

Math 201

Section F03

November 8, 2021

New concepts for today

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- ▶ Diagonalizability

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- ▶ Characteristic polynomial

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- ▶ Eigenspace

Review: eigenvectors and eigenvalues

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A *nonzero* vector $v \in F^n$ is an *eigenvector* for $A \in M_{n \times n}(F)$ with *eigenvalue* $\lambda \in F$ if

$$Av = \lambda v.$$

Diagonalizability

Definition. Let V be an n -dimensional vector space. A linear mapping $f: V \rightarrow V$ is *diagonalizable* if there exists an ordered basis α of V such that $[f]_{\alpha}^{\alpha} = \text{diag}(\lambda_1, \dots, \lambda_n)$.

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Proposition. A linear mapping $f: V \rightarrow V$ is diagonalizable if and only if V has a basis consisting solely of eigenvectors for f .

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Definition. Let λ be an eigenvalue of the $n \times n$ matrix A over F . Then the *eigenspace* for λ is

$$E_\lambda := E(A)_\lambda := \{v \in F^n : Av = \lambda v\} = \ker(A - \lambda I_n v).$$

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$$P^{-1}AP = \text{diag}(\lambda_1, \dots, \lambda_n)$$

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Examples

We will try two examples:

$$A = \begin{pmatrix} 2 & -7 & 3 \\ 0 & -5 & 3 \\ 0 & 0 & 2 \end{pmatrix} \quad \text{and} \quad B = \begin{pmatrix} 2 & 1 & 3 \\ 0 & -5 & 3 \\ 0 & 0 & 2 \end{pmatrix}.$$

Only A is diagonalizable.

First example

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$$p_A(t) = \det \left(\begin{pmatrix} 2 & -7 & 3 \\ 0 & -5 & 3 \\ 0 & 0 & 2 \end{pmatrix} - x \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \right)$$

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First example

$$p_A(x) = -(x - 2)^2(x + 5) = 0.$$

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Eigenvalues: 2 (with multiplicity 2) and -5 (with multiplicity 1).

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Find bases for the eigenspaces $E_2 = \ker(A - 2I_3)$ and $E_{-5} = \ker(A + 5I_3)$.

$$E_2 = \ker(A - 2I_3)$$

$$\begin{aligned} A - 2I_3 &= \begin{pmatrix} 2 & -7 & 3 \\ 0 & -5 & 3 \\ 0 & 0 & 2 \end{pmatrix} - \begin{pmatrix} 2 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 2 \end{pmatrix} \\ &= \begin{pmatrix} 0 & -7 & 3 \\ 0 & -7 & 3 \\ 0 & 0 & 0 \end{pmatrix} \longrightarrow \begin{pmatrix} 0 & 1 & -3/7 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \end{aligned}$$

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Basis: $\{(1, 0, 0), (0, 3/7, 1)\}$ or, easier, $\{(1, 0, 0), (0, 3, 7)\}$.

$$E_{-5} = \ker(A + 5I_3)$$

$$\begin{aligned} A - (-5)I_3 &= \begin{pmatrix} 2 & -7 & 3 \\ 0 & -5 & 3 \\ 0 & 0 & 2 \end{pmatrix} + \begin{pmatrix} 5 & 0 & 0 \\ 0 & 5 & 0 \\ 0 & 0 & 5 \end{pmatrix} \\ &= \begin{pmatrix} 7 & -7 & 3 \\ 0 & 0 & 3 \\ 0 & 0 & 7 \end{pmatrix} \longrightarrow \begin{pmatrix} 1 & -1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix} \end{aligned}$$

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$$P^{-1}AP = \text{diag}(2, 2, -5)$$

Second example

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Eigenvalues: 2 (multiplicity 2) and -5 (multiplicity 1)

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Basis: $\{(1, 0, 0)\}$ (important: the dimension is 1 this time, not 2).

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B is not diagonalizable since $\dim E_2(B) + \dim E_{-5}(B) < 3$.

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1. Sum of dimensions of eigenspaces is not n .
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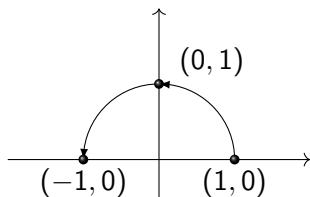
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We just saw an example illustrating obstacle 1. Next, we will see an example illustrating obstacle 2.

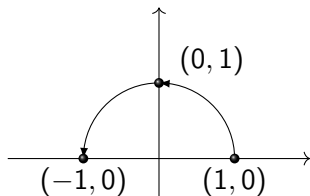
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Fix: work over \mathbb{C} .