

Math 112 Lecture for Wednesday, Week 1

(Supplemental reading: Sections 1.4 and 1.5 in Swanson.)

Our first goal is to learn how to write a perfect proof by induction. (This material overlaps with that in Math 113, but it's important enough to go over twice.)

**Example (template).**

**Proposition 1.** For all integers  $n \geq 1$ ,

$$1 + 2 + \cdots + n = \frac{n(n+1)}{2}.$$

We'll discuss this theorem a bit before proving it by induction. For the case  $n = 3$ , it says:

$$1 + 2 + 3 = \frac{3 \cdot 4}{2},$$

and it's easy to see that both sides of this equation are equal to 6. When  $n = 4$ , we have

$$1 + 2 + 3 + 4 = 10 = \frac{4 \cdot 5}{2}.$$

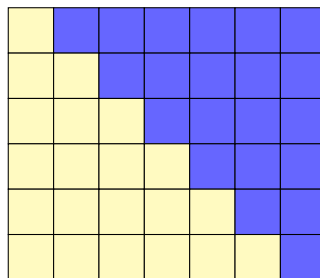
There is a tricky way to prove this theorem without using induction. Consider the following for the case  $n = 6$ :

$$\begin{array}{r} 1 + 2 + 3 + 4 + 5 + 6 \\ + \quad 6 + 5 + 4 + 3 + 2 + 1 \\ \hline 7 + 7 + 7 + 7 + 7 + 7 \end{array} = 6 \cdot 7$$

Adding the sum twice gives  $6 \cdot 7 = 42$ . Divide by two to get the sum:

$$1 + 2 + 3 + 4 + 5 + 6 = \frac{6 \cdot 7}{2} = 21.$$

In a picture:



The  $6 \times 7$  square contains our sum twice—once in yellow and once in blue. The proof clearly generalizes:

$$+ \frac{\begin{array}{cccccc} 1 & + & 2 & + & \cdots & + & (n-1) & + & n \\ n & + & (n-1) & + & \cdots & + & 2 & + & 1 \end{array}}{(n+1) + (n+1) + \cdots + (n+1) + (n+1)} = n \cdot (n+1).$$

Divide by two to get the general sum formula:

$$1 + 2 + \cdots + (n-1) + n = \frac{n(n+1)}{2}.$$

We now give a proof of the proposition *using induction*. Please use it as a template for your own induction proofs.

*Proof.* We will prove this by induction. For the base case,  $n = 1$ , the result holds since in that case

$$1 + 2 + \cdots + n = 1 = \frac{1 \cdot (1+1)}{2}.$$

Suppose the result holds for some  $n \geq 1$ . It follows that

$$\begin{aligned} 1 + 2 + \cdots + (n+1) &= (1 + 2 + \cdots + n) + (n+1) \\ &= \frac{n(n+1)}{2} + (n+1) && \text{(by the induction hypothesis)} \\ &= \frac{n(n+1) + 2(n+1)}{2} \\ &= \frac{(n+1)(n+2)}{2} \\ &= \frac{(n+1)((n+1)+1)}{2}. \end{aligned}$$

So the result holds for the case  $n+1$ , too. The proposition follows by induction.  $\square$

### Rules.

1. Always start a proof by induction by telling your reader that you are giving a proof by induction.
2. Next, show that result holds for the smallest value of  $n$  in question—in this case,  $n = 1$ .

3. Note that we assume the result is true *for some*  $n \geq 1$ . If we said, instead: “assume the result holds for  $n \geq 1$ ”, this would mean we’re assuming the result for all  $n \geq 1$ . But that would be circular: we’d be assuming what we are trying to prove. Instead, at the induction step, we are merely saying that *if* we did know the result for a particular value of  $n$ , we could prove that it follows for the next value of  $n$ .
4. End the proof with a  $\square$ . This tells the reader the proof is over.

**Summation notation.** For integers  $m \leq n$ , and a function  $f$  defined at the integers  $m, m + 1, \dots, n$ , we use the notation

$$\sum_{k=m}^n f(k) := f(m) + f(m + 1) + \dots + f(n).$$

(Note: the notation  $A := B$  means  $A$  is *defined* to be  $B$ .) A closer look:

$$\begin{array}{c}
 n \longleftarrow \text{upper bound: sum stops here} \\
 \sum \\
 \text{dummy variable} \longrightarrow k=m \longleftarrow \text{lower bound: sum starts here}
 \end{array}
 \left. \vphantom{\sum} \right\} \text{Greek letter sigma for “sum”}$$

**Example.** Suppose that  $f(k) = k^2$ . Then

$$\begin{aligned}
 \sum_{k=-1}^2 f(k) &= f(-1) + f(0) + f(1) + f(2) \\
 &= (-1)^2 + 0^2 + 1^2 + 2^2 \\
 &= 1 + 0 + 1 + 4 \\
 &= 6.
 \end{aligned}$$

For this same sum we could write  $\sum_{k=-1}^2 k^2$  or  $\sum_{t=-1}^2 t^2$ , for example.

**Note:** If  $m > n$ , then by convention, we take  $\sum_{k=m}^n f(k) := 0$ . This is called the *empty sum*.

**More examples.**

$$\sum_{i=2}^4 (2i + i^2) = (2 \cdot 2 + 2^2) + (2 \cdot 3 + 3^2) + (2 \cdot 4 + 4^2) = 47$$

$$\sum_{k=1}^5 2 = 2 + 2 + 2 + 2 + 2 = 10.$$

In general,

$$\sum_{k=m}^n (af(k) + bg(k)) = a \sum_{k=m}^n f(k) + b \sum_{k=m}^n g(k).$$

**Product notation.** There is a similar notation for products:

$$\prod_{k=m}^n f(k) := f(m) \cdot f(m+1) \cdots f(n).$$

For example,

$$\prod_{k=1}^n k = 1 \cdot 2 \cdots n =: n!.$$

If  $m > n$ , we define the *empty product* by  $\prod_{k=m}^n f(k) := 1$ . (So, for example,  $0! = 1$ .)

**Back to induction.** We now give our induction proof of Proposition 1 using summation notation:

**Proposition 1 (using summation notation).** For  $n \geq 1$

$$\sum_{i=1}^n i = \frac{n(n+1)}{2}.$$

*Proof.* We will prove this by induction. The base case holds since

$$\sum_{i=1}^1 i = 1 = \frac{1 \cdot (1+1)}{2}.$$

Suppose the result holds for some  $n \geq 1$ . Then

$$\sum_{i=1}^{n+1} i = \left( \sum_{i=1}^n i \right) + (n+1)$$

$$\begin{aligned}
&= \frac{n(n+1)}{2} + (n+1) && \text{by the induction hypothesis} \\
&= \frac{n(n+1) + 2(n+1)}{2} \\
&= \frac{(n+1)(n+2)}{2} \\
&= \frac{(n+1)((n+1)+1)}{2}.
\end{aligned}$$

So the result then holds for  $n+1$ , too. The result holds for all  $n \geq 1$ , by induction.  $\square$

**Another example.**

**Proposition 2.** Show

$$\sum_{k=1}^n k^2 = \frac{n(n+1)(2n+1)}{6}$$

for  $n \geq 1$ .

*Proof.* We will prove this by induction. The base case holds since

$$\sum_{k=1}^1 k^2 = 1^2 = 1 = \frac{1 \cdot (1+1)(2 \cdot 1 + 1)}{6}.$$

Suppose the result holds from some  $n \geq 1$ :

$$1^2 + 2^2 + \dots + n^2 = \frac{n(n+1)(2n+1)}{6}. \tag{1}$$

Then

$$\begin{aligned}\sum_{k=1}^{n+1} k^2 &= 1^2 + \cdots + n^2 + (n+1)^2 \\ &= \frac{n(n+1)(2n+1)}{6} + (n+1)^2 && \text{(by equation (1))} \\ &= \frac{n(n+1)(2n+1) + 6(n+1)^2}{6} \\ &= \frac{(n+1)(n(2n+1) + 6(n+1))}{6} \\ &= \frac{(n+1)(2n^2 + 7n + 6)}{6} \\ &= \frac{(n+1)(n+2)(2n+3)}{6} \\ &= \frac{(n+1)((n+1)+1)(2(n+1)+1)}{6}.\end{aligned}$$

Thus, the result then holds for  $n+1$ , too. Our result follows for all  $n \geq$  by induction.  $\square$