

PERMUTATION POLYTOPES AND INDECOMPOSABLE ELEMENTS IN PERMUTATION GROUPS

ROBERT GURALNICK AND DAVID PERKINSON

ABSTRACT. Each group G of $n \times n$ permutation matrices has a corresponding permutation polytope, $P(G) := \text{conv}(G) \subset \mathbb{R}^{n \times n}$. We relate the structure of $P(G)$ to the transitivity of G . In particular, we show that if G has t nontrivial orbits, then $\min\{2t, \lfloor n/2 \rfloor\}$ is a sharp upper bound on the diameter of the graph of $P(G)$. We also show that $P(G)$ achieves its maximal dimension of $(n-1)^2$ precisely when G is 2-transitive. We then extend the results of Pak [22] on mixing times for a random walk on $P(G)$. Our work depends on a new result for permutation groups involving writing permutations as products of indecomposable permutations.

1. INTRODUCTION

Let G be a subgroup of S_n , the symmetric group on $\{1, 2, \dots, n\}$. Via the usual representation of G as a group of $n \times n$ permutation matrices, each element of G may be considered as an element of \mathbb{R}^{n^2} . The convex hull in \mathbb{R}^{n^2} of the elements of G is $P(G)$, the *permutation polytope* associated with G . Permutation polytopes and their linear projections have been studied extensively due to their connection to problems in combinatorial optimization [5], [6], [20], [24]. The most well-known example is the case where $G = S_n$ with corresponding permutation polytope called the n -th *Birkhoff polytope* or the n -th *assignment polytope* [9], [10], [24]. Even here there are open problems [22]; for instance, its volume is known only up to $n = 10$ [7]. Some newer applications of permutation polytopes are to group resolutions [13] and communications networks [17], [23].

The main concern of this paper is to establish links between (algebraic) properties of an arbitrary permutation group G and (geometric) properties of its corresponding permutation polytope $P(G)$. We are

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especially interested in ways in which the transitivity of G is reflected in its polytope. First, Theorem 2.1, shows that every element of a transitive permutation group can be written as a product of at most two so-called indecomposable elements (see §2 for definitions). The geometric consequence is Corollary 3.7: if G has t non-trivial orbits, then the diameter of $P(G)$, i.e., the diameter of the edge graph of $P(G)$, is bounded by $\min\{2t, \lfloor n/2 \rfloor\}$. Thus, if G is transitive, the diameter of $P(G)$ is at most 2. This generalizes previous work establishing the diameters of the Birkhoff polytopes [4], [25] and the diameters of the polytopes corresponding to the groups of even permutations [11]. In the language of Babai et al. [3], we have bounded the diameter of *the group* G with respect to the set of generators consisting of its indecomposable elements.

Corollary 3.7 relies on Theorem 3.5 characterizing the smallest face of a permutation polytope containing two prescribed vertices (group elements) in terms of their cycle structure. In particular, we characterize the edges of a permutation polytope, as previously known for the Birkhoff polytopes [21] and for the polytopes corresponding to the groups of even permutations [11]. The special case $G = S_n$ in Theorem 3.5 is Proposition 2.1 in [8].

The other main result concerning transitivity is Corollary 3.4, showing that the dimension of $P(G)$ is bounded by $(n - 1)^2$ with equality if and only if G is 2-transitive. The dimension of the n -th Birkhoff polytope is known to equal the maximum value, $(n - 1)^2$, by an easy calculation in linear algebra. With more work, one may similarly show that the maximum dimension is achieved when G is the collection of all even permutations and $n \geq 4$ [11]. Corollary 3.4 generalizes these results and provides a conceptual explanation.

In the final section of the paper, we generalize the results of [22] about the mixing time of random walks on these polytopes. This says that random products of indecomposable elements tend to the uniform distribution very quickly for G primitive (Pak [22] handles the case of the Birkhoff polytope).

The results in this paper stem from systematic experimentation using the computer programs GAP [14] for group theory and Polymake [15] for polytopes.

2. PERMUTATION GROUPS

Let G be a permutation group acting faithfully on a (finite) set X . We say $g \in G$ is indecomposable if $g \neq xy$ where x, y are nontrivial elements of G and $M(x) \cap M(y)$ is empty, where $M(x)$ is the *support*

of x : the set of points of X moved by x . Let $F(x)$ be the set of fixed points of x and $f(x) = |F(x)|$.

We shall prove:

Theorem 2.1. *Let G be transitive on X . Then every element of G is a product of at most 2 indecomposable elements.*

In fact, for inductive purposes, it is better to prove a slightly stronger result:

Theorem 2.2. *Let G be transitive on X . Then every element of G is a product of two elements, each indecomposable and at least one fixed point free.*

We will prove this result in the next few subsections. We first show that it suffices to assume that G acts primitively on the set X (i.e. preserves no nontrivial partition of X).

We then show that the result holds when the group is primitive and not almost simple (recall a group is almost simple if it has a unique minimal normal subgroup that is a nonabelian simple group).

Finally, we show that in the almost simple case, aside from the case that G contains $\text{Alt}(X)$, every element is indecomposable (whence the result follows since fixed point free elements in a finite transitive permutation group always exist). The result in the case $G = \text{Alt}(X)$ or $\text{Sym}(X)$ is elementary.

We do have to invoke the classification of finite simple groups to handle the case that G is almost simple. The key result we use is the classification of primitive permutation groups containing a nontrivial element with $f(x) \geq |X|/2$.

We first point out some easy consequences of Theorem 2.2 using the following lemma.

Lemma 2.3. *Suppose that $X = Y \cup Z$ is a finite G -set with Y and Z invariant under G . Let N be the normal subgroup of G acting trivially on Y . If every element of G/N acting on Y can be written as a product of r indecomposables and every element of N can be written as a product of s indecomposables, then every element of G is a product of $r + s$ indecomposables.*

Proof. If $g \in G$, let g_Y denote g considered as permutation on Y .

We claim that if $g \in G$ and g_Y is indecomposable, then gn is indecomposable for some $n \in N$.

Proof of Claim: If g is indecomposable, we are done. If not, write $g = hu$ where $M(h) \cap M(u)$ is empty and h is not in N . Since g_Y is indecomposable, $h_Y = g_Y$ and $u \in N$. Thus, $h \in gN$ is indecomposable.

The claim implies that we can write $g \in G$ as a product of r indecomposables (or fewer) times an element of N . By assumption, the element in N can be written as a product of s indecomposables (in N and thus also in G). \square

Corollary 2.4. *If $G \leq S_n$, then every element of G can be written as a product of $2t$ indecomposables where t is the number of nontrivial orbits of G .*

Corollary 2.5. *If $G \leq S_n$, then every element of G can be written as a product of $\lfloor n/2 \rfloor$ indecomposables.*

Proof. By induction and the lemma above, it suffices to consider the case that G is transitive. By the theorem, the result holds for $n \geq 4$. Inspection shows that for $n \leq 3$, every nontrivial element is indecomposable. \square

2.1. Reduction to the Primitive Case. Let G be a group acting faithfully and transitively on the finite set X . Let $n = |X| > 1$.

Lemma 2.6. *Let $Y := \{X_1, \dots, X_m\}$ be a nontrivial G -invariant partition of X . Let N be the normal subgroup of G preserving each X_i . Let $g \in G$.*

- (1) *If gN is fixed point free and indecomposable on Y , then every element in gN is fixed point free and indecomposable on X .*
- (2) *If gN is indecomposable on Y , then there is some element in gN that is indecomposable on X .*

Proof. We prove both statements simultaneously. Reordering if necessary, we may assume that g moves the sets X_1, \dots, X_e and fixes the other X_i . Assume also that gN is indecomposable on Y .

Suppose that $g = xy$ where $M(x) \cap M(y)$ is empty. Then $gN = xNyN$ and xN and yN cannot move a common X_i . Since gN is indecomposable, we may assume that $gN = xN$ and $yN = N$. Thus, $x \in gN$ and the second statement holds.

Moreover, since x and y share no moved points, y must be trivial on each block moved by g . So if gN has no fixed points on Y , then $y = 1$ and $g = x$ is indecomposable. \square

An immediate consequence is:

Corollary 2.7. *Suppose that (G, X) is a counterexample to Theorem 2.2 with $|X|$ minimal. Then G acts primitively on X .*

Proof. If G preserves a nontrivial partition Y on X , let N be the normal subgroup acting trivially on the partition. By the previous result,

$(G/N, Y)$ is a counterexample to Theorem 2.2, contradicting the minimality of $|X|$. \square

We deal with the case that G acts primitively on X in the next two subsections.

2.2. Primitive Groups I. In this subsection, we assume that G is not almost simple and acts primitively (and faithfully) on the finite set X of cardinality n .

The structure of finite primitive groups is quite constrained. See [2] for a detailed description.

Recall that a transvection is a nontrivial unipotent linear transformation which is trivial on a hyperplane.

Theorem 2.8. *Assume that G contains a regular normal subgroup N . Then one of the following holds:*

- (1) *Every element of G is indecomposable.*
- (2) *N is an elementary abelian 2-group of order $2^a \geq 4$ and $G = NH$ where H is a subgroup of $\text{GL}(a, 2) = \text{Aut}(N)$ acting irreducibly on N and containing transvections.*

Moreover, G satisfies the conclusion of Theorem 2.2.

Proof. It follows by [2] that N is a direct product of isomorphic copies of a simple group L . If $g \in G$ has a fixed point, then as g -set, we can identify X with N and the fixed points of g are identified with $C_N(g)$. Unless $|L| = 2$, any proper subgroup of N has index at least 3, so for $1 \neq g$, the proportion of fixed points is at most $1/3$. Thus, $M(x) \cap M(y)$ is nonempty for any two nontrivial elements in G and so (1) holds.

So N is an elementary abelian 2-group of order 2^a . If $a = 1$, then G is cyclic of order 2 and the result hold. If $a > 1$, the argument of the previous paragraph applies and we see that $f(g) \leq n/2$ with equality if and only if g induces a transvection acting on N . Thus either (1) or (2) hold.

So it suffices to prove the last statement in the case $G = NH$ where $|N| = n = 2^a \geq 4$ and $G = NH$ with H acting irreducibly and faithfully on N and containing transvections. Note that if $x \in G$ is decomposable, then $x = uv$ where u, v are involutions fixing precisely one half the points of X . Moreover, u and v commute and the fixed point sets of u and v must be disjoint. Thus, x is a fixed point free involution.

If $a = 2$, then $G = S_4$ and the result holds by inspection. So assume that $a > 2$ and g is a fixed point free involution.

First suppose that $g \in N$. Choose $h_1, h_2 \in H$ that are noncommuting transvections (if all transvections in H commute they would generate a normal unipotent subgroup of H and this contradicts the irreducibility of H). So h_1h_2 has order 3 and $\langle h_1, h_2 \rangle$ centralizes a subgroup N_0 a subgroup of index 4 in N . Let $1 \neq v \in N_0$ (this is possible since $a > 2$). Then $h := h_1h_2v$ has order 6 and is fixed point free (since $h^3 = v$ is). Finally, we see that $g = h(h^{-1}g)$ and $h^{-1}g$ has order a multiple of 3 and so is indecomposable.

Finally, suppose that g is a fixed point free involution not in N . Let h_1 and h_2 be noncommuting transvections in H . Choose $v_i \in N, 1 \leq i \leq 2$ so that $w_i := h_i v_i$ has order 4 (and so is fixed point free and indecomposable). Let v be a nontrivial element of N_0 (as in the previous paragraph). Set $w_3 := h_1 h_2 v$. So w_3 has order 6 and is fixed point free.

We claim that g cannot invert each of w_1, w_2 and w_3 – for if so, then g would invert each element in G/N and $\langle w_1N, w_2N \rangle$ is isomorphic to S_3 . So choose a w_i not inverted by g . Then $g = (gw_i)w_i^{-1}$. Since gw_i does not have order 2, it is indecomposable and we have noted already that w_i is indecomposable and fixed point free.

This completes the proof. \square

There are few irreducible groups containing transvections. See [19]. If G is a solvable primitive permutation group of degree n , then G does contain a regular normal subgroup. Thus, using the previous result and [19] yields:

Corollary 2.9. *If G is a primitive solvable subgroup of S_n , then one of the following holds:*

- (1) *Every element of G is indecomposable;*
- (2) *$n = 4$ and $G = S_4$; or*
- (3) *$n = 16$ and G has a normal regular elementary abelian subgroup N of order 16 and $G/N = O_4^+(2)$.*

We can now handle all primitive groups other than the almost simple groups.

Theorem 2.10. *Assume that G acts faithfully and primitively on the set X of cardinality $n > 1$. Assume that G is not almost simple. Every element of G can be written as a product of two indecomposable elements, one of which is fixed point free.*

Proof. By the previous result, we may assume that G does not contain a regular normal subgroup. We may also assume that some nontrivial element of G fixes at least $n/2$ points. It follows by the structure of

primitive groups [2], the previous result and [16] that G preserves a Cartesian product structure on X .

More precisely, we can write

$$X = X_1 \times \dots \times X_m,$$

where $m > 1$, $|X_i| = e \geq 5$ and $G \leq T := S_e \wr S_m = W.S_m$ where

$$W = S_e \times \dots \times S_e$$

acting coordinatewise on X and S_m permutes the coordinates. Furthermore, G has a unique minimal normal subgroup

$$N := L_1 \times \dots \times L_m$$

where $L_i \cong L$ is a nonabelian simple and L_i acts on X_i and trivially on X_j for $j \neq i$.

Let W_i be the i th copy of S_e in W .

We claim that $g \in G$ is decomposable implies $g \in W_i$ for some i . It suffices to show that this is the case for T . Suppose that $x, y \in T$ are nontrivial elements and $M(x) \cap M(y)$ is empty. Suppose that x acts on an X_i and y on an X_j with $j \neq i$. Choose $a \in X_i$ moved by x and $b \in X_j$ moved by y . Then any point of X whose i th coordinate is a and j th coordinate is b is moved by x and y , a contradiction.

This shows that if x and y are both in W , then they are both in W_i for some i and so also xy . If neither x nor y is in W , then x and y each move at least $n - n/e > n/2$ points and so $M(x) \cap M(y)$ is nonempty. Finally, suppose that x is not in W and $y \in W$. Arguing as above, we see that it suffices to consider the case that x permutes the X_i transitively. Say y is nontrivial on X_1 and moves $a \in X_1$. Then x cannot fix all points of X with first coordinate a and so $M(x) \cap M(y)$ is empty.

This proves the claim.

We now complete the proof of the result.

Let $g \in G$. If g is not in W , then choose $h \in N$ with h not in $N \cap W_i = L_i$ for any i and h fixed point free (just choose $h_1 \in L_1$ fixed point free and h_2 nontrivial). Then $g = h(h^{-1}g)$ is the desired decomposition ($h^{-1}g$ is not in W and so indecomposable). If $g \in W$, we choose a similar h guaranteeing that $h^{-1}g$ is not in W_i for any i . \square

2.3. Almost Simple Groups. We now consider almost simple groups. So G is an almost simple group and has socle S and acts transitively on X of cardinality $n > 1$.

We first deal with the cases $G = A_n$ or S_n . Note that the lemma is just the theorem for these groups.

Lemma 2.11. (1) *Any element of S_n can be written as a product of an n -cycle and a k -cycle for some k .*

(2) *If n is even, then every element of A_n can be written as product xy where x has exactly two orbits each of even length and y is a k -cycle or y has precisely two nontrivial orbits each of even length.*

Proof. Suppose that g has k orbits.

Let h be a k -cycle moving precisely one point in each g -orbit. Then gh is an n -cycle, whence (1) holds.

Now suppose that n is even and $g \in A_n$. If $g = 1$, the result is clear. Otherwise, write $g = xy$ where x is an n -cycle and y is a k -cycle. Necessarily k is even and the construction above shows that we can take $k < n$.

Let t be a transposition moving at least 1 point fixed by y . Then xt has precisely 2 orbits and we can pick t so that each of the orbits is even. Then ty is either a $k+1$ cycle (if t and y are not disjoint) or has two nontrivial orbits (of length 2 and k). So $g = (xt)(ty)$, whence (2) holds. \square

If no element fixes at least half the points, then clearly every element is indecomposable. By [16], the only cases to consider are dealt with in the next three lemmas.

Lemma 2.12. *Let $G = A_n$ or S_n with $n \geq 5$ acting on X , the set of k -sets for some k with $1 < k < n/2$. Then every element of G is indecomposable.*

Proof. We show that for x, y nontrivial, $M(x)$ and $M(y)$ have a nonempty intersection. Let $Y = \{1, 2, \dots, n\}$. If $x \in G$ and $j \in Y$, we write xj for the image of j under x .

First suppose that x and y move a common point in the natural representation. So we may assume that x and y each move 1. Let D be a k -set containing 1 but missing $x1$ and $y1$. Then x and y both move D .

Suppose that x and y move no common point in Y . So we may assume that x moves 1 and y moves 2. Let D be a k -set containing 1, 2 but not containing $x1$ and $y2$. Then x and y both move D . \square

Lemma 2.13. *Let $G = \mathrm{Sp}(2d, 2)$ with $d \geq 3$. Let X be the coset space G/H where $H = \mathrm{O}^-(2d, 2)$ (note that this is the set of nondegenerate hyperplanes of $-$ type in the $2d+1$ dimensional orthogonal module for G). Every element of G is indecomposable on X .*

Proof. Suppose that $M(x) \cap M(y)$ for x, y nontrivial in G . It is easy to see (cf [16]) that every nontrivial element other than a transvection

moves more than $|X|/2$ elements. So we choose notation so that x is a transvection and $y \neq x$. Let $P = C_G(x)$. Then P is a maximal parabolic subgroup of G . Then y fixes each coset of H moved by x . The same is true for any P -conjugate of y and so $J := \langle y^P \rangle$ does as well. So P normalizes J . Now J is proper in G and so as G is simple and P is maximal, J is a nontrivial normal subgroup of P . The subgroup generated by x is the unique minimal normal subgroup of P and so $x \in J$. However, x certainly moves all the points of $M(x)$ and this contradiction completes the proof. \square

Lemma 2.14. *Let $G^\epsilon = O^\epsilon(2d, 2)$ with $d > 2$. Let X be the set of singular vectors (if $\epsilon = -$) or the set of nonsingular vectors (if $\epsilon = +$). Every element of G^ϵ is indecomposable on X .*

Proof. Let $J = \text{Sp}(2d, 2)$ and Y the J -set described in the previous lemma. Note that G^ϵ is a subgroup of J and so acts on Y . If $\epsilon = +$, then $Y \cong X$ at G^+ -sets. Also, G^- fixes one point of Y and the remaining orbit is isomorphic to X as a G^- set. Thus, the result follows from the previous lemma. \square

The previous three lemmas together with [16] immediately yields:

Theorem 2.15. *Let B be an almost simple group acting primitively on X . Then either every element of G is indecomposable or G contains $\text{Alt}(X)$.*

For almost simple groups, we can weaken the assumption of primitivity.

Theorem 2.16. *Let G be an almost simple group transitive permutation group of degree n and suppose that some element of G is decomposable. Then G is a symmetric group or alternating group of degree m for some m dividing n .*

Proof. If G is primitive on X , this follows from the previous result. Suppose that G is not primitive on X and some element $g \in G$ is decomposable on X . Write $g = g_1 g_2$ where the g_i are disjoint on X (and each nontrivial). Let S be the socle of G .

We induct on $|X|$. Let $Y = \{X_1, \dots, X_t\}$ be a nontrivial G -invariant partition of X with G primitive on Y . Let K be the normal subgroup of G acting trivially on Y . If $K = 1$, then G is faithful and primitive on Y , whence $G = \text{Alt}(Y)$ or $\text{Sym}(Y)$. Otherwise $S \leq K$ (since it is the unique minimal normal subgroup of subgroup of G containing S).

Assume that g_2 is not in K . Choose notation so that X_1, \dots, X_s with $s > 1$ is an orbit for g_2 and set $X' = X_1 \cup \dots \cup X_s$. Then g_2 is

fixed point free on this set and so g_1 must be trivial on this set. Since S leaves X' -invariant, it follows that the stabilizer of X' acts faithfully on X' , a contradiction.

So we may assume that g_1 and g_2 are both trivial on Y , whence they both act on X_1 and as above both act nontrivially on X_1 . So by induction, the result follows. \square

Note that the previous result actually gives more information with a little more effort—when G is an alternating or symmetric group, essentially the only maximal subgroup containing H is unique and is the stabilizer of a point in the natural permutation representation (being slightly careful when $m = 6$).

Combining the results on almost simple groups allows us to state a more precise version of Theorem 2.10. Note that in the proof of that theorem, we saw that the only decomposable elements were contained in a component L of G and in particular, the component would have to be a simple group that admits an action with decomposable elements. Indeed, it follows by [2] that this action corresponds to a primitive action of $N_G(L)/C_G(L)$ and so by the result on almost simple groups $L = A_d$.

Thus we have the following result that will be useful in the final section.

Theorem 2.17. *Let G be a primitive subgroup of S_n . One of the following holds:*

- (1) *Every element of G is indecomposable;*
- (2) *$G = A_n, n > 5$ or $S_n, n > 3$;*
- (3) *$n = d^t$ with $d \geq 5$ and $t \geq 2$, $G \leq S_d \wr S_t$ and G contains A_d^t ;*
- (4) *$n = 2^a, a > 2$, G contains a regular normal elementary abelian subgroup N and $G = NH$ where H is a point stabilizer and H is an irreducible subgroup of $\text{Aut}(N)$ containing transvections.*

3. PERMUTATION POLYTOPES

Now let G be any finite group, and let $\nu: G \rightarrow \text{GL}(\mathbb{R}^n)$ be a real representation. The *representation polytope* associated with ν is the convex hull of the image of ν , a subset of $\text{End}_{\mathbb{R}}(\mathbb{R}^n) \approx \mathbb{R}^{n^2}$:

$$P(\nu) := \text{conv}\{\nu(g) \in \mathbb{R}^{n^2} \mid g \in G\}.$$

For each $g \in G$, left multiplication by $\nu(g)$ defines a linear automorphism of \mathbb{R}^{n^2} sending $P(\nu)$ to itself and sending the image of the identity element of G to $\nu(g)$. Hence, the vertices of $P(\nu)$ are precisely the images of elements of G .

If G a subgroup of the symmetric group, S_n , we write $P(G)$ for $P(\nu_G)$ where ν_G is the natural representation of G as a group of $n \times n$ permutation matrices. In this case, we also identify each $g \in G$ with its image, $\nu(g) \in \mathbb{R}^{n^2}$. The polytope, $P(G)$, is called the *permutation polytope* associated with the permutation group G .

In this part of the paper, we establish two main results. First, we show that as G varies over subgroups of S_n , the corresponding polytope has maximal dimension $(n - 1)^2$ exactly when G is 2-transitive. Next, we characterize some faces of $P(G)$ and give a bound on the diameter of the edge graph of $P(G)$.

3.1. Dimension. We use the following standard theorem from representation theory:

Theorem 3.1 (Frobenius and Schur [12], §27.8). *Let G be a finite group, K an algebraically closed field, and $\rho_i: G \rightarrow \text{GL}(K^{n_i})$ for $i = 1, \dots, k$ a collection of pairwise non-isomorphic irreducible matrix representations of G . Let $x_{ij}^{(r)}$ denote the coordinate functions of ρ_r for each r . Then the set $\{x_{ij}^{(r)}\}_{i,j,r}$ of all coordinate functions is linearly independent over K .*

Let $\nu = \oplus_i \nu_i^{a_i}$ be the irreducible decomposition of ν over the complex numbers.

Theorem 3.2. *The dimension of the representation polytope $P(\nu)$ is*

$$\dim P(\nu) = \sum_{\nu_i \neq 1} (\deg \nu_i)^2,$$

the sum taken over all non-trivial components ν_i , not counting multiplicities.

Proof. Let $\mathbb{C}[G]$ denote the group algebra, and let ν_i be a representation of G on a complex vector space V_i for each i . There is a natural algebra homomorphism

$$\Gamma_\nu: \mathbb{C}[G] \rightarrow \oplus_i \text{End}_{\mathbb{C}}(V_i)^{a_i} \subset \text{End}_{\mathbb{C}}(\mathbb{C}^n)$$

determined by $g \mapsto \nu(g)$ for each $g \in G$ and extending linearly. The mapping Γ_ν further factors through the inclusion

$$\begin{aligned} \oplus_i \text{End}_{\mathbb{C}}(V_i) &\rightarrow \oplus_i \text{End}_{\mathbb{C}}(V_i)^{a_i} \\ \oplus_i \phi_i &\mapsto \oplus_i \phi_i^{a_i} \end{aligned}$$

where $\phi \in \text{End}_{\mathbb{C}}(V_i)$ for each i . The resulting mapping of $\mathbb{C}[G]$ into $\oplus_{i=1}^k \text{End}(V_i)$ is a surjection by Theorem 3.1.

Restricting Γ_ν to $\mathbb{R}[G]$, the polytope $P(\nu)$ is the convex hull of the image of G . Hence, the dimension of $P(\nu)$ will be the dimension of the

image of Γ_ν if the polytope contains the zero vector in its affine span and will be one less, otherwise. So it suffices to show that $P(\nu)$ does not contain $\vec{0}$ in its affine span, $\text{aff}(P(\nu))$, if and only if ν contains the trivial representation as an irreducible factor. First, suppose $\vec{0} \notin \text{aff}(P(\nu))$. The vector $\frac{1}{|G|} \sum_{g \in G} \nu(g)$ is an element of $P(\nu)$, hence nonzero, and its linear span is clearly G -invariant; thus, ν contains the trivial representation. Conversely, suppose that ν contains the trivial representation. Then there exists a nonzero $w \in \mathbb{C}^n$ such that $\nu(g)(w) = w$ for all $g \in G$. Given an arbitrary element $x = \sum_{g \in G} a_g \nu(g)$ in $\text{aff}(P(\nu))$, we have $x(w) = (\sum a_g)w = w$, hence, $x \neq \vec{0}$, as required. \square

Corollary 3.3. *If ν is a faithful representation, $P(\nu)$ is a simplex if and only if each irreducible representation of G appears up to isomorphism as a component in the irreducible decomposition of ν .*

Proof. Let $\nu = \bigoplus_i \nu_i^{a_i}$ be the irreducible decomposition of ν over \mathbb{C} . The polytope $P(\nu)$ is a simplex if and only if its dimension is one less than the number of vertices. In light of Theorem 3.2, the condition is equivalent to $|G| - 1 = \sum_{\nu_i \neq 1} (\deg \nu_i)^2$. However, a basic theorem of representation theory says that $|G| = \sum_\tau (\dim \tau)^2$ where the sum is over a full set of representatives of the isomorphism classes of irreducible representations of G (including the trivial representation). \square

If ν is not faithful, let $H = \{g \in G \mid \nu(g) = 1\}$. In this case, $P(\nu)$ is a simplex if and only if the irreducible decomposition of ν over \mathbb{C} contains each irreducible representation of G trivial on H .

Corollary 3.4. *Let $G \leq S_n$ be a subgroup having t orbits.*

- (1) $\dim P(G) \leq (n - t)^2$ with equality if and only if ν_G has at most one non-trivial factor in its irreducible decomposition;
- (2) $\dim P(G) \leq (n - 1)^2$ with equality if and only if G is 2-transitive.
- (3) The dimension of the Birkhoff polytope, B_n , is $(n - 1)^2$ for all $n \geq 1$.
- (4) The dimension of the polytope of even permutation matrices, A_n , is $(n - 1)^2$ for $n \geq 4$.

Proof. Consider the irreducible decomposition of the permutation representation $\nu_G = \bigoplus_i \nu_i^{a_i}$ over \mathbb{C} . It is well-known from representation theory that the number of copies of the trivial representation appearing in ν is the number of orbits, t ([12] §32.3). Let ν_1, \dots, ν_k be the non-trivial factors of ν_G . Then $\sum_{i=1}^k \deg \nu_i = n - t$ and by Theorem 3.2, the dimension of $P(G) = \sum_{\nu_i \neq 1} (\deg \nu_i)^2$. The sum is maximized when $k \leq 1$. This proves part 1.

For part 2, by standard representation theory of permutation groups, G is 2-transitive if and only if $\nu_G = 1 + \tilde{\nu}_G$ for some irreducible $\tilde{\nu}_G$ ([12] §32.5). Parts 3 and 4 then follow since the relevant groups are 2-transitive. \square

3.2. Faces. Let $G \leq S_n$ be a permutation group, and identify elements of G with $n \times n$ permutation matrices as usual. For $g, h \in G$, write $h \preceq g$ if the set of cycles of h is a subset of the set of cycles of g (so $M(h) \cap M(h^{-1}g)$ is empty). The element g is indecomposable when $h \preceq g$ always implies h is the identity or g .

Theorem 3.5. *The smallest face of $P(G)$ containing $g, h \in G$ is*

$$F_{\{g,h\}} := \text{conv} \{hk \in G \mid k \preceq h^{-1}g\}.$$

In particular, there is an edge connecting g and h if and only if $h^{-1}g$ is indecomposable.

Proof. By symmetry, we may assume that h is the identity, e , and show that the smallest face containing g and e is $\text{conv}\{k \in G \mid k \preceq g\}$. If $k \preceq g$, let $k' = k^{-1}g$. From $g = kk'$ with $k, k' \preceq g$, it follows that

$$(1) \quad e + g = k + k'.$$

Let $c \in \mathbb{R}^{n^2}$ and $b \in \mathbb{R}$ with Euclidean inner products $\langle c, g \rangle = \langle c, e \rangle = b$ and $\langle c, f \rangle \leq b$ for all $f \in G$; so c defines a face of $P(G)$ containing g and e . Equation 1 then implies that $\langle c, k \rangle = \langle c, k' \rangle = b$, too. Hence, any face containing g and e must also contain k and k' .

For any matrix $m \in \mathbb{R}^{n^2}$, define the *support* of m by

$$\text{supp}(m) = \{(i, j) \in \{1, \dots, n\}^2 \mid m_{ij} \neq 0\}.$$

Define the matrix $c \in \mathbb{R}^{n^2}$ by

$$c_{ij} = \begin{cases} 1 & \text{if } (i, j) \in \text{supp}(g + e), \\ 0 & \text{otherwise.} \end{cases}$$

It follows that $\langle c, g \rangle = \langle c, e \rangle = n$ and for any $f \in G$,

$$\langle c, f \rangle = \sum_{(i,j) \in \text{supp}(g+e)} f_{ij} \leq n$$

with equality if and only if $f \preceq g$. Hence, c defines a face—the smallest face, $F_{\{g,e\}}$ —containing both g and e . \square

Note that if $g = g_1 \dots g_t$ with $g, g_1 \dots, g_t \in G$ and such that the cycles of g_1, \dots, g_t are disjoint, then

$$g - e = \sum_{i=1}^t (g_i - e),$$

hence, g is affinely dependent on g_1, \dots, g_t .

A direct computation based on the theorem establishes the following known results [4], [25], [11]:

Corollary 3.6.

- (1) *The diameter of $P(S_n)$ is 1 for $n < 4$ and is 2 for $n \geq 4$.*
- (2) *The diameter of $P(A_n)$ is 1 for $n < 6$ and is 2 for $n \geq 6$.*

Corollaries 2.4 and 2.5 translate into bounds on the diameter of a permutation polytope.

Corollary 3.7. *Let $G \leq S_n$. The diameter of the polytope $P(G)$ is at most $\min\{2t, \lfloor n/2 \rfloor\}$, where t is the number of nontrivial orbits of G . In particular, if G is transitive, the diameter of $P(G)$ is at most 2.*

The bound is sharp. For example, take G to be the direct product of t copies of the dihedral group on 4 elements, naturally considered as a subgroup of S_{4t} .

4. MIXING TIMES

In this section, we consider random walks on permutation polytopes or equivalently on the Cayley graph of the permutation group G with the corresponding generating set consisting of the indecomposable elements of G . This problem was suggested to us by Pak. The question about the mixing time of random walks on 0-1 polytopes goes back some time. See the survey article [26].

We generalize his result here. First we recall some notation. (see [22]).

Let G be a finite group and S a symmetric generating set for G (i.e. $G = \langle S \rangle$ and $S = S^{-1}$). Let $Q^k(g)$ be the probability that a random product of k elements of S is equal to g . Similarly, define $Q^k(A)$ to be the probability that a random product of k elements of S is in the subset A of G . Let U denote the uniform distribution on G . Define the total variation distance,

$$d(k) := (1/2) \sum_{g \in G} |Q^k(g) - 1/|G|| = \max_{A \subseteq G} |Q^k(A) - U(A)|.$$

So $d(k)$ measures how far the probability distribution Q^k is from the uniform distribution on G .

We now consider the case that G is a subgroup of S_n and S is the set of indecomposable elements in G . Clearly, S is symmetric, $1 \in S$ and $G = \langle S \rangle$. We note that $Q^k \rightarrow U$ as $k \rightarrow \infty$ (i.e. $d(k) \rightarrow 0$; this is standard since $S = S^{-1}$ and the Cayley graph is not bipartite – see for example [1]).

Theorem 4.1. *Assume that G is primitive of degree n . If G does not contain A_n , then $d(1) \rightarrow 0$ as $n \rightarrow \infty$. In all cases, $d(2) \rightarrow 0$ as $n \rightarrow \infty$.*

Pak [22] proves this for the special case $G = S_n$. The proof of this theorem follows easily from §2.3 and Pak's result. Namely, by Theorem 2.17 one of the following holds:

- (1) $G = A_n$ or S_n ;
- (2) $n = 2^a$, G contains a regular normal subgroup N (elementary abelian of order 2^a) and a point stabilizer $H \leq \text{Aut}(N)$ contains transvections and acts irreducibly on N ;
- (3) $n = d^t$ with $d \geq 5$, $t \geq 2$, G has a unique minimal normal subgroup $N = L \times \dots \times L$ where $L \cong A_d$ and all decomposable elements of G are contained in one of the t minimal normal subgroups of N ; or
- (4) Every element of G is indecomposable.

First note, that if $d(1) \rightarrow 0$, it follows easily that $d(2) \rightarrow 0$.

In the first case, Pak [22] proved the result for S_n . A trivial modification of his proof shows that the result also holds for A_n . As Pak points out, his proof used a well-known but unpublished result of Lulov about the sum of the inverses of the degrees of the irreducible representations of the symmetric groups. A stronger version of this theorem is in Corollary 2.7 of [18].

Set $Y := G \setminus S$. So we only need prove that $|Y|/|G| \rightarrow 0$ as $n \rightarrow \infty$ in cases 2,3 and 4.

In the fourth case, Y is empty.

Consider the second case.

In the second case, the only decomposable elements are fixed point free involutions (for they must be the product of two elements each moving precisely 1/2 the points and moving no common points). Let T be the set of involutions in G which have a fixed point and induce a transvection on N . Note that if $x \in T$, then $|xN \cap T| = 2$ (indeed, $xN \cap T = x[x, N]$ and since x acts as a transvection on N , $|[x, N]| = 2$).

The list of possible H was determined by McLaughlin [19]. It follows easily from this that

$$\lim_{a \rightarrow \infty} |T \cap H|/|G|^{1/2} = 0.$$

Thus, $|Y| \leq 4|T \cap H|^2$ and so $\lim_{a \rightarrow \infty} |Y|/|G| \rightarrow 0$ as required.

Finally, consider the third case. As we saw, the only decomposable elements are in one of the t normal subgroups of N . Thus, $|Y| \leq t(d!)$ and $|G| \geq (d!)^t$. Since $t > 1$, $|Y|/|G| \rightarrow 0$ as either d or t increases.

This completes the proof of the theorem.

We now give two examples to show that if G is not primitive, the previous theorem need not hold. More precisely, we produce a sequence of groups G_p for p an odd prime such that for fixed k , $d(k)$ is bounded away from 0. In the first sequence, the Cayley graph is close to bipartite and in the second sequence, Q^1 is very small outside a proper normal subgroup.

Let $n = 2p$. Let x and y be p -cycles in S_n that are disjoint. Let u be an involution in S_n with $uxu = y$. Set $G_p = \langle x, y, u \rangle$. So $|G| = 2p^2$ and has a normal elementary abelian subgroup $N := \langle x, y \rangle$. So G is a transitive subgroup of S_n . Let S be the set of indecomposable elements in G .

Note that $xN \subset S$ and $N \cap S = \{x^i, y^i | i = 0, 1, \dots, p-1\}$. So $|S \cap N| = 2p - 1$. Thus, the probability that a random element of S is in N is $(2p - 1)/(p^2 + 2p - 1) < 2/p$. In particular, we see that $Q^k(N) > (1 - 2/p)^k$ if k is even and $Q^k(xN) > (1 - 2/p)^k$ if k is odd. This shows that $d(k) \rightarrow 1/2$ as $p \rightarrow \infty$. In particular, the mixing time is unbounded. Indeed, in the example, we see that the mixing time is linear in p .

Pak [22] did show that this could happen for some 0, 1 polytopes—his example is essentially $\mathbb{Z}/2 \times S_n$.

We give another example that is similar in flavor to Pak's example. Let J be a nonabelian group of order qr with $q > r$ primes (so $r(q-1)$). Note that D embeds in S_q . Let p be a third distinct prime and consider $G = \mathbb{Z}/p \wr J$ acting on $n := pq$. Let N be the normal subgroup of G of index r . Note that the number of indecomposable elements in N is $(q-1)p^q + q(p-1) + 1$ while the number of indecomposable elements outside N is $(r-1)p^{q-1}$. So the probability that a random indecomposable element is not in N is less than $1/p$. Thus, the probability that a random product of k indecomposable elements is in N is at least $(1 - 1/p)^k$. So for p large compared to k , Q^k is far from uniform. Again, we see that the mixing time is linear in p .

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ROBERT M. GURALNICK, DEPARTMENT OF MATHEMATICS, UNIVERSITY OF SOUTHERN CALIFORNIA, 3620 S. VERMONT AVE., LOS ANGELES CA 90089-2532

E-mail address: guralnic@usc.edu

DAVID PERKINSON, DEPARTMENT OF MATHEMATICS, REED COLLEGE, PORTLAND, OR 97202

E-mail address: davidp@reed.edu