

Math 332 Tuesday April 7 (See the third PCMI handout)

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### I. Functions.

$X \subseteq \mathbb{A}^n$  Each polynomial  $f \in R = k[x_1, \dots, x_n]$  determines a mapping

$$f: X \rightarrow k \\ p \mapsto f(p).$$

Suppose  $f, g \in R$  and  $f - g \in \mathcal{I}(X)$ . If  $p \in X$ , then  $(f - g)(p) = 0$ , i.e.  $f(p) = g(p)$ . So  $f, g$  determine the same function  $X \rightarrow k$ .

Def. The **affine coordinate ring** of an algebraic set  $X \subseteq \mathbb{A}^n$  is

$$A(X) := R / \mathcal{I}(X).$$

Note: We think of elements of  $A(X)$  as functions on  $X$ .

Example  $X = \mathbb{Z}(y - x^2) \subseteq \mathbb{A}^2$ . Then  $A(X) = k[x, y] / (y - x^2)$

imperio!  
what is  
(y - x^2)?

Interesting observation:  $A(X) \cong k[t] = A(\mathbb{A}^1)$

Pf/ Define  $Q: k[t] \rightarrow k[x,y]/(y-x^2)$ . So  $Q(f(t)) = f(x)$ . Then  
 $t \mapsto x$

$f \in \ker Q \Rightarrow f(x) \in (y-x^2) \Rightarrow f(x) = (y-x^2)g(x,y)$  for some  $g \in k(x,y)$ , which can only happen if  $g = f = 0$ . (Otherwise,  $y$  appears in some term of  $(y-x^2)g(x,y)$  but not in  $f(x)$ .) Thus,  $Q$  is injective. But  $Q$  is also surjective since  $y = x^2$  in  $k(x,y)/(y-x^2)$ , given  $g(x,y) \in k(x,y)$ , we have  $Q(g(t,t^2)) = g(x,y)$ .  $\square$

★ Question: Which rings arise as coordinate rings of algebraic sets?

Def. Let  $A$  be a ring.

- i)  $a \in A$  is **nilpotent** if  $a^m = 0$  for some  $m$ .
- ii)  $A$  is **reduced** if it has no nonzero nilpotent elements.
- iii) Let  $k$  be a field. Then  $A$  is a **finitely generated  $k$ -algebra** if  $\exists \alpha_1, \dots, \alpha_n \in A$  such that  $A = k[\alpha_1, \dots, \alpha_n] = \{ f(\alpha_1, \dots, \alpha_n) \in A : f \in k[x_1, \dots, x_n] \}$ .

### Example

↪ Imperio: ask class for examples.

\*  $\mathbb{Z}/4\mathbb{Z}$  is not reduced since  $2^2 = 0$  but  $2 \neq 0$  in  $\mathbb{Z}/4\mathbb{Z}$ .

Note  $A$  is a f.g.  $k$ -algebra iff it is the homomorphic image of a polynomial ring  $k[x_1, \dots, x_n]$  for some  $n$ :  
 $k[x_1, \dots, x_n] \rightarrow A$   
 $x_i \mapsto \alpha_i$

Thm. Let  $k$  be algebraically closed. Then a ring  $A$  is the coordinate ring of an algebraic set  $X$  (over  $k$ ) iff  $A$  is a finitely generated reduced  $k$ -algebra.

Pf/ ( $\Rightarrow$ ) If  $A = A(X)$  for some  $X \subseteq \mathbb{A}_k^n$ , then  $A = k[x_1, \dots, x_n]/I(X)$ . It is finitely generated as an algebra over  $k$  by the (equivalence classes) of  $x_1, \dots, x_n$ . It is reduced since  $I(X)$  is radical\*:  $f^m = 0 \in A \Rightarrow f^m \in I(X) \Rightarrow f \in I(X) \Rightarrow f = 0 \in A$ .

( $\Leftarrow$ ) Conversely, suppose  $A$  is finitely generated as an algebra over  $k$  by  $\alpha_1, \dots, \alpha_n$ .

Define  $Q = k[x_1, \dots, x_n] \rightarrow A$ . Then  $Q$  is surjective. Let  $J = \ker Q$ . (4)

Then  $A \cong k[x_1, \dots, x_n]/J$ . Since  $A$  is reduced,  $J$  is radical. Hence,  
 $J = I(Z(J))$ . Letting  $X = Z(J)$ , we have  $J = I(X)$  and  $A = A(X)$ .  $\square$

**Prop.** Let  $X \subseteq \mathbb{A}^n$  be an algebraic set. Then  $X$  is a variety iff  $A(X)$  is a domain.

**PF/** We've seen that  $X$  is irreducible iff  $I(X)$  is prime. And  $I(X)$  is prime iff  $R_{I(X)}$  is a domain (easily from the definition of "prime" and "domain").  $\square$

**Def.** An affine  $k$ -algebra is a finitely generated reduced  $k$ -algebra.

## II. Morphism

**Def.** Let  $X \subseteq \mathbb{A}^n$ ,  $Y \subseteq \mathbb{A}^m$  be algebraic sets. A **morphism** from  $X$  to  $Y$  is a polynomial mapping:

$$\phi: X \rightarrow Y$$
$$p \mapsto (f_1(p), \dots, f_m)$$

where  $f_i \in k[x_1, \dots, x_n]$  for  $i=1, \dots, m$ .

Note: Not just any polynomials  $f_i$  are acceptable. The image must satisfy the equations that cut out  $Y$ .

Given a morphism of algebraic sets  $\phi: X \rightarrow Y$ , there is an induced mapping of coordinate rings (pulling back functions):

$$\begin{aligned} \phi^*: A(Y) &\rightarrow A(X) \\ f &\mapsto f \circ \phi \end{aligned}$$

Conversely, a homomorphism of coordinate rings

$$\sigma: k[y_1, \dots, y_m] / I(Y) = A(Y) \longrightarrow A(X) = k[x_1, \dots, x_n] / I(X)$$

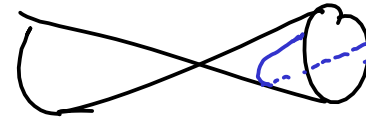
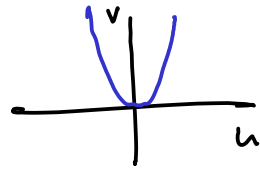
induces a morphism of algebraic sets

$$\begin{aligned} X &\longrightarrow Y \\ p &\longmapsto (f_1(p), \dots, f_m(p)) \end{aligned} \quad \text{where } f_i = \sigma(y_i) \in k[x_1, \dots, x_n].$$

Example

$$X = \mathbb{C}(v-u^2) \longrightarrow Y = \mathbb{C}(z^2-xy)$$

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$$(u, v) \longmapsto (1, v, u)$$

Note that if  $x=1, y=v, z=u$ ,  
 then  $z^2 - xy = u^2 - v = 0$  for  
 $(u, v) \in X$ .

The corresponding mappings on coordinate rings:

$$\sigma: A(Y) = \frac{k[x, y, z]}{(z^2 - xy)} \longrightarrow \frac{k[u, v]}{(v - u^2)}$$

$$x \longmapsto 1$$

$$y \longmapsto v$$

$$z \longmapsto u$$

$$\left( \begin{array}{l} x: Y \rightarrow k \\ (a, b, c) \mapsto a \end{array} \right)$$

Going back,  $\sigma(x)=1, \sigma(y)=v, \sigma(z)=u$ , so the induced maps on algebraic sets is:

$$X \longrightarrow Y$$

$$p \mapsto (1, v(p), u(p))$$

If  $p = (p_1, p_2)$ , this is  
 $(p_1, p_2) \mapsto (1, p_2, p_1)$ , the mapping we started with.

Example The ring homomorphism  $\sigma: k[x, y, z] \xrightarrow{A(A^3)} k[t] = A(A^1)$

$$\begin{aligned} x &\mapsto t^2 \\ y &\mapsto t^3 - t \\ z &\mapsto t - 3 \end{aligned}$$

induces  $A^1 \rightarrow A^3$

$$t \mapsto (t^2, t^3 - t, t - 3)$$

Example  $A^2 \rightarrow A^2$  induces  $k[u, v] \rightarrow k[x, y]$

$$(x, y) \mapsto (x^2 - y^3 + 2, x^2 - y)$$

$$\begin{aligned} u &\mapsto x^2 - y^3 + 2 \\ v &\mapsto x^2 - y \end{aligned}$$

Prop. There is a 1-1 correspondence between morphisms of algebraic sets  $X \rightarrow Y$  and ring homomorphisms  $A(Y) \rightarrow A(X)$ .

Def. Algebraic sets  $X, Y$  are **isomorphic** if  $\exists$  morphisms

$$\phi: X \rightarrow Y \quad \text{and} \quad \psi: Y \rightarrow X$$

such that  $\psi \circ \phi = id_Y$  and  $\phi \circ \psi = id_X$ .

Cor. Algebraic sets  $X, Y$  are isomorphic iff their coordinate rings  $A(X), A(Y)$  are isomorphic. (And  $\phi: X \rightarrow Y$  is iso. iff  $\phi^*: A(Y) \rightarrow A(X)$  is iso.)

Thm. Let  $k$  be algebraically closed. The categories of affine  $k$ -algebras is equivalent to the category of algebraic sets over  $k$  with arrows reversed.

Warning: A morphism of algebraic sets can be 1-1 and onto without being an isomorphism:

$$\phi: \mathbb{A}^1 \longrightarrow C = \mathbb{Z}(y^2 - x^3) \subseteq \mathbb{A}^2$$
$$t \longmapsto (t^2, t^3)$$

$C$  is a cuspidal cubic:

$\phi$  is 1-1:  $\phi(t) = \phi(s) \implies (t^2, t^3) = (s^2, s^3) \implies t^2 = s^2, t^3 = s^3$   
 $\implies t = s = 0$  if  $t = 0$ , otherwise  $t = \frac{t^3}{t^2} = \frac{s^3}{s^2} = s$ .

$\phi$  is onto: If  $(p, q) \in C$ , then  $q^2 = p^3$ . If  $p = q = 0$ , then  $\phi(0) = (p, q)$ .  
Otherwise  $\phi\left(\frac{q}{p}\right) = \left(\frac{q^2}{p^2}, \frac{q^3}{p^3}\right) = (p, q)$  since  $q^2 = p^3$ .



But the induced mapping on coordinate rings is not an isomorphism:

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$$\sigma : \frac{k[x,y]}{(y^2-x^3)} \longrightarrow k[t]$$
$$x \mapsto t^2$$
$$y \mapsto t^3$$

Note:  $\text{im}(\sigma) = k[t^2, t^3] \neq k[t]$ .