

Day 6

Learning goal:

- Functors
 - defn
 - examples
 - adjectives
 - application

In category theory, we want to compare objects. Categories themselves are a type of object. How do we compare them?

Defn A functor F from a category C to a category D (written $F: C \rightarrow D$) is

(i) $\forall X \in \text{ob } C$, an object $FX \in \text{ob } D$

(ii) $\forall f: X \rightarrow Y$ in C , a morphism

$$Ff: FX \rightarrow FY \text{ in } D.$$

s.t. (iii) $F(gf) = (Fg)(Ff) \quad \forall X \xrightarrow{f} Y \xrightarrow{g} Z$ in C

and (iv) $Fid_X = id_{FX} \quad \forall X \in \text{ob } C$.

Such a functor is sometimes called a **covariant functor**. A functor $C^{\text{op}} \rightarrow D$ is a **contravariant functor** from C to D , these "reverse arrows."

E.g. (1) For $X \in \text{ob } C$ have the functor $C(X, -)$:

$$\begin{array}{ccc} Y & & C(X, Y) \\ f \downarrow & \longmapsto & \downarrow f_* \\ Z & & C(X, Z) \end{array} \quad \text{from } C \text{ to Set}$$

(2) $C(-, X)$ is a contravariant functor from C to Set:

$$\begin{array}{ccc} Y & & C(Y, X) \\ f \downarrow & \longmapsto & \uparrow f^* \\ Z & & C(Z, X) \end{array}$$

(3) Suppose that the objects of C consist of a set + more structure and that the morphisms of C are functions on said sets satisfying add'l properties. (E.g. $C = \text{Vect}_k, \text{Top}, \text{Grp}, \text{Ring}, \text{CRing}, \dots$)

Have a forgetful functor

$$U: C \rightarrow \text{Set}$$

taking $X \in \text{ob } C$ to its underlying set and a morphism to its underlying function.

(4) In the above setting, typically have a free functor $F: \text{Set} \rightarrow \mathcal{C}$. In case $\mathcal{C} = \text{Vect}_k$, have $FS = k^S = \{\text{functions } S \rightarrow k\}$. May think of this as a vector space with basis S (more formally, $\{\chi_s \mid s \in S\}$ is a basis for $\chi_s: S \rightarrow k$ the characteristic function of s). We have

$$\begin{array}{ccc}
 \text{Set} & \xrightarrow{F} & \text{Vect}_k \\
 S & & k^S \quad \chi_s \\
 g \downarrow & \longmapsto & \downarrow \quad \downarrow \\
 T & & k^T \quad \chi_{g(s)}
 \end{array}$$

extend this assignment linearly

Free and forgetful functors fit into a free-forgetful adjunction that we will study later.

(5) The power set gives a contravariant functor from Set to Set:

$$\begin{array}{ccc}
 S & & 2^S \quad f^{-1}u := \{s \in S \mid f(s) \in u\} \\
 f \downarrow & \longmapsto & \uparrow f^{-1} \quad \downarrow \\
 T & & 2^T \quad u
 \end{array}$$

(So maybe we should write 2^f for f^{-1} in order to not confuse it with an inverse function?)

Note that $\text{id}_S^{-1} = \text{id}_{2S}$ and
for $S \xrightarrow{f} T \xrightarrow{g} U$,

$$\begin{aligned} (gf)^{-1}V &= \{s \in S \mid gf(s) \in V\} \\ &= \{s \in S \mid f(s) \in g^{-1}V\} \\ &= f^{-1}(g^{-1}V). \end{aligned}$$

This proves that $2^{(\cdot)}$ is a functor.

Lemma If $F: C \rightarrow D$ is a functor and
 $f: X \rightarrow Y$ is an iso in C , then
 Ff is an iso in D .

Pf Suppose $g: Y \rightarrow X$ is an inverse to f in C :

$$gf = \text{id}_X, \quad fg = \text{id}_Y.$$

$$\text{Then } F(gf) = F\text{id}_X \quad \text{and} \quad F(fg) = F\text{id}_Y$$

$$\Rightarrow (Fg)(Ff) = \text{id}_{F_X} \quad \Rightarrow (Ff)(Fg) = \text{id}_{F_Y}$$

Thus Fg is inverse to Ff , so Ff is an iso. \square

To study topological properties, we will want "good" functors $F: \text{Top} \rightarrow \mathcal{C}$. Note that $FX \not\cong FY \Rightarrow X \not\cong Y$, so we can distinguish top'l spaces w/ such tools.

Note that a functor $F: \mathcal{C} \rightarrow \mathcal{D}$ induces a set map

$$\mathcal{C}(X, Y) \xrightarrow{\oplus} \mathcal{D}(FX, FY) \quad \forall X, Y \in \text{ob } \mathcal{C}.$$

$f \longmapsto Ff$

- Defn
- Call F **faithful** if \oplus is injective $\forall X, Y$.
 - Call F **full** if \oplus is surjective $\forall X, Y$.
 - Call F **fully faithful** if \oplus is bijective $\forall X, Y$.

If F is injective on objects and fully faithful, call it a **full embedding** of categories.

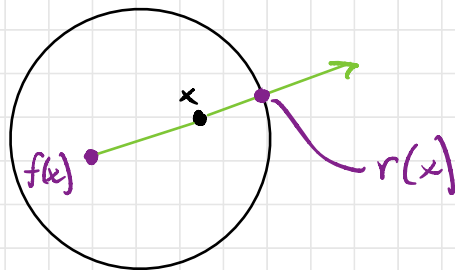
So what can you do with a functor? We will later study the **fundamental group** functor

$$\pi_1: \text{Top}_* \rightarrow \text{Grp.}$$

Facts $\pi_1(D^2) = 0$, $\pi_1(S^1) \cong \mathbb{Z}$

Brouwer Fixed Point Theorem Every cts function $f: D^2 \rightarrow D^2$ has a fixed point (i.e. $\exists x \in D^2$ s.t. $f(x) = x$).

Pf Suppose for contradiction that there exists a cts fn $f: D^2 \rightarrow D^2$ with no fixed points. Then the following construction defines a cts fn $r: D^2 \rightarrow S^1$:



If $i: S^1 \rightarrow D^2$ is the inclusion of the boundary, then $ri = id_{S^1}$ b/c r is the identity on ∂D^2 .

Thus

$$\begin{array}{ccccc}
 \pi_1(S^1) & \xrightarrow{\pi_1(i)} & \pi_1(D^2) & \xrightarrow{\pi_1(r)} & \pi_1(S^1) \\
 \cong & & 0 & & \cong \\
 & & & & \uparrow \\
 & & & & 0
 \end{array}$$

The diagram shows a commutative square of homomorphisms between fundamental groups. The top row is $\pi_1(S^1) \xrightarrow{\pi_1(i)} \pi_1(D^2) \xrightarrow{\pi_1(r)} \pi_1(S^1)$. The bottom row is $\mathbb{Z} \xrightarrow{\quad} 0 \xrightarrow{\quad} \mathbb{Z}$. A curved arrow labeled id goes from $\pi_1(S^1)$ to $\pi_1(S^1)$. A curved arrow goes from \mathbb{Z} to \mathbb{Z} . A purple \otimes symbol and a purple square are to the right.