

## Day 16

### Learning goals

- Introduce compactness
- Bolzano-Weierstrass theorem
- Compactness and Hausdorffness

An analogy:

connectedness : IVT :: compactness : EVT

extreme value  
theorem

To fully develop this, we'll need the Heine-Borel theorem (compact subsets of  $\mathbb{R}$  are closed and bounded) which we'll do on Day 17.

Defn A collection  $\mathcal{U}$  of open subsets of  $X$  is called an open cover of  $X$  when  $\bigcup_{U \in \mathcal{U}} U = X$ . The space  $X$  is compact when every open cover of  $X$  has a finite subcover.

E.g.  $\mathbb{R}$  is not compact because  $\{(n, n+1) \mid n \in \mathbb{Z}\} \cup$

$\{(n+\frac{1}{2}, n+\frac{3}{2}) \mid n \in \mathbb{Z}\}$  has no finite subcover.

Thm If  $X$  is compact and  $f: X \rightarrow Y$  cts, then  $fX$  is compact. (Here  $fX \in Y$  with subspace topology.)

Pf Let  $\mathcal{U}$  be an open cover of  $fX$ . Then

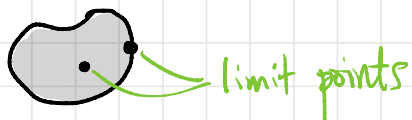
$\mathcal{V} = \{f^{-1}U \mid U \in \mathcal{U}\}$  is an open cover of  $X$ . Since  $X$  is compact,  $\exists$  finite subcover  $\mathcal{V}' \subseteq \mathcal{V}$ .

Define  $\mathcal{U}' = \{U \in \mathcal{U} \mid f^{-1}U \in \mathcal{V}'\}$ . Then  $\mathcal{U}' \subseteq \mathcal{U}$  is finite and, since every  $x \in X$  is in some  $f^{-1}U \in \mathcal{V}'$ , every  $f(x) \in fX$  is in some  $U \in \mathcal{U}'$ .  $\square$

Cor Compactness is a topological property (i.e. it is preserved by homeomorphisms).

Defn A point  $x$  is a limit point of  $X$  if every nbhd of  $x$  contains a point of  $X \setminus \{x\}$ .

• — not a limit point



Thm [Bolzano-Weierstrass] Every infinite set in a compact space has a limit point.

... { In a compact set, if you take infinitely many points, those points cluster/accumulate around one of themselves.

Pf Suppose  $F \subseteq X$  infinite with no limit points.

For  $x \in X \setminus F$ , since  $x$  is not a limit point of  $F$ ,

$\exists U_x \subseteq X$  open with  $x \in U_x$  and  $U_x \cap F = \emptyset$ .

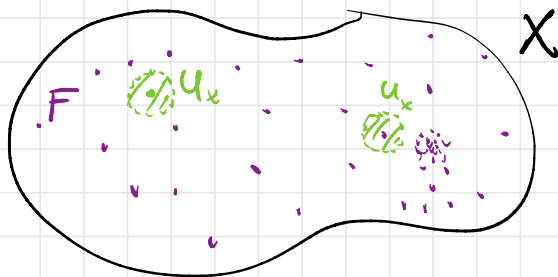
For  $x \in F$ ,  $\exists U_x \subseteq X$  open with  $x \in U_x$  and  $U_x \cap F = \{x\}$ .

Then  $\mathcal{U} = \{U_x \mid x \in X\}$  is an open cover of  $X$ .

Since  $X$  compact,  $\exists x_1, \dots, x_n \in X$  with

$U_{x_1} \cup \dots \cup U_{x_n} = X$ . Thus  $(U_{x_1} \cup \dots \cup U_{x_n}) \cap F$

$= F \subseteq \{x_1, \dots, x_n\} \neq \mathbb{R}$ .  $\square$



Thm Closed subsets of compact spaces are compact.

Pf Take  $X$  compact,  $C \subseteq X$  closed,  $\mathcal{U} = \{U_\alpha \mid \alpha \in A\}$

open cover of  $C$ . (Meaning  $U_\alpha \subseteq X$  open,

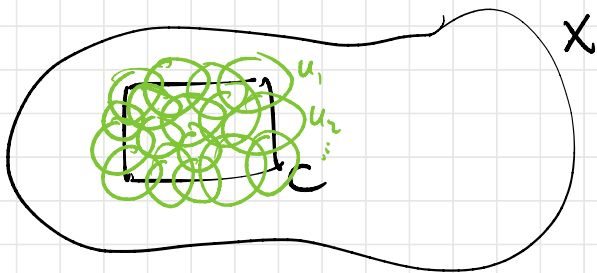
$C \subseteq \bigcup_{\alpha \in A} U_\alpha$ . Note  $\{C \cap U_\alpha \mid \alpha \in A\}$  is an open cover

of  $C$  in subspace topology.) Then  $\mathcal{U} \cup \{X \setminus C\}$

is an open cover of  $X$ , thus has a finite subcover

$\{U_i \mid 1 \leq i \leq n\}$  possibly together with  $X \setminus C$ .

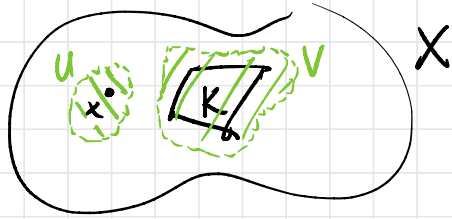
↳ finite subcover of  $C$ . □



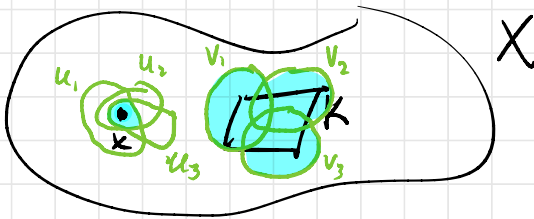
Now consider how compactness and Hausdorffness interact.

Thm Let  $X$  be Hausdorff.  $\forall x \in X$  and compact  $K \subseteq X \setminus \{x\}$

$\exists$  disjoint open  $U, V \subseteq X$  with  $x \in U, K \subseteq V$ .



Pf Given such  $x, K$ ,  $\forall y \in K$  take disjoint open  $U_y, V_y \subseteq X$  with  $x \in U_y, y \in V_y$ . Then  $\{V_y \mid y \in K\}$  is an open cover of  $K$  so has a finite subcover  $\{V_1, \dots, V_n\}$ . Let  $U = U_1 \cap \dots \cap U_n$  and  $V = V_1 \cup \dots \cup V_n$  — these work!  $\square$



Cor Compact subsets of Hausdorff spaces are closed.

Pf Express  $X \setminus K$  as the union of the sets  $U$  produced above (one for each  $x \in X \setminus K$ ).  $\square$

Cor If  $X$  is compact and  $Y$  is Hausdorff, then every map  $f: X \rightarrow Y$  is closed (i.e.  $C \subseteq X$  closed  $\Rightarrow fC$  closed).

Pf  $C$  is compact so  $fC$  is compact so  $fC$  is closed.



Note In the above setup,

- $f$  inj  $\Rightarrow f$  embedding
- $f$  surj  $\Rightarrow f$  quotient map
- $f$  bsj  $\Rightarrow f$  homo.