

# Lecture 37

Tuesday, April 7, 2015 10:03 AM

Thm  $R$  is a UFD  $\Leftrightarrow R[x]$  is a UFD.

Cor If  $R$  is a UFD, then  $R[x_1, x_2, \dots, x_n]$  is a UFD.


Let  $R$  be an integral domain

Define  $F = \text{Frac}(R) = R \times (R \setminus \{0\}) / \sim$

where  $(a, b) \sim (c, d)$  iff  $ad = bc$ .

Write  $\frac{a}{b}$  for the equiv class of  $(a, b)$  in  $\text{Frac}(R)$ .

Define  $\frac{a}{b} + \frac{c}{d} = \frac{ad + bc}{bd}$ ,  $\frac{a}{b} \cdot \frac{c}{d} = \frac{ac}{bd}$

 In fact possible to "insert" any multiplicative subset  $S$  of  $R$  — called "localization".

But you have to be careful if  $S$  contains

0 divisors:  $(a, b) \sim (c, d) \Leftrightarrow \exists x \in R, x(ad - bc) = 0$ .

Fact  $(\text{Frac}(R), +, \cdot)$  is a field, the smallest

field containing  $R$ . ( $R \hookrightarrow \text{Frac}(R), r \mapsto \frac{r}{1}$ )

Gauss's Lemma Let  $R$  be a UFD,  $F = \text{Frac}(R)$ ,  
 $p \in R[x] \subseteq F[x]$ . If  $p$  is reducible in  $F[x]$ ,  
 then  $p$  is reducible in  $R[x]$ .

Pf Suppose  $p = AB$ ,  $A, B \in F[x]$ . Multiply  
 by a common multiple  $d$  of the denominators appearing  
 in the coeffs of  $A, B$ :

$$(*) \quad dp = a' b', \quad a', b' \in R[x]$$

Note  $d \in R \setminus 0$ .

If  $d \in R^\times$ , then  $p = (d^{-1} a') b'$  <sup>Factors</sup> ~~reduces~~  $p$   
 in  $R[x]$ .

If  $d \notin R^\times$ , factor  $d = p_1 p_2 \dots p_n$  into irreducibles in  $R$ .

Reduce  $(*)$  mod  $p_1$ :  $0 = \overline{a'} \overline{b'}$ .  
 eq'n in an integral domain

WLOG,  $\overline{a'} = 0$ . Thus  $p_1 \mid a' \Rightarrow \frac{a'}{p_1} \in R[x]$ .

$\Sigma_0$   $\otimes$  transforms to

$$(p_2 p_3 \dots p_n) \cdot p = \left( \frac{a'}{p_1} \right) \cdot b'$$

Thus induction implies the result.  $\square$   
 on # of factors of  $a$

Cor  $R$  a UFD,  $F = \text{Frac}(R)$ ,  $p \in R[x]$ . Suppose the gcd of coeffs of  $p$  is 1. Then  $p$  is irred in  $R[x] \iff p$  is irred in  $F[x]$ .

Pf By Gauss's Lemma,  $p$  reducible in  $F[x] \implies p$  reducible in  $R[x]$ . If  $p$  is reducible in  $R[x]$ , then  $p = ab$ ,  $a, b$  nonconstant irreds in  $R[x]$ . (b/c o/w an elt of  $R$  would divide all coeffs of  $p$ ). Thus  $p = ab$  is a factorization of  $p$  into nonunits of  $F[x]$ , b/c  $F[x]^{\times} = F^{\times}$  so  $p$  is reducible in  $F[x]$ .  $\square$

Thm  $R$  is a UFD  $\Leftrightarrow R[x]$  is a UFD.

Pf ( $\Leftarrow$ ) Easy.

( $\Rightarrow$ ) Let  $p \in R[x]$  nonzero, not a unit.

Set  $d = \gcd(\text{coeffs of } p)$ .

So  $p = dp'$  w/  $\gcd(\text{coeffs of } p') = 1$ .

$d$  factors uniquely into irreducibles in  $R$  which are automatically irreducibles in  $R[x]$ .

Thus WLOG, we can assume the  $\gcd$  of the coeffs of  $p$  is 1 (replacing  $p$  w/  $p'$ ).

Recall  $F[x]$  is a Euclidean domain so  $p$  has a unique fact'n into irreducibles in  $F[x]$ .

By Gauss's lemma,  $p$  factors in  $R[x]$  w/ factors  $F$ -multiples of factors in  $F[x]$ .

But the  $\gcd$  of coeffs of  $R[x]$  factors must be 1.

So, by the corollary to G's lemma, each factor is in fact irreducible in  $R[x]$ . Thus we have a fact'n into irreducibles!

Next: uniqueness of fact'n follows from uniqueness of fact'n in  $F[x]$ :

Have  $p = p_1 p_2 \cdots p_n$  w/  $p_i$  irred in  $R[x]$ .

But also know  $p = q_1 \cdots q_n$  for  $q_i$  irred in  $F[x]$ ,  
w/ same # of factors.

So if  $p = p'_1 p'_2 \cdots p'_m$  is another fact'n into  
irreds in  $R[x]$  then get  $n = m$ .

Get  $p_1 = \frac{a}{b} p'_1$  etc.

Thus  $b p_1 = a p'_1$

$\Rightarrow \gcd(\text{coeffs of } b) = \gcd(\text{coeffs of } a)$

But then  $b = u \cdot a$ ,  $u \in R^*$

$\Rightarrow p_1 = u^{-1} p'_1$ ,  $u^{-1} \in R^*$ . □

Note  $\mathbb{Z}[x, y]$  is a UFD but not a PID.

Why not principal?  $(x, y)$  is not principal  
In fact,  $(2, x) \leq \mathbb{Z}[x]$  is not principal.