A First Course in Modular Forms: Corrections to the Third Printing

March 21, 2016

(The corrections here are also corrections to the earlier printings, but the third printing's pagination has changed a bit. In case of problems locating a correction here in an earlier printing, please email jerry@reed.edu.)

Chapter 1

• Pages 21–22: The wording of exercise 1.2.4 can be improved a bit because the condition d = 0 is impossible in $\Gamma_0(4)$.

Chapter 2

- Page 47, lines (-2)-(-1): Change "group" to "subset of SL₂(ℝ)" on line (-2), and change "group" to "subgroup" on line (-1).
- Page 55, line 2: Change " $\begin{bmatrix} a & b \\ c & d \end{bmatrix}$ " to " $\gamma = \begin{bmatrix} a & b \\ c & d \end{bmatrix}$ ".
- Page 55, line (-6): Change "proving (1)" to "proving (c)".
- Page 56, exercise 2.3.2: Change "If" to "If the nontrivial transformation".
- Page 56, exercise 2.3.5(b): Change "third" to "fourth".
- Page 61, line 14: Change "width" to "period".

Chapter 3

- Page 65, line (-2): Change " $Y \setminus h(\mathcal{E})$ " to " $Y \setminus f(\mathcal{E})$ ".
- Page 66, line 20: Change "equal genus" to "equal genus $g \ge 1$ ".
- Page 69, lines 4-5 (and the relevant bibliography item): Helena Verrill's fundamental domain drawer is at http://www.math.lsu.edu/verrill/fundomain/ on April 8, 2008.
- Page 70, line (-14): Change "of order 4" to "with $j' \neq j$ ".
- Page 70, line (-6): Change "-6,...,7" to "-6,...,6, ∞ ".
- Page 70, line (-5): Change "of order 3 or 6" to "with $j' \neq j$ ".
- Page 70, line (-1): Change "with with" to "with".

- Page 72: The quantity denoted h in lines 4–7 should be given a different • name such as \hbar , as it is not necessarily the h or the h' in the discussion on page 74. The sentence "Thus f has period \hbar ." on lines 4–5 is correct, but \hbar need not be the smallest period of f.
- Page 74, line (-9): Change " $q_{h'} = e^{2\pi i \tau/h'}$ " to " $q_{h'} = e^{2\pi i \tau/h'}$ ". Page 74, line (-8): Delete " $q_{h'} = e^{2\pi i \tau/h'}$ and".
- Pages 74–75: The discussion in the "Defining..." paragraph on page 74 has an error: the period is 2h in the third case independently of k, even though $f(\tau+h) = f(\tau)$ for k even. That is, in the first two cases we have $h' = 2\hbar =$ 2h but in the third case we have $h' = \hbar = 2h$. On page 75, remove "and k is odd" from (3.3), and change the text immediately following, from "This can be half-integral in the exceptional case, when $\pi(s)$ or s itself is called an *irregular cusp* of Γ . For example, when k is odd 1/2 is an irregular cusp..." to "This is half-integral if $(\alpha^{-1}\Gamma\alpha)_{\infty} = \langle -\begin{bmatrix} 1 & h \\ 0 & 1 \end{bmatrix} \rangle$ (when $\pi(s)$ or s itself is called an *irregular cusp* of Γ) and k is odd. For example, 1/2 is an irregular cusp...".
- Page 81, paragaph beginning "On the other hand...": Replace the discussion leading up to (3.7) with "On the other hand, if U_i contains a cusp s_i then δ_j takes s_j to ∞ and the function $(f[\alpha]_{2n})(z)$ takes the form $g_j(q_h)$ where h is the width of s and $q_h = e^{2\pi i z/h}$; here g_j is meromorphic in q_h at 0 if the cusp is regular and g_i is meromorphic in $q_h^{1/2} = e^{\pi i z/h}$ at 0 if the cusp is irregular, but we think of g_j as a series in powers of q_h (halfintegral powers in the irregular case) so that the order is the index of the leading coefficient. The relevant local differential is now".
- Page 81, line (-7): Change " $\Omega^{k/2}(\mathcal{H})$ " to " $\Omega^{\otimes k/2}(\mathcal{H})$ ".
- Page 90: Change " $\varepsilon_{3,i}$ " to " ε_3 " on the first line of the three-line display.
- Page 95, line 4: Change " γ " to " γ_J ".
- Page 95, line 15: Change " $\gamma =$ " to $\gamma = \det m \cdot$ ".
- Section 3.7: A more transparent approach comes from the moduli space point of view, identifying $Y_0(N)$ and $S_0(N)$ as in Theorem 1.5.1. For N = 1, elliptic points of $Y_0(N)$ correspond to elliptic curves $\mathbb{C}/\Lambda_{\tau}$ with automorphisms other than multiplication by ± 1 . Since only two imaginary quadratic orders have more than two units, and they are both PID's, there are two elliptic points: one of order 2 corresponding to $\Lambda_{\tau} = i\mathbb{Z} \oplus \mathbb{Z}$, and one of order 3 corresponding to $\Lambda_{\tau} = \mu_3 \mathbb{Z} \oplus \mathbb{Z}$. For $\Gamma_0(N)$, reason likewise. To find elliptic points of order 3, for example, look at the order N cyclic subgroups of the lattice $\mu_3 N\mathbb{Z} \oplus N\mathbb{Z}$, and count how many of them are invariant under multiplication by μ_3 . These are precisely the subgroups generated by $m\mu_3 + 1$ where $m^2 - m + 1 \equiv 0 \pmod{N}$. Thus the number of elliptic points of order 3 is the number of solutions of the congruence $m^2 = m + 1 \equiv 0 \pmod{N}.$
- Page 103, line 9: Change " $y_0 \equiv c'c^{-1} \pmod{N}$ " to " $y_0 \equiv c'c^{-1} \pmod{N/d}$ ". ٠ A procedure to list the cusps of $\Gamma_0(N)$ is as follows: For each positive divisor of N choose some nonnegative integer c such that gcd(c, N) is the

 $\mathbf{2}$

given divisor (e.g., take c = 0 if the divisor is N and otherwise take c to be the divisor), then for each class in $(\mathbb{Z}/\gcd(c, N/\gcd(c, N))\mathbb{Z})^*$ choose a representative a coprime to c and take a matrix $\begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \mathrm{SL}_2(\mathbb{Z})$. Note that $(\mathbb{Z}/\mathbb{Z})^{\times}$ is not empty but rather consists of one class, all of \mathbb{Z} . Especially, if gcd(c, N/gcd(c, N)) = 1 then the only corresponding cusp is $\begin{bmatrix} 1 & 0 \\ c & 1 \end{bmatrix}$ (though for c = 1 the representative $\begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}$ is preferable to $\begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix}$), and thus a squarefree level $N = p_1 \cdots p_t$ has 2^t cusps. Similarly, for N = 4 there are three cusps, $\begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}$, $\begin{bmatrix} 1 & 0 \\ 2 & 1 \end{bmatrix}$, and $\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$, and for N = 9 there are four cusps, $\begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}$, $\begin{bmatrix} 1 & 0 \\ 2 & 1 \end{bmatrix}$, and $\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$, and for N = 9 there are four cusps, $\begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}$, $\begin{bmatrix} 1 & 0 \\ 3 & 1 \end{bmatrix}$, $\begin{bmatrix} 2 & 1 \\ 3 & 2 \end{bmatrix}$, and $\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$.

- Page 106, fifth line of section 3.9: Change " $X_1(N)$ " to "X(1)".
- Page 106, after the displayed formula for $d(\Gamma_1(N))$: Change "So $-I \notin$ • $\Gamma_0(N)$ while $-I \in \mathrm{SL}_2(\mathbb{Z})$." to "So $-I \notin \Gamma_1(N)$ but $-I \in \Gamma_0(N)$."

Chapter 4

- Page 123, line 9: Change " $a_{n-1}(k)$ " to " $a_n(k)$ ".
- Page 123, exercise 4.4.1(c): Change "Re(s) > 1" to "Re(s) > 0".
- Page 127, line 13: Change " $e' \equiv (e + c'b_{\gamma})d_{\gamma} \pmod{u}$ " to " $e' \equiv (e + c'b_{\gamma})d_{\gamma}$ " to $(c'b_{\gamma})d_{\gamma} - q \pmod{u}$, where $q = (d' - dd_{\gamma})/v''$.
- Page 131: On the second line of the first two-line display the summand ٠ should begin " $m\mu_N^{d_v m}$ " rather than " $\mu_N^{d_v m}$ ". On the third line of the threeline display a right parenthesis is missing from " $(1 - \delta(\bar{c}_v))$ " and the summand has the same error.
- Page 133: Add an Exercise 4.6.4: "Use results from Chapter 3 to show ٠ that $\mathcal{S}_2(\Gamma_0(4)) = 0$ and that $\dim(\mathcal{M}_2(\Gamma_0(4))) = 2$. This section shows that $E_2^{\mathbf{1}_1,\mathbf{1}_1,2}$ and $E_2^{\mathbf{1}_1,\mathbf{1}_1,4}$ form a basis of $\mathcal{M}_2(\Gamma_0(4))$; the function $\theta(\tau,4)$ from the beginning of Section 1.2 lies in $\mathcal{M}_2(\Gamma_0(4))$ as well. Show that $\theta(\tau, 4)$ is a scalar multiple of $E_2^{\mathbf{1}_1,\mathbf{1}_1,4}$. Show that $E_2^{\mathbf{1}_1,\mathbf{1}_1,4} - 3E_2^{\mathbf{1}_1,\mathbf{1}_1,2}$ is a scalar multiple of the function

$$f(\tau) = \sum_{\substack{n \ge 1 \\ \text{odd}}} \sigma_1(n) q^n$$

(which is not a cusp form despite vanishing at infinity). Thus $\theta(\tau, 4)$ and $f(\tau)$ form a basis of $\mathcal{M}_2(\Gamma_0(4))$."

- Page 136, line 11: Change "negated" to "preserved".
- Page 136, line 12: Change "t > 0" to "t < 0". Page 136, line 13: Change " $\int_{t=-\infty}^{0}$ " to " $\int_{t=0}^{-\infty}$ ". Page 137, line 9: Change "(n)" to "(k)".

- Page 139: Right parenthesis missing from " $(1 \delta(\bar{c}_v))$ " on the second line of the second two-line display.
- Page 140, line 8: Change " $-c_v$ " to " $N c_v$ " in the first superscript. Make the same change on page 142 in exercise 4.8.6.
- Page 140, line (-1): Change the first ζ -superscript to " $\overline{d + ev}$ ".

- Page 146, exercise 4.9.2: In part (a), change the condition defining S_m to "|n| = m", omit the "Note that..." sentence, and change " $l(2m+1)^{l-1}$ " to " $(2m+1)^{l}$ ". In part (c), change " $l(2m+1)^{l-1}$ " to " $(2m+1)^{l}$ " and take the first sum over $n \in \mathbb{Z}^l$ such that $|n| \geq M$.
- Page 155, line 5: Change " $g(\bar{\varphi})/v$ " to " $\varphi(-1)g(\bar{\varphi})/v$ ".
- Page 155, line 11: Change " $(-1)^{k}$ " to " $\psi(-1)$ ".
- Page 155, line 19: Remove " $\varphi(-1)$ ".
- Page 157, line 14: The two-line display should end " $\cdots = \theta^{\overline{v}}(it, N)$ ".
- Page 162, exercise 4.11.5(c): The definition of $\sigma_0^{\psi,1}(m)$ should sum over divisors of m.

Chapter 5

- Page 174, diagram (5.8): Change " \mathcal{D} " to "Div" four times.
- Page 186, line 4: Change " $\beta'_j = \det(\beta)\beta^{-1}$ " to " $\beta'_j = \det(\beta_j)\beta_j^{-1}$ ".
- Page 192, line 8: Delete " $\pi_{d_1d_2}$ =".
- Page 202, third line of the three-line display in the middle of the page: Change " p^{1-k-2s} " to " p^{k-1-2s} ".
- Page 204, second line of section 5.10: Change " n^{s} " to " n^{-s} ".
- Page 204, line (-3): Change "idempotent" to "an involution".
- Page 205, line 4: Delete "under the Hecke algebra".
- Page 206, line 3: Change "idempotent" to "an involution".
- Section 5.11: The calculation of orthogonality is formally correct, but the absolute convergence of the double integral is not supported correctly by the text.
- Page 209, line 1: Change $f(\alpha(\tau'))$ to $(f[\alpha]_k)(\tau')$.
- Page 209, lines 2–3: Delete "if $\operatorname{Re}(k+2s) > 0$ ".

Chapter 6

- Page 212, lines (-4) and (-5): Change " $V_{1,2}$ " to " V_1 " and change " $V_{2,1}$ " to " V_2 ".
- Page 214, line 12 (third display): Change " $f \in \mathbb{C}(X)$ " to " $f \in \mathbb{C}(X)^*$ ".
- Page 215, line (-3): Change "homomorphic" to "homomorphism".
- Page 220, line (-5): Change " γ " to " δ ".
- Page 221, line (-1): Change " $\int \gamma$ " to " \int_{γ} ".
- Page 228: In diagram (6.11), change " $[\gamma_{Y,j}]_2$ " to $[\alpha^{-1}\gamma_{Y,j}]_2$ " and also change the last "X" to "Y"; in the following display, change " $[\gamma_{Y,j}]_2$ " to $[\alpha^{-1}\gamma_{Y,j}]_2$ ".
- Page 232, line (-2): Change "R(f(x), g(x), x)" to "R(f(x), g(x); x)".
- Page 233, line (-3): Change "characteristic" to "minimal".
- Page 235, paragraph starting "Again suppose": Also A and k are assumed to be structurally compatible as needed.
- Page 239, second display: Change "g" to " g_i " on the right side of the equality.

Chapter 7

4

- Page 268, line 3: Change " \in " to " \subset " twice.
- Page 273: Change " $\overline{\mathbf{k}}[x]$ " to " $\overline{\mathbf{k}}(x)$ " in (7.6).
- Page 275: Change Exercise 7.3.4(b) to "Show that if $\nu_P(x)$ is even and nonzero, or if $\nu_P(x) = 0$ and $\nu_P(y) \neq 0$, then $\nu_P(F(x))$ is even for any rational function F.". These are the cases used in the text.
- Page 277, line 9: Change " $(f_2 \circ [N])$ " to " $(f_2 \circ [N])$ ".
- Page 283, lines 8–10: Replace the sentence beginning, "A complementary argument..." with "For each $\overline{v} \in (\mathbb{Z}/N\mathbb{Z})^2$, the function $f_0^{\pm \overline{v}}$ determines two N-torsion points of E_j unless 2v = 0, in which case it determines one (Exercise 7.5.5(a)), and so we have found all N^2 points of $E_j[N]$ (Exercise 7.5.5(b))."
- Page 290: In the second paragraph of Section 7.7, change "three" to "two" and remove the references to \mathbb{K}'_0 , $\mathbb{C}(j, j_N)$, and K'_0 . (It takes some work to show that \mathbb{K}'_0 is an intermediate field as claimed, and we do not need this result.)
- Page 291, line 14: Change "indeterminants" to "indeterminates".
- Page 291, line (-6): Change "either f_0 or j_N " to " f_0 ".
- Page 294, line (-9): Change " K_0 , K'_0 , and K_1 " to " K_0 and K_1 ".

Chapter 8

- Page 316, line (-15): Change "lie in **k**." to "lie in **k**. For char(**k**) = 2, assume that every element of **k** is a square.".
- Page 326, line 1: Change the initial value " $a_1(E) = 1$ " to " $a_1(E) = 2$ ". Furthermore, the normalized solution-counts that are denoted $a_{p^e}(E)$ on page 325 should be given a different name, as the true $a_{p^e}(E)$ are indeed defined as on page 361 by the same initial value and recurrence as the Fourier coefficients $a_{p^e}(f)$ of a newform. For now the normalized solution-counts are renamed $t_{p^e}(E)$. Note that $t_p(E) = a_p(E)$.
- Page 333, line (-15): Change " $\overline{\mathbb{Z}}$ " to " $\overline{\mathbb{Z}}_{(\mathfrak{p})}$ ".
- Page 334, line 3: Change "kernel" to "kernel zero and".
- Page 335, line 12: Change "[N]" to "[p]" twice.
- Page 336, line 3: Change " μ^6 " to " μ_6 ".
- Page 342, line 20 (end of first complete paragraph): Change " J_i " to " $J_{(i)}$ ".
- Page 346, line 5: Change " $I \mapsto IM$ " to " $I \mapsto I\mathbf{k}[C]_P$ ".
- Page 351, lines 4–6 (clarification, not correction): The argument given is necessary. The fact that the bottom arrow of the diagram is the zero map does not immediately show that $\ker(\tilde{\psi}) = \widetilde{E'}[p]$, because the domain of $\tilde{\psi}$ is all of $\widetilde{E'}$.
- Page 353, line (-8): Change "(7.18)" to "(7.18) (page 304)".

Page 361: The right idea is to define for any prime p the local counting zeta-function of E, encoding the normalized solution-counts t_{p^e}(E) = p^e + 1 − |Ẽ(𝔽_{p^e})|, as

$$Z_p(X, E) = \exp\left(\sum_{e=1}^{\infty} \frac{t_{p^e}(E)}{e} X^e\right)$$

Taking logarithmic derivatives shows that in fact for $X = p^{-s}$ the local zeta-function takes the form of an Euler factor,

$$Z_p(p^{-s}, E) = (1 - a_p(E)p^{-s} + \mathbf{1}_E(p)p^{1-2s})^{-1}.$$

(The relation $a_p(E) = t_p(E)$ is explained in the correction to page 326.) The Hasse-Weil L-function of E is the product of these Euler factors,

$$L(s, E) = \prod_{p} (1 - a_p(E)p^{-s} + \mathbf{1}_E(p)p^{1-2s})^{-1}.$$

By the methods of the proof of Theorem 5.9.2, the Dirichlet series form of the L-function is

$$L(s,E) = \sum_{n=1}^{\infty} a_n(E) n^{-s}$$

where similarly to the Fourier coefficients of a newform, the $a_n(E)$ satisfy

$$a_{1}(E) = 1,$$

$$a_{p}(E) = p + 1 - |\widetilde{E}(\mathbb{F}_{p})|,$$

$$a_{p^{e}}(E) = a_{p}(E)a_{p^{e-1}}(E) - \mathbf{1}_{E}(p)pa_{p^{e-2}}(E), \quad e \ge 2,$$

$$a_{mn}(E) = a_{m}(E)a_{n}(E), \quad (m, n) = 1,$$

Chapter 9

- Page 367, second display: Also $d \neq 0, 1$.
- Page 368, third display: No claim is made that the powers of μ_N are independent over \mathbb{Z} .
- Page 368, line 16: Change "ramify in $\mathbb{Q}(\mu_N)$." to "ramify in $\mathbb{Q}(\mu_N)$ (except that 2 does not ramify if $N \equiv 2 \pmod{4}$, but then $\mathbb{Q}(\mu_N) = \mathbb{Q}(\mu_{N/2})$ and N/2 is odd)."
- Page 375, line 5: Change " $1 + \ell^n \mathbb{Z}_{\ell}^*$ " to " $1 + \ell^n \mathbb{Z}_{\ell}$ ".
- Page 381, line 12: Change "of C" to "of the curve C from Section 9.2".
- Page 383, middle of the page: Replace "For each n the field $\mathbb{Q}(E[\ell^n])$ is a Galois number field, giving a restriction map

$$G_{\mathbb{Q}} \longrightarrow \operatorname{Gal}(\mathbb{Q}(E[\ell^n])/\mathbb{Q}), \quad \sigma \mapsto \sigma|_{\mathbb{Q}(E[\ell^n])},$$

and there is also an injection

 $\mathbf{6}$

$$\operatorname{Gal}(\mathbb{Q}(E[\ell^n])/\mathbb{Q}) \longrightarrow \operatorname{Aut}(E[\ell^n]).$$
"

with "Under the isomorphic identification of E with $\operatorname{Pic}^{0}(E)$, multiplication by ℓ^{n} on E for any $n \in \mathbb{Z}^{+}$ becomes purely formal on $\operatorname{Pic}^{0}(E)$, and so it clearly commutes with the $G_{\mathbb{Q}}$ -action on $\operatorname{Pic}^{0}(E)$. Thus the actions on E commute as well, and so the Galois action restricts to ℓ^{n} -torsion,

$$G_{\mathbb{O}} \longrightarrow \operatorname{Aut}(E[\ell^n]).$$

- Page 384, line (-10): Replace "Theorem 8.4.4" by "Proposition 8.4.4".
- Page 384: The second paragraph of the proof of Theorem 9.4.1 is correct but it can be replaced by the following two paragraphs if desired.

"The relation $a_p(E) = \sigma_{p,*} + \sigma_p^*$ as endomorphisms of $\operatorname{Pic}^0(\tilde{E})$ (Proposition 8.3.2) and the preservation of ℓ^n -torsion under reduction combine to show that Frob_p satisfies its asserted characteristic equation. Consider the diagram



Identifying elliptic curves with their degree-0 Picard groups as earlier, and recalling from equations (8.14) and (8.15) that $\sigma_p = \sigma_{p,*}$ and $p\sigma_p^{-1} = \sigma_p^*$ under the identification, we see that the diagram commutes. The same diagram but instead with $\operatorname{Frob}_{\mathfrak{p}} + p \operatorname{Frob}_{\mathfrak{p}}^{-1}$ across the top row also commutes. Since the vertical arrows are isomorphisms, $a_p(E) = \operatorname{Frob}_{\mathfrak{p}} + p \operatorname{Frob}_{\mathfrak{p}}^{-1}$ on $E[\ell^n]$, and since n is arbitrary, the equality extends to $\operatorname{Ta}_{\ell}(E)$. Multiply the equality through by $\operatorname{Frob}_{\mathfrak{p}}$ to get $\operatorname{Frob}_{\mathfrak{p}}^2 - a_p(E)\operatorname{Frob}_{\mathfrak{p}} + p = 0$.

The previous paragraph shows that the minimal polynomial of $\operatorname{Frob}_{\mathfrak{p}}$ divides $x^2 - a_p(E)x + p$ but not yet that this is the characteristic polynomial. (For example, the identity operator on a 2-dimensional vector space satisfies any quadratic polynomial (x-1)(x-a), not only its characteristic polynomial $(x-1)^2$.) To finish establishing the characteristic polynomial of $\operatorname{Frob}_{\mathfrak{p}}$ for $p \nmid \ell N$, we show that $\det \rho_{E,\ell}(\operatorname{Frob}_{\mathfrak{p}}) = p$. Let let $\rho_n : G_{\mathbb{Q}} \longrightarrow \operatorname{GL}_2(\mathbb{Z}/\ell^n\mathbb{Z})$ be the *n*th entry of $\rho_{E,\ell}$ for $n \in \mathbb{Z}^+$. As in Lemma 7.6.1, the Weil pairing shows that the action of $\sigma \in G_{\mathbb{Q}}$ on the root of unity μ_{ℓ^n} is given by the determinant, but by definition the action is also to raise μ_{ℓ^n} to the *n*th entry of the cyclotomic character $\chi_\ell(\sigma)$,

$$\mu_{\ell^n}^{\sigma} = \mu_{\ell^n}^{\det \rho_n(\sigma)} = \mu_{\ell^n}^{\chi_{\ell,n}(\sigma)}.$$

That is, det $\rho_n(\sigma) = \chi_{\ell,n}(\sigma)$ in $(\mathbb{Z}/\ell^n \mathbb{Z})^*$ for all n, so det $\rho_{E,\ell}(\sigma) = \chi_{\ell}(\sigma)$ in \mathbb{Z}_{ℓ}^* . In particular (9.13) gives det $\rho_{E,\ell}(\operatorname{Frob}_{\mathfrak{p}}) = p$, as desired."

• Page 385: Exercise 9.4.2 requires many changes.

The exercise applies to the $t_{p^e}(E)$ rather than to the $a_{p^e}(E)$, and so this change should be made throughout.

Change the initial value from $t_1(E) = 1$ to $t_1(E) = 2$.

At the end of the text leading up to part (a), delete "except when p = 2 and $2 \mid N$ ".

With the proof of Theorem 9.4.1 modified, change A^e to $\operatorname{Frob}_{\mathfrak{p}}^2$ throughout parts (a) and (b).

At the end of part (a), add the sentence, "Note that the equality holds for e = 0 as well."

In part (b), change "Show that" to "Show that for $e \ge 2$ ".

Change part (c) to "(c) For $p \mid N$, (8.11) says that we may take $E : (y - m_1 x)(y - m_2 x) = x^3$ with $m_1 + m_2, m_1 m_2 \in \mathbb{F}_p$. Show that the formula

$$t \mapsto ((t - m_1)(t - m_2), t(t - m_1)(t - m_2))$$

describes a map from $\mathbb{P}^1(\mathbb{F}_q)$ to $\widetilde{E}(\mathbb{F}_q)$. By considering the map $(x, y) \mapsto y/x$ from $\widetilde{E}(\mathbb{F}_q) - \{(0,0)\}$ to $\mathbb{P}^1(\mathbb{F}_q)$ also, show that the displayed map injects except for possibly hitting (0,0) more than once (when m_1, m_2 are distinct and lie in \mathbb{F}_q) and that the map surjects except for possibly missing (0,0)(when m_1, m_2 do not lie in \mathbb{F}_q), and so the map bijects when $m_1 = m_2$ lies in \mathbb{F}_q .

The reduction \widetilde{E} is multiplicative if $m_1 \neq m_2$. Show that if the reduction is split, i.e., $m_1, m_2 \in \mathbb{F}_p$, then $t_{p^e}(E) = 1$ for all $e \geq 1$. Show that if the reduction is nonsplit, i.e., $m_1, m_2 \notin \mathbb{F}_p$, then $t_{p^e}(E) = (-1)^e$ for all $e \geq 1$. Show that the recurrence is satisfied in both cases.

The reduction is additive if $m_1 = m_2$. Show that the common value m lies in \mathbb{F}_p (the argument will be different for p = 2). Show that $t_{p^e}(E) = 0$ for all $e \ge 1$, and show that the recurrence is satisfied in this case as well."

Add a new part to the exercise: "(d) Again assume that $p \nmid N$. Show that

$$(1 - a_p(E)x + px^2)^{-1} = (1 - \lambda_1 x)^{-1} (1 - \lambda_2 x)^{-1} = \sum_{e=0}^{\infty} \left(\sum_{c+d=e} \lambda_1^c \lambda_2^d \right) x^e.$$

Explain why it follows that whereas the normalized prime-power solutioncounts of the elliptic curve are $t_{p^e}(E) = \lambda_1^e + \lambda_2^e$, the corresponding primepower Dirichlet coefficients of L(s, E) are $a_{p^e}(E) = \sum_{c+d=e} \lambda_1^c \lambda_2^d$."

- Page 388, line 4: Replace "The field extension $\mathbb{Q}(\operatorname{Pic}^{0}(X_{1}(N))[\ell^{n}])/\mathbb{Q}$ is Galois for each $n \in \mathbb{Z}^{+}$ " with "The Galois action commutes with the purely formal action of multiplication by ℓ^{n} for any $n \in \mathbb{Z}^{+}$ ".
- Page 390: The proof of Lemma 9.5.2 can be clarified as follows.
 - "Multiplication by ℓ^n is surjective on $I_f J_1(N)$. Indeed, it is surjective on the complex torus $J_1(N)$, and the commutative Hecke algebra $\mathbb{T}_{\mathbb{Z}}$ contains both I_f and ℓ^n , so that $\ell^n I_f J_1(N) = I_f \ell^n J_1(N) = I_f J_1(N)$.

To show the first statement of the lemma, take any $y \in A_f[\ell^n]$. Then $y = x + I_f J_1(N)$ for some $x \in J_1(N)$ such that $\ell^n x \in I_f J_1(N)$. Thus $\ell^n x = \ell^n x'$ for some $x' \in I_f J_1(N)$ by the previous paragraph. The difference x - x' lies in $J_1(N)[\ell^n] = \operatorname{Pic}^0(X_1(N))[\ell^n]$ and maps to y as desired.

The kernel is $\operatorname{Pic}^{0}(X_{1}(N))[\ell^{n}] \cap I_{f}J_{1}(N) = (I_{f}J_{1}(N))[\ell^{n}]$. We claim that the containment

$$(I_f \operatorname{Pic}^0(X_1(N)))[\ell^n] \subset (I_f \operatorname{J}_1(N))[\ell^n],$$

is in fact equality. Granting the equality, the second statement of the lemma follows quickly: the kernel is now $(I_f \operatorname{Pic}^0(X_1(N)))[\ell^n]$. That is, the kernel is $\operatorname{Pic}^0(X_1(N))[\ell^n] \cap I_f \operatorname{Pic}^0(X_1(N))$, which is stable under the Galois action: the first intersectand is stable because the Galois action on $\operatorname{Pic}^0(X_1(N))$ preserves ℓ^n -torsion, and the second is stable because the Galois and Hecke actions on $\operatorname{Pic}^0(X_1(N))$ commute.

To prove that the containment is equality, note that it is a containment of torsion of I_f -images, while if instead we were considering I_f -images of torsion then there would be nothing to show, i.e., $\operatorname{Pic}^0(X_1(N))[\ell^n] =$ $J_1(N)[\ell^n]$ and thus $I_f(\operatorname{Pic}^0(X_1(N))[\ell^n]) = I_f(J_1(N)[\ell^n])$. So the argument will relate the given containment of torsion of I_f -images to an equality of I_f -images of torsion, To do so, let $S_2 = S_2(\Gamma_1(N))$ and $H_1 = \operatorname{H}_1(X_1(N)_{\mathbb{C}}, \mathbb{Z}) \subset S_2^{\wedge}$. Thus $J_1(N) = S_2^{\wedge}/H_1$ and

$$I_f \mathcal{J}_1(N) = (I_f \mathcal{S}_2^{\wedge} + H_1) / H_1 \cong I_f \mathcal{S}_2^{\wedge} / (H_1 \cap I_f \mathcal{S}_2^{\wedge}).$$

Now suppose that $y \in (I_f J_1(N))[\ell^n]$. Then $y = x + H_1$ where by the previous display we may take

$$x \in I_f \mathcal{S}_2^{\wedge}$$
 and $\ell^n x \in H_1 \cap I_f \mathcal{S}_2^{\wedge}$.

Proposition 6.2.4 shows that $H_1 \cap I_f S_2^{\wedge}$ contains $I_f H_1$ as a subgroup of some finite index M. Consequently $H_1 \cap I_f S_2^{\wedge} \subset I_f M^{-1} H_1$. From the previous display and the containment, $\ell^n x \in I_f M^{-1} H_1$, and so

$$x \in I_f M^{-1} \ell^{-n} H_1.$$

That is, $x = Tx_0$ where $T \in I_f$ and $x_0 \in S_2^{\wedge}$ and $M\ell^n x_0 \in H_1$, and so $y = T(x_0 + H_1)$ where $x_0 + H_1 \in J_1(N)$ and $M\ell^n(x_0 + H_1) = 0$. In sum, our y from $(I_f J_1(N))[\ell^n]$ lies in $I_f(J_1(N)[M\ell^n])$, and we are set up to use the equality of I_f -images of torsion,

$$y \in I_f(J_1(N)[M\ell^n]) = I_f(\operatorname{Pic}^0(X_1(N))[M\ell^n]) \subset I_f\operatorname{Pic}^0(X_1(N)).$$

And since $\ell^n y = 0$ in fact $y \in (I_f \operatorname{Pic}^0(X_1(N)))[\ell^n]$. Thus the opposite containment is proved, establishing the desired equality. As explained above, the proof of the lemma is complete."

Page 391: Replace the two lines before Lemma 9.5.3 with "Since the Tate module Ta_ℓ(A_f) ≅ Z_ℓ^{2d} is a module over O_f, the tensor product

$$V_{\ell}(A_f) = \operatorname{Ta}_{\ell}(A_f) \otimes \mathbb{Q} \cong \mathbb{Q}_{\ell}^{2d}$$

is a module over $\mathcal{O}_f \otimes \mathbb{Q} = \mathbb{K}_f$. Also, it is a module over \mathbb{Q}_ℓ , with the two actions commuting and with the restrictions of the two actions to \mathbb{Q} agreeing. Thus $V_\ell(A_f)$ is a module over $\mathbb{K}_f \otimes_{\mathbb{Q}} \mathbb{Q}_\ell$."

Replace the proof of the lemma with "Since $\operatorname{Ta}_{\ell}(A_f)$ is the inverse limit of the torsion groups $A_f[\ell^n]$, we need to describe $A_f[\ell^n]$ in a fashion that will help establish the freeness.

As above, let $S_2 = S_2(\Gamma_1(N))$ and let $H_1 = H_1(X_1(N)_{\mathbb{C}}, \mathbb{Z}) \subset S_2^{\wedge}$. Consider the quotients $\overline{S_2^{\wedge}} = S_2^{\wedge}/I_f S_2^{\wedge}$ and $\overline{H}_1 = (H_1 + I_f S_2^{\wedge})/I_f S_2^{\wedge}$, both \mathcal{O}_f -modules. Compute that

$$\begin{aligned} A_f &= \mathcal{J}_1(N)/I_f \mathcal{J}_1(N) = \left(\mathcal{S}_2^{\wedge}/H_1\right) / \left((I_f \mathcal{S}_2^{\wedge} + H_1)/H_1\right) \\ &\cong \mathcal{S}_2^{\wedge}/(I_f \mathcal{S}_2^{\wedge} + H_1) \\ &\cong \left(\mathcal{S}_2^{\wedge}/I_f \mathcal{S}_2^{\wedge}\right) / \left((H_1 + I_f \mathcal{S}_2^{\wedge})/I_f \mathcal{S}_2^{\wedge}\right) = \overline{\mathcal{S}_2^{\wedge}}/\overline{H}_1. \end{aligned}$$

Thus $A_f[\ell^n] \cong \ell^{-n}\overline{H}_1/\overline{H}_1$ for any $n \in \mathbb{Z}^+$. The \mathcal{O}_f -linear isomorphisms $\ell^{-n}\overline{H}_1/\overline{H}_1 \longrightarrow \overline{H}_1/\ell^n\overline{H}_1$ induced by multiplication by ℓ^n on $\ell^{-n}\overline{H}_1$ assemble to give an isomorphism of $\mathcal{O}_f \otimes \mathbb{Z}_\ell$ -modules,

$$\operatorname{Ta}_{\ell}(A_f) = \lim_{\stackrel{\leftarrow}{n}} \{A_f[\ell^n]\} = \lim_{\stackrel{\leftarrow}{n}} \{\ell^{-n}\overline{H}_1/\overline{H}_1\} \cong \lim_{\stackrel{\leftarrow}{n}} \{\overline{H}_1/\ell^n\overline{H}_1\} \cong \overline{H}_1 \otimes \mathbb{Z}_{\ell},$$

where the transition maps in the last inverse limit are the natural projection maps.

The fact that A_f is a complex torus of dimension d and the calculation a moment ago that $A_f \cong \overline{\mathcal{S}_2^{\wedge}}/\overline{H}_1$ combine to show that the \mathcal{O}_f -module $\overline{H}_1 \cong H_1/(H_1 \cap I_f \mathcal{S}_2^{\wedge})$ has \mathbb{Z} -rank 2d. Since \mathbb{K}_f is a field, $\overline{H}_1 \otimes \mathbb{Q}$ is a free \mathbb{K}_f -module whose \mathbb{Q} -rank is 2d and whose \mathbb{K}_f -rank is therefore 2. Consequently, $\overline{H}_1 \otimes \mathbb{Q}_\ell = \overline{H}_1 \otimes \mathbb{Q} \otimes_{\mathbb{Q}} \mathbb{Q}_\ell$ is free of rank 2 over $\mathbb{K}_f \otimes_{\mathbb{Q}} \mathbb{Q}_\ell$. So finally,

$$V_{\ell}(A_f) = \operatorname{Ta}_{\ell}(A_f) \otimes \mathbb{Q} \cong \overline{H}_1 \otimes \mathbb{Z}_{\ell} \otimes \mathbb{Q} \cong \overline{H}_1 \otimes \mathbb{Q}_{\ell}$$

is an isomorphism of $\mathbb{K}_f \otimes_{\mathbb{Q}} \mathbb{Q}_\ell$ -modules, and the proof is complete."

- Page 396, line (-11): Change " $\lambda \in \mathcal{O}_{\mathbb{K}_f}$ " to " $\lambda \subset \mathcal{O}_{\mathbb{K}_f}$ ".
- Page 397, line 11: For the Fermat equation, it is understood that ℓ is an odd prime.

Hints and Answers to the Exercises

- Page 410, hint to Exercise 5.3.1: Replace " $M_{p^e} \cup \begin{bmatrix} p & 0 \\ 0 & p \end{bmatrix} M_{p^{e-2}}$ " with " $M_{p^e} \cup \begin{bmatrix} j^{p-1} \\ 0 & p \end{bmatrix} M_{p^{e-2}} \begin{bmatrix} 1 & j \\ 0 & 1 \end{bmatrix}$ ".
- $\bigcup_{j=0}^{p-1} \begin{bmatrix} p & 0 \\ 0 & p \end{bmatrix} M_{p^{e-2}} \begin{bmatrix} 1 & j \\ 0 & 1 \end{bmatrix}$ • Page 414, hint to Exercise 7.7.1: Remove " $j_N = j(E_j/\langle Q_\tau \rangle)$ and since", remove " $j_N^{\sigma} = j(E_j/\langle Q_\tau \rangle)$ and", and change "In both cases the" to "The".

10