal{F} \rightarrow x$  then  $f_*\mathcal{F} \rightarrow f(x)$ .

PF ( $\Rightarrow$ ) Suppose  $f$  cts,  $\mathcal{F} \rightarrow x$ . WTS  $\mathcal{T}_{f(x)} \subseteq f_*\mathcal{F}$   
i.e. for  $B \in \mathcal{T}_{f(x)}$   $\exists A \in \mathcal{F}$  with  $fA \subseteq B$ .

Choose  $A = f^{-1}B$ . By continuity,  $f^{-1}\mathcal{T}_{f(x)} \subseteq \mathcal{T}_x$   
so  $A \in \mathcal{T}_x$ . Know  $\mathcal{T}_x \subseteq \mathcal{F}$  so  $A \in \mathcal{F}$ .

( $\Leftarrow$ ) Suppose  $\forall \mathcal{F}$ ,  $\mathcal{F} \rightarrow x \Rightarrow f_*\mathcal{F} \rightarrow f(x)$ . Let  $\mathcal{F}$  be the filter gen'd by  $\mathcal{T}_x$ . Then  $\mathcal{F} \rightarrow x$  so  $f_*\mathcal{F} \rightarrow f(x)$ , so  $\mathcal{T}_{f(x)} \subseteq f_*\mathcal{F}$ . Thus  $\forall B \in \mathcal{T}_{f(x)}$ ,  $\exists A \in \mathcal{T}_x$  s.t.  $fA \subseteq B$ . This condition is equivalent to continuity of  $f$ . □