

# October 12:

## Math 432 Class Lecture Notes

- Ideal lemmas
- Invertible ideals
- Main Theorems

### 0.1 Ideal lemmas

The main goal today is to prove that every ideal in a Dedekind domain is invertible, that ideals factor uniquely into prime ideals, and that the ideal “classes” form a group. The main lines of the proof here are due to van der Waerden.

We start with two lemmas. Throughout let  $R$  be a Dedekind domain and  $F$  its fraction field. (There are some minor ways in which the proof could be simplified if  $R = \mathbf{Z}_F$  for some number field  $F$ .) Also, in one-dimensional rings we will follow convention and reserve the word “ideal” for nonzero ideals.

**Lemma 1.** Any ideal contains a product of prime ideals.

*Proof.* The set of ideals not containing such a product has a maximal element under inclusion, since  $R$  is Noetherian. Let  $I$  be such an ideal, i.e., an ideal such that any ideal that properly contains  $I$  also contains a product of prime ideals.

Then  $I$  isn't a prime ideal itself, so there are elements  $x$  and  $y$  of  $R$  such that  $xy \in I$  but  $x$  and  $y$  are not in  $I$ . Then  $I + (x)$  is an ideal that is strictly

larger than  $I$ , so it contains a product  $\prod P_i$  of prime ideals, and similarly  $I + (y)$  contains a product  $\prod Q_j$  of prime ideals. But then

$$I \supset (I + (x))(I + (y)) \supset \prod_i P_i \prod_j Q_j$$

so that  $I$  in fact contains a product of prime ideals.  $\square$

If  $I$  is an ideal, then an element  $x$  of the fraction field  $F$  is said to be a **multiplier** for  $I$  if  $xI \subset R$ , i.e.,  $x$  multiplies anything in  $I$  into  $R$ . Very roughly, this means that the denominator of  $x$  is an element of  $I$ .

**Lemma 2.** Any proper ideal (i.e., not equal to the entire ring) has a multiplier that is not in the ring.

*Proof.* Let  $I$  be a proper ideal, and choose a nonzero element  $y$  in  $I$ . The ideal  $(y)$  contains a product  $\prod_{i=1}^m P_i$  of prime ideals by the previous lemma; we choose such a product in which the number  $m$  of ideals is as small as possible. Also, choose a prime ideal  $P$  that contains  $I$ , so that we have

$$P \subset I \subset (y) \subset \prod_{i=1}^m P_i.$$

Then  $P = P_i$  for some  $i$  (if not, then for each  $i$  choose  $x_i$  in  $P_i$  but not in  $P$ ; one finds that the product of the  $x_i$ , and hence one of the  $x_i$ , is in  $P$  since  $P$  is a prime ideal). Let  $P = P_1$ , without loss of generality.

Now choose  $z$  that is in the product  $P_2 \cdots P_m$  that is not in  $(y)$  ( $z$  exists because of the minimality of  $m$ ).

Then the claim is that  $x = z/y$  is a multiplier for  $I$ ; note that  $x$  is clearly not in  $R$  since  $z$  is not in the principal ideal  $(y)$ .

On the other hand

$$xI = (z/y)I \subset (z/y)P \subset (1/y)P_1 P_2 \cdots P_m \subset R$$

by our various choices.  $\square$

## 0.2 Invertible ideals

An ideal  $I$  in  $R$  is said to be **invertible** if there is an ideal  $J$  such that  $IJ$  is principal. Any ideal in a Dedekind domain is invertible and, though we won't prove it, any one-dimensional integral domain with this property is in fact a Dedekind domain.

**Theorem 3.** If  $I$  is an ideal in  $R$  and  $y$  is a nonzero element of  $I$ , then the set

$$J := \{x \in R : xI \subset (y)\}$$

is an ideal, and  $IJ = (y)$ .

*Proof.* It is easy to check that  $J$  is an ideal, and that  $IJ$  is contained in  $(y)$ . So it suffices to check that  $IJ = (y)$ . In order to do this, let

$$K = (1/y)IJ.$$

The set  $K$  is contained in  $R$  by our definitions, and it is then easy to check that it is an ideal. We are trying to prove that  $K = R$ .

Suppose that  $K$  is a proper ideal. Let  $x$  be a multiplier for  $K$  that does not lie in  $R$ .

First note that  $J \subset K$ . Indeed,  $y \in I$  so  $yJ \subset IJ$  and therefore  $J \subset (1/y)IJ$ .

Next, note that since  $xK \subset R$  we have

$$xIJ \subset (y).$$

This means that anything in  $xJ$  (which, by the first remark is a subset of  $R$ ) multiplies  $I$  into  $(y)$ , i.e., that  $xJ$  is contained in  $J$ .

This is actually a contradiction since it implies that  $x$  is the root of a monic polynomial with coefficients in  $R$ , i.e., that (by the definition of a Dedekind domain)  $x$  is in  $R$ . Indeed, if  $\alpha_1, \dots, \alpha_k$  is a generating set for  $J$ , then each  $x\alpha_i$  can be written as a linear combination of the  $\alpha_i$ , with coefficients in  $R$ . In matrix form this gives

$$xA = MA, \quad (xI - M)A = 0$$

where  $A$  the column vector of  $\alpha_i$ 's. A singular matrix has zero determinant, so  $\det(xI - M) = 0$  and  $x$  is the root of a monic polynomial in  $R[x]$ , as claimed.

□

## 0.3 The Main Theorems

Finally, we are in a position to smoothly prove the major results about ideals in Dedekind domains.

**Theorem 4.** If  $I$  and  $J$  are ideals in  $R$  then  $I \subset J$  if and only if  $I$  is divisible by  $J$ .

**Remark 5.** A smaller ideal will be divisible by more primes, so the statement is in fact not counterintuitive.

*Proof.* If  $I = JK$  then anything in  $J$  is certainly in  $I$  (this implication is of course true in any ring).

On the other hand, if  $I \subset J$  then find an ideal  $K$  such that  $JK = (y)$  is principal. Then  $I' := (1/y)IK$  is easily checked to be an ideal and

$$I'J = (1/y)IKJ = I.$$

So  $I$  is indeed the product of  $J$  and another ideal, i.e.,  $I$  is divisible by  $J$  as claimed.  $\square$

**Theorem 6.** Cancellation holds for multiplication of ideals in a Dedekind domain, i.e.,  $IJ = IK$  implies that  $J = K$ .

*Proof.* Find an ideal  $I'$  such that  $I'I = (y)$ . Multiplying the given equation by  $I'$  gives  $(y)J = (y)K$  from which  $J = K$  follows.  $\square$

**Theorem 7.** Every ideal in  $R$  can be uniquely represented as a product of prime ideals.

*Proof.* To show existence, we consider an ideal  $I$  that is as large as possible that is not a product of prime ideals. Then  $I \neq R$  (since we regard  $R$  as an empty product of prime ideals). Therefore  $I$  is contained in a maximal (prime) ideal  $P$ ,  $I \subset P$ . Clearly  $I$  is not equal to  $P$  (since we regard a prime ideal as a product of a single prime ideal) so, by the preceding result, there is a proper ideal  $J$  such that  $PJ = I$ . Then  $I$  is a proper subideal of  $J$ , so  $J$  is a product of prime ideals, and so is  $I$ .

To prove uniqueness we observe that if

$$\prod P_i = \prod Q_j$$

then  $P_1 \supset \prod Q_j$  so that some  $Q_j$ , say  $Q_1$  is equal to  $P_1$ . Applying cancellation enables us to prove uniqueness by induction.  $\square$

Say that two ideals  $I$  and  $J$  in  $R$  are **equivalent** if there are nonzero elements  $x$  and  $y$  of the ring such that

$$xI = yJ.$$

It is easy to check that this is an equivalence relation. Multiplication on ideals induces a multiplication on equivalence classes, and it is easily checked that this operation has an identity (the entire ring  $(1)$ ), is commutative, and is associative.

**Theorem 8.** Equivalence classes form a group under the above operation.

*Proof.* If  $IJ = (y)$  then

$$[I][J] = [R].$$

□

The ideal class group of a Dedekind domain  $R$  will be denoted  $Cl(R)$ . Later we will see that this group is finite if  $R$  is the ring of integers in a number field.