

## KLEIN'S $j$ -FUNCTION

Since the  $j$ -function can be used to prove Picard's Theorem, we quickly establish some of its properties.

For any lattice  $\Lambda \subset \mathbf{C}$  and for any even integer  $k \geq 4$ , recall the Eisenstein series

$$G_k(\Lambda) = \sum'_{\omega \in \Lambda} \frac{1}{\omega^k}.$$

For any such  $\Lambda$  and  $k$ , and for any nonzero complex number  $m \in \mathbf{C}^\times$ , the following homogeneity relation is immediate:

$$G_k(m\Lambda) = m^{-k} G_k(\Lambda).$$

Indeed, lattices are *modules* and homogeneous functions are called *forms*, so that Eisenstein series are among the earliest examples of functions called *modular forms*.

For any  $\tau \in \mathcal{H}$ , introduce notation for the lattice spanned by  $\tau$  and 1,

$$\Lambda_\tau = \tau\mathbf{Z} \oplus \mathbf{Z}.$$

Define the Eisenstein series of the variable  $\tau \in \mathcal{H}$  to be the corresponding lattice Eisenstein series,

$$G_k(\tau) = G_k(\Lambda_\tau), \quad k \geq 4 \text{ even}.$$

This Eisenstein series of a complex variable satisfies a transformation law. Take any automorphism of  $\mathcal{H}$  with integer coefficients,

$$\gamma = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \mathrm{SL}_2(\mathbf{Z}).$$

Then

$$\begin{aligned} G_k(\tau) &= G_k(\Lambda_\tau) \\ &= G_k(\tau\mathbf{Z} \oplus \mathbf{Z}) \\ &= G_k((a\tau + b)\mathbf{Z} \oplus (c\tau + d)\mathbf{Z}) \\ &= G_k((c\tau + d) \left( \frac{a\tau + b}{c\tau + d} \mathbf{Z} \oplus \mathbf{Z} \right)) \\ &= (c\tau + d)^{-k} G_k\left(\frac{a\tau + b}{c\tau + d} \mathbf{Z} \oplus \mathbf{Z}\right) \\ &= (c\tau + d)^{-k} G_k(\Lambda_{\gamma\tau}) \\ &= (c\tau + d)^{-k} G_k(\gamma\tau). \end{aligned}$$

That is, the Eisenstein transformation law is

$$G_k(\gamma\tau) = (c\tau + d)^k G_k(\tau), \quad \gamma = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \mathrm{SL}_2(\mathbf{Z}), \quad \tau \in \mathcal{H}.$$

Next, recall the notational conventions  $g_2 = 60G_4$  and  $g_3 = 140G_6$ . The *discriminant function* is

$$\Delta : \mathcal{H} \longrightarrow \mathbf{C}, \quad \Delta(\tau) = g_2(\tau)^3 - 27g_3(\tau)^2.$$

The transformation law for the discriminant is

$$\Delta(\gamma\tau) = (c\tau + d)^{12} \Delta(\tau), \quad \gamma = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \mathrm{SL}_2(\mathbf{Z}), \quad \tau \in \mathcal{H}.$$

Klein's  $j$ -function is

$$j : \mathcal{H} \longrightarrow \mathbf{C}, \quad j(\tau) = 1728 \frac{g_2(\tau)^3}{\Delta(\tau)}.$$

The transformation law for the  $j$ -function and the fact that  $\mathrm{SL}_2(\mathbf{Z})$  is called the *modular group* combine to explain why  $j$  is called the *modular invariant*,

$$j(\gamma\tau) = j(\tau), \quad \gamma = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \mathrm{SL}_2(\mathbf{Z}), \quad \tau \in \mathcal{H}.$$

As we have seen before, the change of variable

$$q = e^{2\pi i\tau}$$

maps the upper half plane  $\mathcal{H}$  to the unit disk  $D$  and has horizontal period one. Also, the condition  $\mathrm{Im}(\tau) \rightarrow +\infty$  in  $\mathcal{H}$  is equivalent to the condition  $q \rightarrow 0$  in  $D$ . It can be shown that

$$j(\tau) \sim \frac{1}{q} \quad \text{as } \mathrm{Im}(\tau) \rightarrow +\infty.$$

That is, in some sense  $j$  has a simple pole at  $i\infty$ .

On the other hand,  $j$  has no poles in  $\mathcal{H}$ . To see this, let  $\tau \in \mathcal{H}$ . Let  $g_2$  and  $g_3$  be the relevant Eisenstein series for the lattice  $\Lambda_\tau$ , let  $\wp$  be the Weierstrass function for  $\Lambda_\tau$ , and consider the cubic polynomial

$$f(x) = 4x^3 - g_2x - g_3 = 4(x - \wp(\tau/2))(x - \wp(1/2))(x - \wp((\tau+1)/2)).$$

The roots  $\wp(\tau/2)$ ,  $\wp(1/2)$ , and  $\wp((\tau+1)/2)$  are distinct because  $\wp$  takes these values at least twice each (since  $\wp'$  vanishes at half-lattice points), and in general  $\wp$  takes all of its values with total multiplicity two. So, the roots of  $f$  are distinct, meaning that the discriminant of  $f$  is nonzero. But up to a multiplicative constant, the discriminant is

$$\mathrm{disc}(f) = \begin{vmatrix} 4 & 0 & -g_2 & -g_3 & 0 \\ 0 & 4 & 0 & -g_2 & -g_3 \\ 12 & 0 & -g_2 & 0 & 0 \\ 0 & 12 & 0 & -g_2 & 0 \\ 0 & 0 & 12 & 0 & -g_2 \end{vmatrix} = -64(g_2^3 - 27g_3^2) = -64\Delta(\tau).$$

Thus,  $\Delta(\tau) \neq 0$  for all  $\tau \in \mathcal{H}$ , and so  $j$  has no poles in  $\mathcal{H}$ .

The set

$$X = \mathrm{SL}_2(\mathbf{Z}) \backslash \mathcal{H} \cup \{i\infty\}$$

can be given the structure of a compact Riemann surface. Once this is done, the  $j$ -function is a complex analytic isomorphism from  $X$  to the Riemann sphere,

$$j : X \xrightarrow{\sim} \widehat{\mathbf{C}}.$$