

SKETCH OF PICARD'S THEOREM

The writeup sketches a proof of one version of Picard's Theorem: *Any entire function that misses at least two points,*

$$f : \mathbb{C} \longrightarrow \mathbb{C} - \{p, q\},$$

is constant. After an affine shift, we may assume that $p = 0$ and $q = 1$.

Let \mathcal{H} denote the complex upper half-plane, and let Γ denote the group $\mathrm{SL}_2(\mathbb{Z})$ of 2-by-2 matrices with integral entries and determinant 1. Then Γ acts on \mathcal{H} via fractional linear transformations,

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} (\tau) = \frac{a\tau + b}{c\tau + d}, \quad \tau \in \mathcal{H}.$$

Let $\zeta_3 = e^{2\pi i/3}$. There is a complex-analytic covering map

$$j : \mathcal{H} - (\Gamma(i) \cup \Gamma(\zeta_3)) \longrightarrow \mathbb{C} - \{0, 1\}.$$

Specifically,

$$j = \frac{g_2^3}{g_2^3 - 27g_3^2},$$

where for any $\tau \in \mathcal{H}$,

$$g_2(\tau) = 60 \cdot \sum'_{(c,d)} \frac{1}{(c\tau + d)^4}, \quad g_3(\tau) = 140 \cdot \sum'_{(c,d)} \frac{1}{(c\tau + d)^6}.$$

Here the sums are over pairs $(c, d) \in \mathbb{Z}^2$ and the primes mean to exclude $(0, 0)$ as a summand.

Given an entire function $f : \mathbb{C} \longrightarrow \mathbb{C} - \{0, 1\}$, fix a point $z \in \mathbb{C}$. Consider any path from 0 to z ,

$$\beta_z : [0, 1] \longrightarrow \mathbb{C}, \quad \beta(0) = 0, \quad \beta(1) = z.$$

Compose with f to get a path in $\mathbb{C} - \{0, 1\}$ with the corresponding endpoints,

$$\gamma_z = f \circ \beta_z : [0, 1] \longrightarrow \mathbb{C} - \{0, 1\}, \quad \gamma_z(0) = f(0), \quad \gamma_z(1) = f(z).$$

Let $w_0 = f(0)$, and choose any $\tau_0 \in \mathcal{H} - (\Gamma(i) \cup \Gamma(\zeta_3))$ such that $j(\tau_0) = w_0$. By general topology, the path γ_z has a unique lift δ_z starting at τ_0 ,

$$\delta_z : [0, 1] \longrightarrow \mathcal{H} - (\Gamma(i) \cup \Gamma(\zeta_3)), \quad \delta_z(0) = \tau_0, \quad j \circ \delta_z = \gamma_z.$$

Then *the endpoint $\delta_z(1)$ of δ_z depends only on the endpoint z of the initial path β_z from 0 to z , not on the choice of β_z itself.* Indeed, since any two paths from 0 to z are homotopic in \mathbb{C} , the homotopy type of β_z depends only on z . Homotopy passes through continuous functions, so the homotopy type of the path $\gamma_z = f \circ \beta_z$ from $w_0 = f(0)$ to $f(z)$ in $\mathbb{C} - \{0, 1\}$ depends only on z as well. From topology, the endpoint of the lift δ_z in $\mathcal{H} - (\Gamma(i) \cup \Gamma(\zeta_3))$ starting at τ_0 now depends only on z in turn, as desired. In general, paths γ from $f(0) = w_0$ to $f(z)$ in $\mathbb{C} - \{0, 1\}$ need not be homotopic, and so their lifts δ starting at τ_0 can have different endpoints. That is, the argument of this paragraph relies on the function f being continuous

and having a simply connected domain. But we haven't yet used the full force of the hypothesis that f is entire.

With the endpoint of the path δ_z known to depend only on z , define now the function taking each z to the corresponding endpoint,

$$F : \mathbb{C} \longrightarrow \mathcal{H}, \quad F(z) = \delta_z(1).$$

Since the covering map j is analytic, so are its local inverses, and so the lifted endpoint $F(z) = \delta_z(1)$ is analytic as a function of the nonlifted endpoint $f(z) = \gamma_z(1)$, which itself is analytic as a function of z . That is, F is entire.

Being an entire function into the upper half plane, F is constant. But the only way for the lifting process that produces F to give a constant is for f to be constant. This completes the (sketched) argument.